

### Annexure – T3: Affidavit- To effect identification and claim of legal ownership

[To be submitted in non-judicial stamp paper as per the value prescribed by the respective State subject to a minimum of Rs. 20/-]  
(In case of Transmission of Mutual Fund Units held by a Single Holder / on death of all unit holders in case of Joint Holding and where there is no nominee)

I/We, the surviving legal heir(s) as per list given below hereby solemnly affirm and sincerely state on oath as follows - That Mr. / Ms.# \_\_\_\_\_

the deceased was holding the following mutual fund units in his / her name as single holder/joint holders:

S No	Name of Mutual Fund	Scheme Name	Folio No.	No. of Units Held

Shri./Smt.# \_\_\_\_\_ expired intestate on 

D	D	/	M	M	/	Y	Y	Y	Y
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at (place of death) \_\_\_\_\_ leaving behind him/her the following legal heirs :

S No	Name of Claimants	Address	Age	Relationship with deceased

I / We have already executed indemnity bond for transmitting the aforesaid units held by the deceased in my name without production of Succession Certificate / Probate of Will / Letter of Administration ( LoA ) attached herewith for your reference

OR

I / We have attached the No Objection certificate from all the legal Heirs

OR

I / We have attached the Copy of family settlement deed, signed by all the legal Heirs

We have enclosed all the requisite documents.

Deponent Signature(s):

Signature of Deponent	Signature of Deponent	Signature of Deponent
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#### VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our knowledge and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mutual fund units.

Solemnly affirmed at \_\_\_\_\_

Deponent(s)

Signed before me at \_\_\_\_\_

on 

D	D	/	M	M	/	Y	Y	Y	Y
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Signature of Notary with Official Seal of Notary

#### Documents Enclosed

- Original Death Certificate (DC) or Copy of the DC duly attested by a Notary Public or by a Gazetted Officer
- KYC confirmation letter or KYC acknowledgement letter bearing PAN / PAN Exempt Ref. No and Name of Claimant / Guardian (In case the claimant is a minor)
- For minor Claimant
  - Proof of Age and relationship with Guardian:  Birth Certificate  School Leaving  Passport  Other \_\_\_\_\_
- New Bank Account Details [Annexure 2]
- For Value Less than or equal to 2 lakhs:  NOC  Indemnity  Family Settlement Deed
- For Value more than 2 lakhs:  Legal Heir Certificate  Succession Certificate  Probate of Will or Letter
- Administration or Court Decree

# Name of deceased Unit Holder.