Trustee: **Tata Trustee Company Limited (TTCL),** Investment Manager: **Tata Asset Management Limited (TAML)**
9th Floor, Mafatlal Centre, Nariman Point, Mumbai 400 021. Tel. (022) 66578282. • E-mail: service@tataamc.com • Visit us at www.tatamutualfund.com • CIN: TAML – U65990-MH-1994-PLC-077090, TTCL – U65991-MH-1995-PLC-087722

TATA MUTUAL FUND

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)
## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

## TATA BALANCED FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 2000000 | 36061.00 | 5.98 |
| ICICI BANK LTD | BANKS | INE090A01021 | 10000000 | 27695.00 | 4.59 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 2300000 | 17989.45 | 2.98 |
| YES BANK LTD | BANKS | INE528G01027 | 5000000 | 17500.00 | 2.90 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 7700000 | 16220.05 | 2.69 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 1800000 | 15741.00 | 2.61 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 1320000 | 15063.84 | 2.50 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 5737500 | 14817.09 | 2.46 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 60000 | 11141.40 | 1.85 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 2750000 | 11034.38 | 1.83 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 6490000 | 10614.40 | 1.76 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 1035000 | 10369.67 | 1.72 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 4000000 | 10150.00 | 1.68 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 1800000 | 9377.10 | 1.56 |
| HINDALCO INDUSTRIES LTD | NON - FERROUS METALS | INE038A01020 | 3440262 | 8284.15 | 1.37 |
| INDUSIND BANK LTD | BANKS | INE095A01012 | 486000 | 8160.18 | 1.35 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 900000 | 8088.75 | 1.34 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 100000 | 7973.15 | 1.32 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 1500000 | 7548.00 | 1.25 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 640000 | 7520.96 | 1.25 |
| FEDERAL BANK LTD | BANKS | INE171A01029 | 6527683 | 7350.17 | 1.22 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 2100000 | 7062.30 | 1.17 |
| AUROBINDO PHARMA LTD | PHARMACEUTICALS | INE406A01037 | 1000000 | 6917.50 | 1.15 |
| VEDANTA LTD | NON - FERROUS METALS | INE205A01025 | 2200000 | 6906.90 | 1.15 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 2330000 | 6794.28 | 1.13 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 1600000 | 6705.60 | 1.11 |
| BHARAT FORGE LTD | INDUSTRIAL PRODUCTS | INE465A01025 | 1100000 | 6486.70 | 1.08 |
| DISHMAN CARBOGEN AMCIS LTD | PHARMACEUTICALS | INE385W01011 | 1900000 | 6414.40 | 1.06 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 3000000 | 6004.50 | 1.00 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 150000 | 5779.20 | 0.96 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 1200000 | 5693.40 | 0.94 |
| ADITYA BIRLA FASHION & RETAIL LTD | RETAILING | INE647O01011 | 3375000 | 5494.50 | 0.91 |
| EXIDE INDUSTRIES LTD | AUTO ANCILLARIES | INE302A01020 | 2625000 | 5395.69 | 0.89 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 398500 | 5365.01 | 0.89 |
| APOLLO TYRES LTD | AUTO ANCILLARIES | INE438A01022 | 2000000 | 4899.00 | 0.81 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 4800000 | 4766.40 | 0.79 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 1194187 | 4649.57 | 0.77 |
| STRIDES SHASUN LTD | PHARMACEUTICALS | INE939A01011 | 500000 | 4422.25 | 0.73 |
| ORACLE FINANCIALS SERVICES SOFT LTD | SOFTWARE | INE881D01027 | 112700 | 4091.57 | 0.68 |
| WABCO INDIA LTD | AUTO ANCILLARIES | INE342J01019 | 68000 | 4048.11 | 0.67 |
| J K CEMENT LTD | CEMENT | INE823G01014 | 400000 | 3824.40 | 0.63 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 700000 | 3780.35 | 0.63 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 2500000 | 3716.25 | 0.62 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 333756 | 3658.80 | 0.61 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 150000 | 3655.50 | 0.61 |
| DCB BANK LTD | BANKS | INE503A01015 | 2000000 | 3639.00 | 0.60 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 455000 | 3474.15 | 0.58 |
| AMBUJA CEMENTS LTD | CEMENT | INE079A01024 | 1300000 | 3458.00 | 0.57 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 70000 | 3426.85 | 0.57 |
| NTPC LTD | POWER | INE733E01010 | 2000000 | 3345.00 | 0.55 |
| JINDAL STEEL & POWER LTD | FERROUS METALS | INE749A01030 | 2000000 | 2695.00 | 0.45 |
