

Redressal of Complaints received during the period: 01/02/2022 to 28/02/2022

Name of the Mutual Fund: Tata Mutual Fund

Total Number of Folios: 34,15,641

Part A: Total complaints report (including complaints received through SCORES)

Complaint Code	Type of Complaint #	(a) No. of complaints pending at the beginning of the month	Action on (a) and (b)											
			(b) No. of Complaints received during the month	Resolved				Average time taken ^ (in days)	Non Actionable*	Pending				
				Within 30 days	30-60 days	60-180 days	Beyond 180 days			0-3 months	3-6 months	6-12 months	Beyond 12 months	
I A	Non receipt of amount declared under Income Distribution cum Capital Withdrawal option	0	0	0	0	0	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of amount declared under Income Distribution cum Capital Withdrawal option	0	0	0	0	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	0	0	0	0	0	0	0	0	0	0	0	0
II C	Data corrections in Investor details	0	0	0	0	0	0	0	0	0	0	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	1	1	0	0	0	2	0	0	0	0	0	0
III F	Delay in allotment of Units	0	1	1	0	0	0	14	0	0	0	0	0	0
III G	Unauthorized Redemption	0	0	0	0	0	0	0	0	0	0	0	0	0
IV	Others	0	0	0	0	0	0	0	0	0	0	0	0	0
VI	Others - Transaction related-Online	0	3	3	0	0	0	8	0	0	0	0	0	0
VII	Others - Transaction related-SIP/STP/SWP	0	2	2	0	0	0	8	0	0	0	0	0	0

including against its authorized persons/ distributors/ employees. etc.

Non actionable* means the complaint that are incomplete / outside the scope of the mutual fund

Part B: Report on complaints received through SCORES

Complaint Code	Type of Complaint #	(a) No. of complaints pending at the beginning of the month	Action on (a) and (b)										
			(b) No. of Complaints received during the month	Resolved				Average time taken ^ (in days)	Non Actionable*	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days			0-3 months	3-6 months	6-12 months	Beyond 12 months
I A	Non receipt of amount declared under Income Distribution cum Capital Withdrawal option	0	0	0	0	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of amount declared under Income Distribution cum Capital Withdrawal option	0	0	0	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	0	0	0	0	0	0	0	0	0	0	0	0
II C	Data corrections in Investor details	0	0	0	0	0	0	0	0	0	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	0	0	0	0	0	0	0	0	0	0	0
III F	Delay in allotment of Units	0	0	0	0	0	0	0	0	0	0	0	0
III G	Unauthorized Redemption	0	0	0	0	0	0	0	0	0	0	0	0
IV	Others	0	0	0	0	0	0	0	0	0	0	0	0

including against its authorized persons/ distributors/ employees. etc.

Non actionable* means the complaint that are incomplete / outside the scope of the mutual fund

Part C: Trend of monthly disposal of complaints (including complaints received through SCORES)

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	Apr-2021	3	6	7	2
2	May-2021	2	4	6	0
3	June-2021	0	11	10	1
4	July-2021	1	12	9	4
5	Aug-2021	4	12	11	5
6	Sept-2021	5	19	22	2
7	Oct-2021	2	7	7	2
8	Nov-2021	2	11	13	0
9	Dec-2021	0	5	2	3
10	Jan-2022	3	1	4	0
11	Feb-2022	0	7	7	0
12	Mar-2022				

*Should include complaints of previous months resolved in the current month. If any.

** Should include total complaints pending as on the last day of the month, if any.

Part D: Trend of annual disposal of complaints (including complaints received through SCORES)

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	4	438	437	5
2	2018-19	5	379	381	3
3	2019-20	3	191	194	0
4	2020-21	0	108	105	3
5	2021-22 (upto Feb'22)	3	95	98	0