

**Annexure – T5: Indemnity by the claimant when there is no nominee registration**

[To be submitted in non-judicial stamp paper as per the value prescribed by the respective State subject to a minimum of Rs. 20/-]  
 (In case of Transmission of Mutual Fund Units held by a Single Holder/ on death of all unit holders in case of Joint Holding and where there is no nominee registration)

I/We do hereby solemnly affirm and sincerely state on oath as follows,

I/We state that Mr. / Ms. \_\_\_\_\_ <Name of the deceased Unit Holder> passed away on \_\_\_\_\_, and the deceased unit holder was holding the following Mutual Fund Units:

S No	Name of Mutual Fund	Scheme Name	Folio No	No. of Units Held

The said unit holder died intestate or without registering any nominee/s in folio/s on the \_\_\_\_\_ day of \_\_\_\_\_.

I / We further inform you that the deceased unit holder left behind the following as the only surviving legal heirs and next of kin, according to the Law of Intestate Succession applicable to him/her by which he/she was governed at the time of his/her death.

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_ (hereinafter referred to as the Claimant(s))

We have, therefore, approached you with a request to transfer the aforesaid Mutual Fund units in the name of claimant(s) as indicated below on my/our behalf without insisting of production of a succession certificate or an order of the court having competent jurisdiction for which I / we execute this indemnity as is herein contained and on relying on the information herein given by me/ us believing the same to be true.

In consideration therefore of my/our request to transfer the above said Mutual Fund units in favour of Mr. / Ms. \_\_\_\_\_ <Name of the Claimant>. I / we hereby jointly and severely agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of you, at my/our request, transferring the said Mutual Fund units as herein above mentioned, without insisting on production of a succession certificate or an order of the court having competent jurisdiction.

IN WITNESS WHEREOF THE said "Mr. / Ms. \_\_\_\_\_" <Name of the Claimant(s)> have here unto set their respective hands and seals this \_\_\_\_\_ day of \_\_\_\_\_.

Signed and delivered by the said claimant(s).

1. _____	_____
2. _____	_____
3. _____	_____
Name & Address of the Claimant(s)	Signature of the Claimants

1. _____	_____
2. _____	_____
Sureties Name and Address [Mandatory]	Sureties Signature

\_\_\_\_\_  
Signature of the Notary with Name & Seal