| MULTI COMMODITY EXCHANGE OF IND LTD | FINANCE | INE745G01035 | 252000 | 2614.00 | 0.43 |
| NATIONAL ALUMINIUM CO. LTD | NON - FERROUS METALS | INE139A01034 | 3000000 | 2349.00 | 0.39 |
| BAYER CROPSCIENCE LTD | PESTICIDES | INE462A01022 | 56000 | 2173.81 | 0.36 |
| BHARAT FINANCIAL INCLUSION LTD | FINANCE | INE180K01011 | 200000 | 1896.60 | 0.31 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 100000 | 1740.70 | 0.29 |
| SEQUENT SCIENTIFIC LTD | PHARMACEUTICALS | INE807F01027 | 1000000 | 1168.50 | 0.19 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 500000 | 1028.25 | 0.17 |
| EQUITY & EQUITY RELATED TOTAL | | | | 438240.78 | 72.68 |
| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 6.68% (17/09/2031) | SOV | IN0020170042 | 20000000 | 19679.98 | 3.26 |
| SDL ANDHRA PRADESH 7.51% (13/09/2037) | SOV | IN1020170107 | 15000000 | 15046.58 | 2.50 |
| GOI - 6.62% (28/11/2051) | SOV | IN0020160092 | 12500000 | 11467.45 | 1.90 |
| GOI - 7.73% (19/12/2034) | SOV | IN0020150051 | 10000000 | 10508.10 | 1.74 |
| GOI - 6.57% (05/12/2033) | SOV | IN0020160100 | 8646100 | 8217.65 | 1.36 |
| GOI - 8.28% (21/09/2027) | SOV | IN0020070069 | 4500000 | 4871.43 | 0.81 |
| SDL MAHARASHTRA 7.18% (28/06/2029) | SOV | IN2220170038 | 4000000 | 3943.98 | 0.65 |
| GOI - 6.79% (15/05/2027) | SOV | IN0020170026 | 3781400 | 3814.68 | 0.63 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 3379000 | 3464.20 | 0.57 |
| SDL PUNJAB 7.07% (28/12/2020) | SOV | IN2820160330 | 2500000 | 2514.68 | 0.42 |
| GOI - 7.72% (25/05/2025) | SOV | IN0020150036 | 1000000 | 1044.42 | 0.17 |
| GOI 8.24% 15/02/2027 | SOV | IN0020060078 | 700000 | 753.79 | 0.13 |
| GOI - 8.17% (01/12/2044). | SOV | IN0020140078 | 500000 | 549.75 | 0.09 |
| SDL ANDHRA PRADESH 7.40% (23/08/2032) | SOV | IN1020170099 | 500000 | 499.61 | 0.08 |
| GOI - 8.30% (02/07/2040) | SOV | IN0020100031 | 375000 | 415.22 | 0.07 |
| SDL KARNATAKA 8.40% (27/03/2018) | SOV | IN1920070019 | 325000 | 328.18 | 0.05 |
| GOI - 6.79% (26/12/2029) | SOV | IN0020160118 | 230000 | 227.26 | 0.04 |
| GOI - 7.68% (15/12/2023). | SOV | IN0020150010 | 75500 | 78.86 | 0.01 |
| SDL TAMILNADU 8.14% (27/05/2025) | SOV | IN3120150047 | 48500 | 50.61 | 0.01 |
| GOVERNMENT SECURITIES TOTAL | | | | 87476.43 | 14.51 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.50 % HDFC LTD - 31/08/2020 | CRISIL- AAA | INE001A07NZ7 | 60 | 6164.62 | 1.02 |
| ** 08.78 % INDIABULLS HOUSING FINANCE LTD - 22/08/2019 | CRISIL- AA+ | INE148I07HW8 | 500 | 5091.11 | 0.84 |
| ** 07.80 % KOTAK MAHINDRA INVESTMENTS LTD - 18/09/2018 | CRISIL- AAA | INE975F07FP8 | 500 | 5019.01 | 0.83 |
| ** 06.90 % POWER FINANCE CORPORATION - 16/07/2018 | CRISIL- AAA | INE134E08IU7 | 500 | 5000.71 | 0.83 |
| ** 07.95 % HDFC LTD - 23/09/2019 | CRISIL- AAA | INE001A07PM0 | 25 | 2528.32 | 0.42 |
| ** 07.03 % RURAL ELECTRIFICATION CORP. LTD - 07/09/2022 | CRISIL- AAA | INE020B08AK2 | 250 | 2486.01 | 0.41 |
| ** 08.32 % RELIANCE JIO INFOCOMM LTD - 08/07/2021 | CRISIL- AAA | INE110L07070 | 150 | 1548.04 | 0.26 |
| ** 07.95 % RELIANCE PORTS & TERMINALS LTD - 28/10/2026 | CRISIL- AAA | INE941D07158 | 150 | 1508.93 | 0.25 |
| ** 09.58 % INDIABULLS HOUSING FINANCE LTD - 25/11/2017 | CRISIL- AA+ | INE148I07AN2 | 150 | 1505.05 | 0.25 |
| ** 09.36 % POWER FINANCE CORPORATION - 01/08/2021 | CRISIL- AAA | INE134E08DR4 | 50 | 534.33 | 0.09 |
| ** 08.70 % POWER FINANCE CORPORATION - 14/05/2020 | CRISIL- AAA | INE134E08CX4 | 50 | 517.90 | 0.09 |
| ** 08.72 % NABHA POWER LTD - 23/01/2018 | ICRA- AAA(SO) | INE445L08193 | 40 | 402.07 | 0.07 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 16000 | 197.12 | 0.03 |
| ** 09.27 % TALWANDI SABO POWER LTD - 10/11/2017 | CRISIL- AA(SO) | INE694L07057 | 18 | 180.35 | 0.03 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 13 | 130.91 | 0.02 |
| ** 09.45 % STATE BANK OF INDIA - 16/03/2026 | CRISIL- AAA | INE062A08066 | 758 | 81.08 | 0.01 |
| ** 09.30 % BLUE DART EXPRESS LTD - 20/11/2017 | ICRA- AA | INE233B08087 | 193074 | 19.35 | 0.00* |
| ** 09.40 % BLUE DART EXPRESS LTD - 20/11/2018 | ICRA- AA | INE233B08095 | 110328 | 11.22 | 0.00* |
| ** 09.50 % BLUE DART EXPRESS LTD - 20/11/2019 | ICRA- AA | INE233B08103 | 82746 | 8.54 | 0.00* |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 32934.67 | 5.46 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.15 % VODAFONE MOBILE SERVICES LTD - 10/07/2019 | CRISIL- AA | INE713G08038 | 250 | 2532.09 | 0.42 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 2532.09 | 0.42 |
