

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Tata Small Cap Fund
2	Option Names (Regular & Direct)	TATA Small Cap Fund - Regular Plan - IDCW,Tata Small Cap Fund - Direct Plan - IDCW,Tata Small Cap Fund - Regular Plan - Growth,Tata Small Cap Fund - Direct Plan - Growth,
3	Fund Type	An open-ended Equity Scheme predominantly investing in small cap stocks.
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Small cap Fund
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The investment objective of the scheme is to generate long term capital appreciation by predominantly investing in equity and equity related instruments of small cap companies. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.
9	Stated Asset Allocation	Equity and equity related instruments of small cap companies 65-100, Equity and equity related instruments of other than small cap companies 0-35, Debt and Money Market Instruments including units of debt & liquid schemes of Tata Mutual Fund 0-35, Units of REITs and InvITs 0-10.
10	Face Value	10
11	NFO Open Date	19-Oct-2018
12	NFO Close date	02-Nov-2018
13	Allotment Date	12-Nov-2018
14	Reopen Date	13-Nov-2018
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Smallcap 250 TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	FM-1 Chandraprakash Padiyar,FM-2 Satish Chandra Mishra
19	Fund Manager Type (Primary/Comanage/Description)	FM-1 Primary,FM-2 Assistant Fund Manager
20	Fund Manager From Date	FM-1 01/11/2018,FM-2 43770
21	Annual Expense (Stated maximum)	Regular 1.9000, Direct 0.2500
22	Exit Load (if applicable)	1)NIL - Redemption/Switch- out/SWP/STP on or before expiry of 12 Months from the date of allotment: If the withdrawal amount or switched out amount is not more than 12% of the original cost of investment. 2) 1% - Redemption/Switch- out/SWP/STP on or before expiry of 12 Months from the 1% date of allotment: If the withdrawal amount or switched out amount is more than 12% of the original cost of investment.3)Redemption/Switch-out/SWP/STP after expiry of 12 Months from the date of allotment - NIL.
23	Custodian	HDFC Bank LTD
24	Auditor	S.R. Batliboi & CO. LLP
25	Registrar	Computer Age Management Services Limited
26	RTA Code (To be phased out)	SCFD,SCFDZ,SCFG,SCFGZ,
27	Listing Details	Not Applicable
28	ISINs	INF277K016O0,INF277K012O9,INF277K015O2,INF277K011O1,
29	AMFI Codes (To be phased out)	145210,145209,145208,145206,
30	SEBI Codes	TATA/O/E/SCF/18/08/0033
31	Minimum Application Amount	5000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	500
36	Minimum Redemption Amount in Units	50
37	Minimum Balance Amount (if applicable)	No mimimum balance required
38	Minimum Balance Amount in Units (if applicable)	No mimimum unit balance required
39	Max Investment Amount	Not Applicable.
40	Minimum Switch Amount (if applicable)	For all units there is no minimum switch amount.
41	Minimum Switch Units	For all units there is no minimum switch units.
42	Switch Multiple Amount (if applicable)	Not Applicable.
43	Switch Multiple Units (if applicable)	Not Applicable.
44	Max Switch Amount	Not Applicable.
45	Max Switch Units (if applicable)	Not Applicable.
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Not Applicable
48	SIP SWP & STP Details: Frequency	SIP-- Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) ;SWP --Monthly/Quarterly/Weekly/Daily (Business Days) ;STP --Monthly/Quarterly/Weekly/Daily (Business Days)
49	SIP SWP & STP Details: Minimum amount	SIP-- 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00 ;STP --500.00/500.00/500.00/500.00 ;SWP - -500.00/500.00/500.00/500.00
50	SIP SWP & STP Details: In multiple of	SIP-- 1.00/1.00/1.00/1.00/1.00/1.00 ;STP --1.00/1.00/1.00/1.00 ;SWP --1.00/1.00/1.00/1.00
51	SIP SWP & STP Details: Minimum Instalments	SIP-- 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments ;STP --500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments ;SWP --1.00/1.00/1.00/1.00

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Fields		
52	SIP SWP & STP Details: Dates	SIP-- Any day/Any day/Any day/Any day/Monday-Friday/All Business Days ;STP --Any day/Any day/Monday-Friday/All Business Days ;SWP --Any day/Any day/Any day/Any day
53	SIP SWP & STP Details: Maximum Amount (if any)	SIP-- 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable ;STP --Not Applicable/Not Applicable/Not Applicable/Not Applicable ;SWP --Not Applicable/Not Applicable/Not Applicable/Not Applicable