

| Fields | SCHEME SUMMARY DOCUMENT | |
|--------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Fund Name | Tata Banking And Financial Services Fund |
| 2 | Option Names (Regular & Direct) | Tata Banking And Financial Services Fund Regular Plan IDCW,Tata Banking And Financial Services Fund Direct Plan IDCW,Tata Banking And Financial Services Fund Regular Plan Growth,Tata Banking And Financial Services Fund Direct Plan Growth |
| 3 | Fund Type | An open ended equity scheme investing in Banking & Financial Services Sector |
| 4 | Riskometer (At the time of Launch) | Very High |
| 5 | Riskometer (as on Date) | Very High |
| 6 | Category as Per SEBI Categorization Circular | Sectoral Fund |
| 7 | Potential Risk Class (as on date) | Not Applicable |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to seek long term capital appreciation by investing atleast 80% of its net assets in equity/equity related instruments of the companies in the Banking and Financial Services sector in India.However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns. |
| 9 | Stated Asset Allocation | Equity & equity related instruments of companies in the Banking and Financial Services Sector in India 80-100, Other Equity/Equity related instruments 0-20, Debt and Money Market Instruments 0-20. |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 04-Dec-15 |
| 12 | NFO Close date | 08-Dec-15 |
| 13 | Allotment Date | 28-Dec-15 |
| 14 | Reopen Date | 29-Dec-15 |
| 15 | Maturity Date (For closed-end funds) | Not Applicable |
| 16 | Benchmark (Tier 1) | Nifty Financial Services TRI |
| 17 | Benchmark (Tier 2) | Not Applicable |
| 18 | Fund Manager Name | Amey Sathe |
| 19 | Fund Manager Type (Primary/Comanage/Description) | Primary |
| 20 | Fund Manager From Date | 14-Oct-21 |
| 21 | Annual Expense (Stated maximum) | Regular 2.26, Direct 0.58 |
| 22 | Exit Load (if applicable) | 0.25% of NAV if redeemed /switched out before 30 days from the date of allotment. |
| 23 | Custodian | Standard Chartered Bank |
| 24 | Auditor | S.R. Batliboi & CO. LLP |
| 25 | Registrar | Computer Age Management Services Limited |
| 26 | RTA Code (To be phased out) | 0 |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | 0 |
| 29 | AMFI Codes (To be phased out) | 0 |
| 30 | SEBI Codes | TATA/O/E/SEC/15/10/0024 |
| 31 | Minimum Application Amount | 5000 |
| 32 | Minimum Application Amount in multiples of Rs. | 1 |
| 33 | Minimum Additional Amount | 1000 |
| 34 | Minimum Additional Amount in multiples of Rs. | 1 |
| 35 | Minimum Redemption Amount in Rs. | 500 |
| 36 | Minimum Redemption Amount in Units | 50 |
| 37 | Minimum Balance Amount (if applicable) | Not applicable |
| 38 | Minimum Balance Amount in Units (if applicable) | Not applicable |
| 39 | Max Investment Amount | Not Applicable. |
| 40 | Minimum Switch Amount (if applicable) | For all units there is no minimum switch amount. |
| 41 | Minimum Switch Units | For all units there is no minimum switch units. |
| 42 | Switch Multiple Amount (if applicable) | Not Applicable. |
| 43 | Switch Multiple Units (if applicable) | Not Applicable. |
| 44 | Max Switch Amount | Not Applicable. |
| 45 | Max Switch Units (if applicable) | Not Applicable. |
| 46 | Swing Pricing (if applicable) | Not Applicable |
| 47 | Side-pocketing (if applicable) | Applicable |
| 48 | SIP SWP & STP Details: Frequency | SIP-- Monthly/Monthly/Quarterly/Quarterly/Weekly/Daily (Business Days) ;STP --Monthly/Quarterly/Weekly/Daily (Business Days) ;SWP --Monthly/Quarterly/Half-yearly/Yearly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP-- 150.00/1,000.00/1,000.00/1,500.00/150.00/150.00 ;STP --500.00/500.00/500.00/500.00 ;SWP --500.00/500.00/500.00/500.00 |
| 50 | SIP SWP & STP Details: In multiple of | SIP-- 1.00/1.00/1.00/1.00/1.00/1.00 ;STP --1.00/1.00/1.00/1.00 ;SWP --1.00/1.00/1.00/1.00 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP-- 12 Instalments/6 instalments/6 instalments/4 instalments/12 instalments/12 instalments ;STP --500.00 to 999.00 - 12 instalments; 1,000.00 to 1,999.00 - 6 instalments; 2,000.00 and more - 3 instalments ;SWP --1.00/1.00/1.00/1.00 |
| 52 | SIP SWP & STP Details: Dates | SIP-- Any day/Any day/Any day/Any day/Monday-Friday/All Business Days ;STP --Any day/Any day/Monday-Friday/All Business Days ;SWP --Any day/Any day/Any day/Any day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | SIP-- 999.00/Not Applicable/Not Applicable/Not Applicable/Not Applicable/Not Applicable ;STP --Not Applicable/Not Applicable/Not Applicable/Not Applicable ;SWP --Not Applicable/Not Applicable/Not Applicable/Not Applicable |