| B) MONEY MARKET INSTRUMENTS | | | | | |
| ** - HDFC LTD - CP - 28/02/2018 | CRISIL- A1+ | INE001A14RJ8 | 1000 | 4866.02 | 0.81 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 4866.02 | 0.81 |
| C) REPO | | | | 50038.17 | 8.30 |
| PORTFOLIO TOTAL | | | | 616088.16 | 102.18 |
| NET CURRENT LIABILITIES | | | | -13146.95 | -2.18 |
| NET ASSETS | | | | 602941.21 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.199.1145 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.77.7283 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.67.4082 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.205.3895 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.80.7082 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.69.9779 (DIRECT - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.191.6831 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.74.8270 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.67.5552 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.196.4749 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.77.2025 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.69.5970 (DIRECT - MONTHLY DIVIDEND)
PORTFOLIO TURNOVER RATIO: 2.51 TIMES
AVERAGE MATURITY : 9.59 YEARS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA YOUNG CITIZENS' FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 317500 | 1111.25 | 4.94 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 7650 | 609.95 | 2.71 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 40000 | 538.52 | 2.39 |
| WABCO INDIA LTD | AUTO ANCILLARIES | INE342J01019 | 9000 | 535.78 | 2.38 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 15200 | 472.44 | 2.10 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 85500 | 461.74 | 2.05 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 55000 | 447.51 | 1.99 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 750000 | 447.38 | 1.99 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 92000 | 436.49 | 1.94 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 25000 | 428.56 | 1.90 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 160000 | 413.20 | 1.84 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 78000 | 410.40 | 1.82 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 86666 | 363.22 | 1.61 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 9100 | 344.09 | 1.53 |
| ESCORTS LTD | AUTO | INE042A01014 | 47000 | 310.06 | 1.38 |
| WONDERLA HOLIDAYS LTD | HOTELS, RESORT AND OTHER RECREATIONAL ACTIVITIES | INE066O01014 | 90000 | 309.96 | 1.38 |
| HDFC BANK LTD | BANKS | INE040A01026 | 17100 | 308.32 | 1.37 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 51000 | 301.00 | 1.34 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 55000 | 286.52 | 1.27 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 184000 | 273.52 | 1.22 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 120000 | 252.78 | 1.12 |
| ICICI BANK LTD | BANKS | INE090A01021 | 88000 | 243.72 | 1.08 |
| EVEREADY INDUSTRIES INDIA LTD | CONSUMER NON DURABLES | INE128A01029 | 80000 | 238.00 | 1.06 |
| P & G HYGIENE & HEALTH CARE LTD | CONSUMER NON DURABLES | INE179A01014 | 2725 | 229.39 | 1.02 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 160000 | 194.96 | 0.87 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 54000 | 181.60 | 0.81 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 140000 | 172.27 | 0.77 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 14500 | 156.25 | 0.69 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 8000 | 115.98 | 0.52 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 10000 | 113.34 | 0.50 |
| GATEWAY DISTRIPARKS LTD | TRANSPORTATION | INE852F01015 | 43000 | 98.36 | 0.44 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 14500 | 58.01 | 0.26 |
| EQUITY & EQUITY RELATED TOTAL | | | | 10864.57 | 48.28 |
| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 8.28% (21/09/2027) | SOV | IN0020070069 | 1000000 | 1082.54 | 4.81 |
| GOI - 7.80% (11/04/2021) | SOV | IN0020110022 | 1000000 | 1038.55 | 4.62 |
| GOI 8.24% 15/02/2027 | SOV | IN0020060078 | 900000 | 969.15 | 4.31 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 750000 | 768.91 | 3.42 |
| GOI - 8.20% (24/09/2025) | SOV | IN0020120047 | 500000 | 536.52 | 2.38 |
| GOI 8.20% (15/02/2022) | SOV | IN0020060037 | 500000 | 528.96 | 2.35 |
| GOI - 8.27% (09/06/2020) | SOV | IN0020140029 | 150000 | 156.68 | 0.70 |
