

TATA
mutual fund

Please read the instructions carefully before filling up this form

[illegible]

I/We, the above-named investors of TATA Mutual Fund, do hereby nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death by cancelling the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below.

Folio No. / Application No.			
1.		4.	
2.		5.	
3.		6.	

I/We wish to make a nomination. [As per details given below]

Nomination Details
I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our folio / account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any.

Sr. No.	Details	1st Nominee	2nd Nominee	3rd Nominee
1	Name of the nominee			
2	Relationship with the Applicant			
3	<i>Date of Birth (to be provided in case nominee is minor)</i>			

☐ Name of the Nominee(s)

☐ Whether nomination given: Yes / No (not name of the nominee)

Sr. No.	Information	1st Nominee	2nd Nominee	3rd Nominee
a)	% Share of nominee** (equal share if % is not specified)			
b)	Mobile of nominee*** (Please note that the MF / RTA will be able to reach out to your nominee if you provide the contact details).			
c)	Email ID of nominee*** (Please note that the MF / RTA will be able to reach out to your nominee if you provide the contact details).			
d)	Specify Personal identifier document Aadhaar (last four digit), PAN, Driving Licence, Passport ***			
e)	Guardian Name (applicable if the Nominee is minor)			

*** The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

This nomination shall supersede any prior nomination made by the account holder(s), if any

Name(s) of holder(s)	Signature(s) of holder****
Sole / First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

****If nomination is submitted:

- **Online:** validate through Digital Signature Certificate or Aadhaar-based e-sign or by using any other e-sign facility recognized under Information Technology Act, 2000 or two factor authentication (2FA) in which one of the factors shall be a One-Time Password sent to the registered mobile number and email address.
- **Physical / offline:** wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses shall be duly provided in this form.

Name(s) of holder(s)	Thumb Impression	Witness Name & Address	Witness Signature
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

Instructions for Filling up the Form
Rights, Entitlement and Obligation of the investor and nominee / Instructions

- Single-holder Folios, nomination is mandatory unless the investor submits the prescribed opt-out declaration. Nomination is optional with joint mode of holding.
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Nomination can be added, changed or cancelled any number of times, without any restriction.
- You are entitled to receive acknowledgement for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio where Minor is the unitholder.
- In a jointly held folio, the consent and signatures of all joint holders are required for adding or changing a nomination, irrespective of the mode of operation.
- A Minor may be nominated; while the Minor nominee's date of birth is mandatory, the guardian's name, address and other applicable details may be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nominations of up to three nominees may be registered. Allocation percentages are optional; if not specified, the folio assets shall be distributed equally among the nominees. Where percentages are provided, the total allocation must aggregate to 100%. Any odd lot arising after division shall be transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees.
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered upon receipt of the form duly completed with the applicable mandatory details and valid signatures / authentication.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).

% share as specified by investor at the time of nomination			% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'		
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40% s	60%	100%