

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--|---|
| 1 | Fund Name | TATA Small Cap Fund |
| 2 | Option Names (Regular & Direct) | TATA Small Cap Fund - Regular Plan - IDCW,Tata Small Cap Fund - Direct Plan - IDCW,Tata Small Cap Fund - Regular Plan - Growth,Tata Small Cap Fund - Direct Plan - Growth, |
| 3 | Fund Type | An open-ended Equity Scheme predominantly investing in small cap stocks. |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Small cap Fund |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate long term capital appreciation by predominantly investing in equity and equity related instruments of small cap companies. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns. |
| 9 | Stated Asset Allocation | Equity and equity related instruments of small cap companies 65-100, Equity and equity related instruments of other than small cap companies 0-35, Debt and Money Market Instruments including units of debt & liquid schemes of Tata Mutual Fund 0-35, Units of REITs and InvITs 0-10. |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 19-Oct-18 |
| 12 | NFO Close date | 02-Nov-18 |
| 13 | Allotment Date | 12-Nov-18 |
| 14 | Reopen Date | 13-Nov-18 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty Smallcap 250 TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | FM-1 Chandraprakash Padiyar,FM-2 Satish Chandra Mishra |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM-1 Primary,FM-2 Assistant Fund Manager |
| 20 | Fund Manager From Date | FM-1 01/11/2018,FM-2 43770 |
| 21 | Annual Expense (Stated maximum) | Regular 2.19, Direct 0.27 |
| 22 | Exit Load (if applicable) | 1)NIL - Redemption/Switch- out/SWP/STP on or before expiry of 12 Months from the date of allotment: If the withdrawal amount or switched out amount is not more than 12% of the original cost of investment. 2) 1% - Redemption/Switch-out/SWP/STP on or before expiry of 12 Months from the 1% date of allotment: If the withdrawal amount or switched out amount is more than 12% of the original cost of investment. 3)Redemption/Switch-out/SWP/STP after expiry of 12 Months from the date of allotment - NIL. |
| 23 | Custodian | HDFC Bank LTD |
| 24 | Auditor | S.R. Batliboi & CO. LLP |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | SCFD,SCFDZ,SCFG,SCFGZ, |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | INF277K01600,INF277K01209,INF277K01502,INF277K01101, |
| 29 | AMFI Codes (To be phased out) | 145210,145209,145208,145206, |
| 30 | SEBI Codes | TATA/O/E/SCF/18/08/0033 |
| 31 | Minimum Application Amount | 5000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 500 |
| 36 | Minimum Redemption Amount in Units | 50 |
| 37 | Minimum Balance Amount (if applicable) | No minimum balance required |
| 38 | Minimum Balance Amount in Units (if applicable) | No minimum unit balance required |
| 39 | Max Investment Amount | Not Applicable. |
| 40 | Minimum Switch Amount (if applicable) | For all units there is no minimum switch amount. |
| 41 | Minimum Switch Units | For all units there is no minimum switch units. |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable. |
| 43 | Switch Multiple Units (if applicable) | Not Applicable. |
| 44 | Max Switch Amount | Not Applicable. |
| 45 | Max Switch Units (if applicable) | Not Applicable. |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Not Applicable |
| 48 | SIP SWP & STP Details: Frequency | SIP-- Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) ;STP --Monthly/Quarterly/Weekly/Daily (Business Days) ;SWP -- Monthly/Quarterly/Half-yearly/Yearly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP-- 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00 ;STP --500.00/500.00/500.00/500.00 ;SWP --500.00/500.00/500.00/500.00 |
| 50 | SIP SWP & STP Details: In multiple of | SIP-- 1.00/1.00/1.00/1.00/1.00/1.00 ;STP --1.00/1.00/1.00/1.00 ;SWP --1.00/1.00/1.00/1.00 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP-- 12 instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments ;STP --500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments ;SWP --1.00/1.00/1.00/1.00 |
| 52 | SIP SWP & STP Details: Dates | SIP-- Any day/Any day/Any day/Any day/Monday-Friday/All Business Days ;STP --Any day/Any day/Monday-Friday/All Business Days ;SWP -- Any day/Any day/Any day/Any day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | SIP-- 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable ;STP --Not Applicable/Not Applicable/Not Applicable/Not Applicable ;SWP --Not Applicable/Not Applicable/Not Applicable/Not Applicable |