| GOVERNMENT SECURITIES TOTAL | | | | 5081.31 | 22.58 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 09.25 % RELIANCE JIO INFOCOMM LTD - 16/06/2024 | CRISIL- AAA | INE110L08037 | 100 | 1074.98 | 4.78 |
| ** 09.39 % RURAL ELECTRIFICATION CORP. LTD - 20/07/2019 | CRISIL- AAA | INE020B08765 | 60 | 623.90 | 2.77 |
| ** 08.87 % EXIM - 10/10/2022 | CRISIL- AAA | INE514E08BQ3 | 50 | 536.15 | 2.38 |
| ** 07.60 % NATIONAL HIGHWAYS AUTHORITY OF INDIA - 18/03/2022 | CRISIL- AAA | INE906B07FG1 | 50 | 508.30 | 2.26 |
| ** 07.30 % POWER GRID CORPORATION OF INDIA LTD - 19/06/2027 | CRISIL- AAA | INE752E07OF7 | 50 | 495.78 | 2.20 |
| ** 08.49 % NHPC LTD - 26/11/2018 | CRISIL- AAA | INE848E07609 | 100 | 101.75 | 0.45 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 3340.86 | 14.85 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.10 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/10/2023 | CRISIL- AAA | INE018E08078 | 100 | 993.17 | 4.41 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 993.17 | 4.41 |
| B) REPO | | | | 2001.30 | 8.89 |
| PORTFOLIO TOTAL | | | | 22281.21 | 99.01 |
| CASH / NET CURRENT ASSET | | | | 221.31 | 0.99 |
| NET ASSETS | | | | 22502.52 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.25.8852 (REGULAR PLAN)
NAV AS ON 30-SEP-17: RS.27.1945 (DIRECT)
NAV AS ON 31-MAR-17: RS.23.8111 (REGULAR PLAN)
NAV AS ON 31-MAR-17: RS.24.8759 (DIRECT)
PORTFOLIO TURNOVER RATIO: 0.26 TIMES
AVERAGE MATURITY : 5.16 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA ETHICAL FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 43300 | 3452.37 | 7.53 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 242000 | 2843.86 | 6.20 |
| BRITANNIA INDUSTRIES LTD | CONSUMER NON DURABLES | INE216A01022 | 45000 | 1954.82 | 4.26 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 204000 | 1595.59 | 3.48 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 7000 | 1299.83 | 2.83 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 132186 | 1225.03 | 2.67 |
| WABCO INDIA LTD | AUTO ANCILLARIES | INE342J01019 | 20200 | 1202.53 | 2.62 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 31300 | 1183.52 | 2.58 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 29700 | 1144.28 | 2.50 |
| AUROBINDO PHARMA LTD | PHARMACEUTICALS | INE406A01037 | 150000 | 1037.63 | 2.26 |
| TECH MAHINDRA LTD | SOFTWARE | INE669C01036 | 225000 | 1028.81 | 2.24 |
| AMBUJA CEMENTS LTD | CEMENT | INE079A01024 | 375000 | 997.50 | 2.18 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 122000 | 949.95 | 2.07 |
| SIEMENS LTD | INDUSTRIAL CAPITAL GOODS | INE003A01024 | 78000 | 935.38 | 2.04 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 2900 | 904.22 | 1.97 |
| ASIAN PAINTS (INDIA) LTD | CONSUMER NON DURABLES | INE021A01026 | 80000 | 893.64 | 1.95 |
| CIPLA LTD | PHARMACEUTICALS | INE059A01026 | 151000 | 883.27 | 1.93 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 161271 | 870.94 | 1.90 |
| RALLIS INDIA LTD | PESTICIDES | INE613A01020 | 412000 | 855.72 | 1.87 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 160000 | 841.84 | 1.84 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 16000 | 783.28 | 1.71 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 370000 | 760.91 | 1.66 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 150000 | 757.05 | 1.65 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 180000 | 754.38 | 1.64 |
| DABUR INDIA LTD | CONSUMER NON DURABLES | INE016A01026 | 240000 | 732.12 | 1.60 |
| THERMAX LTD | INDUSTRIAL CAPITAL GOODS | INE152A01029 | 76196 | 718.76 | 1.57 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 165000 | 660.08 | 1.44 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 130000 | 654.16 | 1.43 |
| GUJARAT PIPAVAV PORT LTD | TRANSPORTATION | INE517F01014 | 459000 | 612.77 | 1.34 |
| TITAN COMPANY LTD | CONSUMER DURABLES | INE280A01028 | 105000 | 611.84 | 1.33 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 87000 | 599.82 | 1.31 |
| AMARA RAJA BATTERIES LTD | AUTO ANCILLARIES | INE885A01032 | 79849 | 566.93 | 1.24 |
| CASTROL INDIA LTD | PETROLEUM PRODUCTS | INE172A01027 | 150000 | 539.85 | 1.18 |
| GE POWER INDIA LTD | INDUSTRIAL CAPITAL GOODS | INE878A01011 | 79300 | 535.00 | 1.17 |
| COLGATE-PALMOLIVE INDIA LTD | CONSUMER NON DURABLES | INE259A01022 | 49100 | 519.67 | 1.13 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 80000 | 512.80 | 1.12 |
| NESTLE INDIA LTD | CONSUMER NON DURABLES | INE239A01016 | 7000 | 505.56 | 1.10 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 150000 | 504.45 | 1.10 |
| ABB INDIA LTD | INDUSTRIAL CAPITAL GOODS | INE117A01022 | 35000 | 488.62 | 1.07 |
