



TATA MUTUAL FUND

Mafatlal Centre 9th Floor Nariman Point Mumbai - 400 021

REQUEST FORM FOR CHANGE OF STATUS (MINOR TO MAJOR)

**INVESTOR DETAILS**

1 st Holder Name		Folio No. _____
Date of Birth DD / MM / YYYY		PAN _____
C-KYC	Mobile No. _____	
Guardian Name		

I would like to inform you that the investment(s) in the above Folio was made when I was minor and same was represented by above mentioned Guardian. As I have attained age of 18 years as on DD / MM / YYYY, I request you to change my status in the Folio and remove the Guardian Name and also update the following details in your records.

CONTACT DETAILS: New address to be updated from KYC letter attached. Or provide below if KYC is still in progress.

Address		
		City
PIN	State	Country
Residence Phone (prefix STD Code)	Office Phone (prefix STD Code)	Extn
Mobile	Email	

BANK ACCOUNT DETAILS: The bank account details provided below will be held on record and considered as default bank mandate to pay redemption proceeds and dividend payouts (if applicable).

Bank Name	Branch	
Account number	A/C type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE	
MICR	IFSC for RTGS	IFSC for NEFT
Address		
City	PIN	State

ADDITIONAL KYC INFORMATION

- a. **Status:** Resident Individual NRI-Repatriation NRI-Non Repatriation Foreign National Resident in India PIO FPI Others _____
- b. **Occupation Details:** Service Private Sector Public Sector Government Service Student Professional
 Housewife Business Retired Agriculture Proprietorship Others _____ (please specify)
- c. **Gross Annual Income (Rs.):** Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac >25 Lacs - 1Crore
OR Net-worth Rs. _____ as on DD / MM / YYYY (Not older than 1 year)
- d. **Politically Exposed Person (PEP) Status:** I am PEP I am Related to PEP Not Applicable

FATCA & CRS INFORMATION

Country of Birth	Place of Birth	Nationality <input type="checkbox"/> Indian <input type="checkbox"/> U. S. <input type="checkbox"/> Others (Please specify) _____	Type of address given at KRA <input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Registered Office <input type="checkbox"/> Business
Are you also a resident in any other country(ies) for tax purposes? <input type="checkbox"/> No <input type="checkbox"/> Yes If yes, complete section below.			
Country of Tax Residency 1 #	Tax Identification Number 1 ^	Identification Type 1	If TIN is not available please tick the reason A, B or C * Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Country of Tax Residency 2 #	Tax Identification Number 2 ^	Identification Type 2	If TIN is not available please tick the reason A, B or C * Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
Country of Tax Residency 3 #	Tax Identification Number 3 ^	Identification Type 3	If TIN is not available please tick the reason A, B or C * Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent. * Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others- Please state the reasons thereof

NOMINATION DETAILS

You can nominate up to 3 persons to receive the Units allotted to you in your folio in the unfortunate event of death of unit holder. All payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund/ Trustees.

Select any one <input type="checkbox"/> Register nomination as below <input type="checkbox"/> I do not wish to nominate.			
1 st Nominee Name			Date of Birth <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Address			
City	PIN	State	Country
Mobile	Allocation (%)	Guardian Name in case of Minor Nominee	Signature of Nominee / Guardian
2 nd Nominee Name			Date of Birth <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Address			
City	PIN	State	Country
Mobile	Allocation (%)	Guardian Name in case of Minor Nominee	Signature of Nominee / Guardian
3 rd Nominee Name			Date of Birth <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Address			
City	PIN	State	Country
Mobile	Allocation (%)	Guardian Name in case of Minor Nominee	Signature of Nominee / Guardian

DECLARATION & SIGNATURE

- 1) I/am not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Request Form [including Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards ("CRS") instructions] and hereby confirm and declare that, the information provided by me in this Request Form is true, correct and complete and is duly supported by the documentary evidence / proof enclosed along with the Request Form. Further, I hereby agree and undertake to intimate / inform promptly the AMC/ Fund/ Trustee/ RTA, of any modification to the above mentioned information. I further agree to abide by all the Terms and Conditions and the Provisions of the Scheme related document(s).
- 2) For Foreign Nationals Resident in India only: I will redeem my entire investment/s before I change my Indian residency status. I shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.
- 3) For NRIs/ PIO/OCIs only: I confirm that my application is in compliance with applicable Indian and foreign laws.

Specimen signature of the Unit holder who has attained Majority:

In support of my current signature:

- I am submitting this request during a personal visit to your Investor Service Centre along with my original PAN / Passport **OR**
- Parent / Guardian (registered in the folio) has attested here

Signature of parent / guardian / donor

OR

SIGNATURE VERIFICATION BY BANK		Signature of bank official with Bank's Seal
Name of the Bank Official	Name of the Bank	
Designation	Bank Account Number of Investor	
Employee Code	Contact Number of the Bank Official	

Unitholder's Checklist-

I am submitting the following documents herewith:

Sr. No	Documents	Status (Please ✓)
1	*Documentary proof of bank account currently registered (reflecting name and account number)	<input type="checkbox"/>
2	New bank account proposed to be registered (reflecting name and account number)	<input type="checkbox"/>
3	KYC acknowledgement letter	<input type="checkbox"/>
4	Attested age proof	<input type="checkbox"/>
5	Declaration for investors who are US persons (if applicable)	<input type="checkbox"/>

***Valid Documentary proof:**

Cancelled original cheque leaf (reflecting name and account number) / photocopy of cheque leaf (reflecting name and account number) accompanied with the original cheque leaf for verification at the offices of Tata Asset Management Limited / bank passbook or bank account statement (certified by the bank manager) / letter from the bank for the new bank account /Passbook.

INSTRUCTIONS

1. Unit holder will have to produce the originals of the documents mentioned above, along with the photocopies, at the counter, we shall verify them and return the originals to you, or photocopies can be submitted attested by the Banker Manager, (name, designation, employee code, and seal should be affixed, clearly on the copy).
2. Address shall be updated in the folio as per the records in KRA.
3. "Change of Tax Status", request should be submitted prior to the submission of any request of processing any financial transaction. If a combined request to change the status along with request to process any financial transaction is submitted, then only change in status request will be processed and such other request will be liable to be rejected.
4. In case of systematic transactions like Systematic Investment Plan (SIP), Systematic Transfer Plan (STP) Systematic Withdrawal Plan (SWP) etc, the standing instruction for execution of such SIP, STP & SWP shall be terminated within 30 days from the date of receiving the request to change the status of the folio from Minor to Major.