| CARBORUNDUM UNIVERSAL LTD | INDUSTRIAL PRODUCTS | INE120A01034 | 150000 | 475.58 | 1.04 |
| MAHINDRA CIE AUTOMOTIVE LTD | INDUSTRIAL PRODUCTS | INE536H01010 | 198000 | 473.32 | 1.03 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 15000 | 466.22 | 1.02 |
| PETRONET LNG LTD | GAS | INE347G01014 | 200000 | 462.80 | 1.01 |
| IPCA LABORATORIES LTD | PHARMACEUTICALS | INE571A01020 | 93750 | 457.50 | 1.00 |
| GUJARAT STATE FERTILIZERS &CHEM LTD | FERTILISERS | INE026A01025 | 350000 | 446.08 | 0.97 |
| UPL LTD | PESTICIDES | INE628A01036 | 55000 | 427.41 | 0.93 |
| IFB INDUSTRIES LTD | CONSUMER DURABLES | INE559A01017 | 54900 | 395.47 | 0.86 |
| NATCO PHARMA LTD | PHARMACEUTICALS | INE987B01026 | 50000 | 392.90 | 0.86 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 30000 | 384.41 | 0.84 |
| CYIENT LTD | SOFTWARE | INE136B01020 | 73417 | 373.33 | 0.81 |
| ESCORTS LTD | AUTO | INE042A01014 | 50000 | 329.85 | 0.72 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 36000 | 292.45 | 0.64 |
| NIIT LTD | SOFTWARE | INE161A01038 | 260000 | 257.14 | 0.56 |
| GRINDWELL NORTON LTD | INDUSTRIAL PRODUCTS | INE536A01023 | 50000 | 210.83 | 0.46 |
| BAJAJ ELECTRICALS LTD | CONSUMER DURABLES | INE193E01025 | 39000 | 137.69 | 0.30 |
| EQUITY & EQUITY RELATED TOTAL | | | | 43901.76 | 95.73 |
| PORTFOLIO TOTAL | | | | 43901.76 | 95.73 |
| CASH / NET CURRENT ASSET | | | | 1959.90 | 4.27 |
| NET ASSETS | | | | 45861.66 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.146.5197 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.70.8356 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.152.4799 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.91.6763 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.137.2819 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.66.3754 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.142.0908 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.85.4291 (DIRECT - DIVIDEND)
PORTFOLIO TURNOVER RATIO: 0.33 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA LIQUID FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) MONEY MARKET INSTRUMENTS | | | | | |
| ** - AXIS BANK LTD - CD - 08/11/2017 | CRISIL- A1+ | INE238A16T30 | 44500 | 44206.96 | 8.88 |
| ** TREASURY BILL 364 DAYS (26/10/2017) | SOV | IN002016Z152 | 36500000 | 36345.93 | 7.30 |
| ** TREASURY BILL 91 DAYS (30/11/2017) | SOV | IN002017X262 | 30000000 | 29704.11 | 5.97 |
| ** - INDUSIND BANK LTD - CD - 20/12/2017 | CRISIL- A1+ | INE095A16WJ5 | 30000 | 29601.96 | 5.95 |
| ** - INDIA INFOLINE FINANCE LTD - CP - 05/10/2017 | ICRA- A1+ | INE866I14VJ9 | 4500 | 22479.69 | 4.52 |
| ** - J.M. FINANCIAL PRODUCTS LTD - CP - 05/10/2017 | CRISIL- A1+ | INE523H14XP1 | 4000 | 19981.94 | 4.01 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

TATA MUTUAL FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| ** - UNION BANK OF INDIA - CD - 02/11/2017 | ICRA- A1+ | INE692A16EV2 | 20000 | 19893.82 | 4.00 |
| ** - IL&FS LTD - CP - 31/10/2017 | ICRA- A1+ | INE871D14IQ2 | 4000 | 19886.45 | 4.00 |
| ** - NATIONAL FERTILIZERS LTD - CP - 21/11/2017 | ICRA- A1+ | INE870D14BE5 | 4000 | 19825.54 | 3.98 |
| ** - NABARD - CP - 30/11/2017 | CRISIL- A1+ | INE261F14BT0 | 4000 | 19800.80 | 3.98 |
| ** - PNB HOUSING FINANCE LTD - CP - 15/12/2017 | CRISIL- A1+ | INE572E14CJ2 | 4000 | 19745.60 | 3.97 |
| ** - HDFC BANK LTD - CD - 21/12/2017 | CARE- A1+ | INE040A16BV0 | 20000 | 19732.44 | 3.96 |
| ** - PIRAMAL ENTERPRISES LTD - CP - 23/11/2017 | ICRA- A1+ | INE140A14QT5 | 3500 | 17338.57 | 3.48 |
| ** - RELIANCE JIO INFOCOMM LTD - CP - 21/12/2017 | CARE- A1+ | INE110L14EX3 | 3500 | 17264.77 | 3.47 |
| ** - INFINA FINANCE PVT LTD - CP - 05/10/2017 | CRISIL- A1+ | INE879F14BC5 | 3220 | 16085.46 | 3.23 |
| ** - HDFC LTD - CP - 08/12/2017 | CRISIL- A1+ | INE001A14RI0 | 3000 | 14827.35 | 2.98 |
| ** - LIC HOUSING FINANCE LTD - CP - 12/12/2017 | CRISIL- A1+ | INE115A14607 | 3000 | 14817.27 | 2.98 |
| ** - INDUSTRIAL AND COMMERCIAL BANK OF CHINA LTD - CD - 15/12/2017 | CRISIL- A1+ | INE480R16083 | 15000 | 14811.92 | 2.98 |
| ** - EXIM - CP - 21/12/2017 | CRISIL- A1+ | INE514E14MP0 | 3000 | 14799.02 | 2.97 |
| ** - POWER FINANCE CORPORATION - CP - 14/12/2017 | CRISIL- A1+ | INE134E14907 | 2500 | 12346.44 | 2.48 |
| ** - IL & FS FINANCIAL SER LTD - CP - 18/10/2017 | ICRA- A1+ | INE121H14HE1 | 2000 | 9968.91 | 2.00 |
| ** - L&T FINANCE LTD - CP - 28/11/2017 | CARE- A1+ | INE027E14CH1 | 2000 | 9896.25 | 1.99 |
| ** TREASURY BILL 91 DAYS (07/12/2017) | SOV | IN002017X270 | 10000000 | 9889.90 | 1.99 |
| ** - IL & FS FINANCIAL SER LTD - CP - 15/12/2017 | ICRA- A1+ | INE121H14HU7 | 2000 | 9859.80 | 1.98 |
| ** - INDIA INFOLINE HOUSING FINANCE LTD - CP - 06/10/2017 | ICRA- A1+ | INE477L14BB8 | 1800 | 8991.64 | 1.81 |
| ** - HINDUSTAN MEDIA VENTURES LTD - CP - 11/12/2017 | ICRA- A1+ | INE871K14133 | 1400 | 6916.78 | 1.39 |
| ** - SUNDARAM BNP PARIBAS HOME FIN LTD - CP - 27/12/2017 | CRISIL- A1+ | INE667F14DG1 | 1400 | 6897.25 | 1.39 |
| ** - PNB HOUSING FINANCE LTD - CP - 09/10/2017 | CRISIL- A1+ | INE572E14CA1 | 1000 | 4992.80 | 1.00 |
| ** - INFINA FINANCE PVT LTD - CP - 23/11/2017 | CRISIL- A1+ | INE879F14BB7 | 1000 | 4950.67 | 0.99 |
| ** - ABU DHABI COMMERCIAL BANK LTD - CD - 27/12/2017 | CRISIL- A1+ | INE526V16275 | 5000 | 4926.38 | 0.99 |
| ** TREASURY BILL 182 DAYS (02/11/2017) | SOV | IN002017Y039 | 2500000 | 2486.79 | 0.50 |
| ** - INFINA FINANCE PVT LTD - CP - 26/10/2017 | CRISIL- A1+ | INE879F14BA9 | 400 | 1990.64 | 0.40 |
| ** TREASURY BILL 91 DAYS (09/11/2017) | SOV | IN002017X239 | 1633900 | 1623.41 | 0.33 |
| ** TREASURY BILL 182 DAYS (30/11/2017) | SOV | IN002017Y054 | 500000 | 495.07 | 0.10 |
| ** TREASURY BILL 91 DAYS (14/12/2017) | SOV | IN002017X288 | 353800 | 349.50 | 0.07 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 507731.83 | 102.01 |
| B) FIXED DEPOSITS (NOT EXCEEDING 91 DAYS) | | | | | |
| BANDHAN BANK | | | | 15000.00 | 3.01 |
| HDFC BANK LTD | | | | 20000.00 | 4.02 |
| RBL BANK LTD | | | | 30000.00 | 6.03 |
| FIXED DEPOSITS TOTAL | | | | 65000.00 | 13.06 |
| C) REPO | | | | 13.91 | 0.00 |
| PORTFOLIO TOTAL | | | | 572745.74 | 115.07 |
| NET CURRENT LIABILITIES | | | | -75041.16 | -15.07 |
| NET ASSETS | | | | 497704.58 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.3657.3363 (RIP - GROWTH)
NAV AS ON 30-SEP-17: RS.1145.5434 (RIP - DIV FN)
NAV AS ON 30-SEP-17: RS.1116.8143 (RIP - DIV DAILY)
NAV AS ON 30-SEP-17: RS.2697.8105 (HIP - GROWTH)
NAV AS ON 30-SEP-17: RS.1114.3379 (HIP - DIV DAILY)
NAV AS ON 30-SEP-17: RS.3088.0077 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.1114.5200 (REGULAR PLAN - DAILY DIVIDEND)
NAV AS ON 30-SEP-17: RS.1001.41500 (REGULAR PLAN - WEEKLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.1000.5553 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.3098.7915 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.1114.5200 (DIRECT - DAILY DIVIDEND)
NAV AS ON 30-SEP-17: RS.1001.4206 (DIRECT - WEEKLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.1000.5595 (DIRECT - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.3554.0477 (RIP - GROWTH)
NAV AS ON 31-MAR-17: RS.1145.2718 (RIP - DIV FN)
NAV AS ON 31-MAR-17: RS.1116.8143 (RIP - DIV DAILY)
NAV AS ON 31-MAR-17: RS.2617.9101 (HIP - GROWTH)
NAV AS ON 31-MAR-17: RS.1114.3379 (HIP - DIV DAILY)
NAV AS ON 31-MAR-17: RS.1147.1991 (HIP - DIV WEEKLY)
NAV AS ON 31-MAR-17: RS.2989.8970 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.1114.5200 (REGULAR PLAN - DAILY DIVIDEND)
NAV AS ON 31-MAR-17: RS.1001.3106 (REGULAR PLAN - WEEKLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.1000.2778 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.2999.5699 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.1114.5200 (DIRECT - DAILY DIVIDEND)
NAV AS ON 31-MAR-17: RS.1001.3199 (DIRECT - WEEKLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.1000.2812 (DIRECT - MONTHLY DIVIDEND)
AVERAGE MATURITY : 1.96 MONTHS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA GILT SECURITIES FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 6.57% (05/12/2033) | SOV | IN0020160100 | 4500000 | 4277.01 | 34.34 |
| GOI - 6.62% (28/11/2051) | SOV | IN0020160092 | 3500000 | 3210.89 | 25.78 |
| GOI - 7.83% (11/04/2018) | SOV | IN0020110014 | 1000000 | 1008.04 | 8.09 |
| SDL ANDHRA PRADESH 7.51% (13/09/2037) | SOV | IN1020170107 | 1000000 | 1003.11 | 8.05 |
| GOI - 6.68% (17/09/2031) | SOV | IN0020170042 | 500000 | 492.00 | 3.95 |
| GOI - 6.79% (26/12/2029) | SOV | IN0020160118 | 300000 | 296.43 | 2.38 |
| GOI - 6.79% (15/05/2027) | SOV | IN0020170026 | 200000 | 201.76 | 1.62 |
| GOI 10.70% (22/04/2020) | SOV | IN0020000025 | 10200 | 11.18 | 0.09 |
| GOI 6.90% (13/07/2019) | SOV | IN0020090042 | 2400 | 2.42 | 0.02 |
| GOVERNMENT SECURITIES TOTAL | | | | 10502.84 | 84.32 |
| B) REPO | | | | 1740.31 | 13.97 |
| PORTFOLIO TOTAL | | | | 12243.15 | 98.29 |
| CASH / NET CURRENT ASSET | | | | 212.22 | 1.71 |
| NET ASSETS | | | | 12455.37 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.14.6166 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.22.9736 (RP280225 - DIVIDEND)
NAV AS ON 30-SEP-17: RS.23.0026 (RP280225 - GROWTH)
NAV AS ON 30-SEP-17: RS.15.3111 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.52.7088 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.50.6015 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.25.4496 (BONUS (RIP - GROWTH))
NAV AS ON 30-SEP-17: RS.18.8445 (HIP - DIVIDEND)
NAV AS ON 30-SEP-17: RS.31.2056 (HIP - GROWTH)
NAV AS ON 31-MAR-17: RS.14.3307 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.21.9178 (RP280225 - DIVIDEND)
NAV AS ON 31-MAR-17: RS.21.9456 (RP280225 - GROWTH)
NAV AS ON 31-MAR-17: RS.14.9124 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.49.9595 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.48.2242 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.24.2801 (BONUS (RIP - GROWTH))
NAV AS ON 31-MAR-17: RS.17.9785 (HIP - DIVIDEND)
NAV AS ON 31-MAR-17: RS.29.7716 (HIP - GROWTH)
AVERAGE MATURITY : 17.03 YEARS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA SHORT TERM BOND FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL PUNJAB 7.07% (28/12/2020) | SOV | IN2820160330 | 10500000 | 10561.66 | 1.63 |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 7770000 | 7836.53 | 1.21 |
| SDL PUNJAB 6.90% (11/01/2021) | SOV | IN2720160091 | 6000000 | 5992.66 | 0.92 |
| SDL RAJASTHAN UDAY 8.15% (23/06/2021) | SOV | IN2920160073 | 5481000 | 5673.87 | 0.87 |
| GOI - 8.26% (02/08/2027) | SOV | IN0020070036 | 4500000 | 4859.29 | 0.75 |
| SDL KERALA 8.85% (18/07/2022) | SOV | IN2020120035 | 4000000 | 4266.48 | 0.66 |
| SDL PUNJAB 6.99% (13/10/2020) | SOV | IN2820160264 | 4162500 | 4178.67 | 0.64 |
| SDL MAHARASHTRA 8.90% (19/12/2022) | SOV | IN2220120074 | 2500000 | 2686.83 | 0.41 |
| SDL PUNJAB 8.34% (06/10/2020) | SOV | IN2820100054 | 2500000 | 2599.19 | 0.40 |
| SDL MAHARASHTRA 6.94% (23/08/2022) | SOV | IN2220170079 | 2500000 | 2488.53 | 0.38 |
| SDL GUJARAT 7.21% (09/08/2027) | SOV | IN1520170086 | 1636100 | 1623.87 | 0.25 |
| GOI 8.20% (15/02/2022) | SOV | IN0020060037 | 1500000 | 1586.88 | 0.24 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 1500000 | 1537.82 | 0.24 |
| GOI - 6.79% (15/05/2027) | SOV | IN0020170026 | 1505100 | 1518.34 | 0.23 |
| GOI - 6.84% (19/12/2022) | SOV | IN0020160050 | 500000 | 503.88 | 0.08 |
| GOI - 6.79% (26/12/2029) | SOV | IN0020160118 | 263900 | 260.76 | 0.04 |
| GOI - 7.68% (15/12/2023). | SOV | IN0020150010 | 68400 | 71.45 | 0.01 |
| GOI - 8.27% (09/06/2020) | SOV | IN0020140029 | 60000 | 62.67 | 0.01 |
| GOVERNMENT SECURITIES TOTAL | | | | 58309.38 | 8.98 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 07.85 % NABARD - 31/05/2019 | CRISIL- AAA | INE261F08642 | 2300 | 23327.47 | 3.59 |
| ** 07.20 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 31/05/2020 | CRISIL- AAA | INE053F07991 | 2000 | 20114.40 | 3.10 |
| ** 07.50 % POWER FINANCE CORPORATION - 17/09/2020 | CRISIL- AAA | INE134E08IW3 | 1950 | 19677.76 | 3.03 |
| ** 09.04 % RURAL ELECTRIFICATION CORP. LTD - 12/10/2019 | CRISIL- AAA | INE020B08856 | 1500 | 15576.48 | 2.40 |
| ** 08.45 % RELIANCE PORTS & TERMINALS LTD - 12/06/2023 | CRISIL- AAA | INE941D07133 | 1500 | 15569.43 | 2.40 |
| ** 08.55 % RELIANCE JIO INFOCOMM LTD - 31/07/2018 | CRISIL- AAA | INE110L07013 | 1500 | 15181.32 | 2.34 |
| ** 07.70 % KOTAK MAHINDRA PRIME LTD - 29/05/2018 | CRISIL- AAA | INE916DA7MJ0 | 1500 | 15023.45 | 2.31 |
| ** 07.50 % BAJAJ FINANCE LTD - 10/08/2020 | CRISIL- AAA | INE296A07QB7 | 1450 | 14501.62 | 2.23 |
| ** 07.00 % RELIANCE INDUSTRIES LTD - 31/08/2022 | CRISIL- AAA | INE002A08476 | 1350 | 13433.94 | 2.07 |
| ** 08.10 % RELIANCE JIO INFOCOMM LTD - 29/04/2019 | CRISIL- AAA | INE110L07054 | 1250 | 12675.05 | 1.95 |
| ** 08.70 % LIC HOUSING FINANCE LTD - 14/09/2018 | CARE- AAA | INE115A07JA6 | 1250 | 12673.30 | 1.95 |
| ** 07.75 % INDIA INFRADEBT LTD - 30/08/2022 | CRISIL- AAA | INE537P07380 | 1250 | 12470.90 | 1.92 |
| ** 07.10 % POWER FINANCE CORPORATION - 08/08/2022 | CRISIL- AAA | INE134E08JD1 | 1200 | 11907.61 | 1.83 |
| ** 08.90 % RELIANCE JIO INFOCOMM LTD - 21/01/2020 | CARE- AAA | INE110L08052 | 1150 | 11892.15 | 1.83 |
| ** 09.25 % RELIANCE JIO INFOCOMM LTD - 16/06/2024 | CRISIL- AAA | INE110L08037 | 950 | 10212.34 | 1.57 |
| ** 09.29 % HDB FINANCIAL SERVICES LTD - 16/03/2018 | CRISIL- AAA | INE756I07498 | 1000 | 10087.65 | 1.55 |
| ** 07.45 % HDFC LTD - 14/06/2018 | CRISIL- AAA | INE001A07QC9 | 100 | 10014.54 | 1.54 |
| ** 07.65 % KOTAK MAHINDRA INVESTMENTS LTD - 21/06/2018 | CRISIL- AAA | INE975F07FO1 | 1000 | 10012.49 | 1.54 |
| ** 07.43 % HDB FINANCIAL SERVICES LTD - 28/09/2020 | CRISIL- AAA | INE756I07BM2 | 1000 | 9977.08 | 1.54 |
| ** 08.10 % RELIANCE JIO INFOCOMM LTD - 31/05/2019 | CRISIL- AAA | INE110L07062 | 750 | 7611.13 | 1.17 |
| ** 08.35 % NABHA POWER LTD - 27/09/2018 | ICRA- AAA(SO) | INE445L08284 | 750 | 7595.54 | 1.17 |
| ** 07.49 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 28/05/2027 | CRISIL- AAA | INE053F07AA7 | 750 | 7530.37 | 1.16 |
| ** 07.62 % BAJAJ FINANCE LTD - 15/06/2020 | CRISIL- AAA | INE296A07PZ8 | 750 | 7521.71 | 1.16 |
| ** 08.36 % RURAL ELECTRIFICATION CORP. LTD - 22/09/2020 | CRISIL- AAA | INE020B08955 | 700 | 7242.96 | 1.12 |
| ** 08.39 % POWER FINANCE CORPORATION - 28/05/2018 | CRISIL- AAA | INE134E08HM6 | 650 | 6559.05 | 1.01 |
| ** 08.36 % POWER FINANCE CORPORATION - 26/02/2020 | CRISIL- AAA | INE134E08GX5 | 600 | 6155.21 | 0.95 |
| ** 08.35 % LIC HOUSING FINANCE LTD - 18/10/2019 | CRISIL- AAA | INE115A07ID2 | 500 | 5092.77 | 0.78 |
| ** 08.38 % HDFC LTD - 15/07/2019 | CRISIL- AAA | INE001A07PH0 | 50 | 5085.02 | 0.78 |
| ** 08.58 % HDB FINANCIAL SERVICES LTD - 08/03/2019 | CRISIL- AAA | INE756I07969 | 500 | 5077.00 | 0.78 |
| ** 08.28 % LIC HOUSING FINANCE LTD - 30/04/2019 | CRISIL- AAA | INE115A07IG5 | 500 | 5071.74 | 0.78 |
| ** 08.60 % HDFC LTD - 28/09/2018 | CRISIL- AAA | INE001A07OB6 | 50 | 5068.79 | 0.78 |
| ** 07.81 % LIC HOUSING FINANCE LTD - 19/10/2021 | CRISIL- AAA | INE115A07KK3 | 500 | 5057.02 | 0.78 |
| ** 07.90 % NABARD - 27/11/2018 | CRISIL- AAA | INE261F08550 | 500 | 5055.07 | 0.78 |
| ** 08.17 % POWER FINANCE CORPORATION - 18/08/2018 | CRISIL- AAA | INE134E08HT1 | 500 | 5054.88 | 0.78 |
| ** 07.42 % RURAL ELECTRIFICATION CORP. LTD - 17/06/2020 | CRISIL- AAA | INE020B08AI6 | 500 | 5047.29 | 0.78 |
| ** 08.38 % HDFC LTD - 09/03/2018 | CRISIL- AAA | INE001A07OV4 | 50 | 5026.07 | 0.77 |
| ** 07.59 % LIC HOUSING FINANCE LTD - 12/07/2019 | CRISIL- AAA | INE115A07LV8 | 500 | 5019.07 | 0.77 |
| ** 07.80 % KOTAK MAHINDRA INVESTMENTS LTD - 18/09/2018 | CRISIL- AAA | INE975F07FP8 | 500 | 5019.01 | 0.77 |
| ** 07.51 % LIC HOUSING FINANCE LTD - 20/11/2018 | CRISIL- AAA | INE115A07LG9 | 500 | 5016.16 | 0.77 |
| ** 07.70 % KOTAK MAHINDRA PRIME LTD - 15/03/2019 | CRISIL- AAA | INE916DA7ND1 | 500 | 5015.65 | 0.77 |
| ** 07.33 % HDFC LTD - 11/12/2018 | CRISIL- AAA | INE001A07QW7 | 50 | 5009.23 | 0.77 |
| ** 07.05 % POWER FINANCE CORPORATION - 15/05/2020 | CRISIL- AAA | INE134E08IS1 | 500 | 4990.38 | 0.77 |
| ** 07.39 % LIC HOUSING FINANCE LTD - 30/08/2022 | CRISIL- AAA | INE115A07MJ1 | 500 | 4973.04 | 0.77 |
| ** 08.39 % HDFC LTD - 15/03/2019 | CRISIL- AAA | INE001A07OH3 | 35 | 3551.69 | 0.55 |
| ** 08.38 % LIC HOUSING FINANCE LTD - 27/02/2019 | CRISIL- AAA | INE115A07IK7 | 350 | 3549.07 | 0.55 |
| ** 08.50 % POWER FINANCE CORPORATION - 28/11/2017 | CRISIL- AAA | INE134E08GP1 | 350 | 3509.47 | 0.54 |
| ** 09.76 % LIC HOUSING FINANCE LTD - 08/03/2019 | CRISIL- AAA | INE115A07FB2 | 250 | 2578.73 | 0.40 |
| ** 09.65 % LIC HOUSING FINANCE LTD - 25/03/2019 | CRISIL- AAA | INE115A07FE6 | 250 | 2577.37 | 0.40 |
| ** 09.50 % IDFC BANK LTD - 29/04/2019 | ICRA- AAA | INE092T08857 | 250 | 2575.25 | 0.40 |
| ** 08.30 % NABHA POWER LTD - 05/07/2019 | ICRA- AAA(SO) | INE445L08318 | 250 | 2540.68 | 0.39 |
| ** 07.96 % LIC HOUSING FINANCE LTD - 12/12/2019 | CRISIL- AAA | INE115A07KF3 | 250 | 2531.42 | 0.39 |
| ** 07.47 % POWER FINANCE CORPORATION - 16/09/2021 | CRISIL- AAA | INE134E08IJ0 | 250 | 2519.23 | 0.39 |
| ** 08.34 % LIC HOUSING FINANCE LTD - 28/05/2018 | CRISIL- AAA | INE115A07DZ6 | 250 | 2516.44 | 0.39 |
| ** 08.30 % LIC HOUSING FINANCE LTD - 27/04/2018 | CRISIL- AAA | INE115A07JX8 | 250 | 2514.92 | 0.39 |
| ** 08.34 % IDFC BANK LTD - 09/05/2018 | ICRA- AAA | INE092T08774 | 250 | 2514.15 | 0.39 |
| ** 08.00 % KOTAK MAHINDRA PRIME LTD - 23/08/2018 | CRISIL- AAA | INE916DA7LR5 | 250 | 2513.58 | 0.39 |
| ** 07.65 % HDFC LTD - 20/03/2019 | CRISIL- AAA | INE001A07QE5 | 25 | 2510.59 | 0.39 |
| ** 08.10 % ORIX LEASING & FINANCIAL SERVICES INDIA LTD - 26/04/2019 | FITCH AAA | INE063R07037 | 250 | 2508.64 | 0.39 |
| ** 07.00 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 10/09/2018 | CRISIL- AAA | INE053F07967 | 250 | 2505.79 | 0.39 |
| ** 07.80 % NABARD - 12/10/2017 | CRISIL- AAA | INE261F08535 | 250 | 2500.63 | 0.39 |
| ** 09.35 % LIC HOUSING FINANCE LTD - 19/08/2019 | CRISIL- AAA | INE115A07FN7 | 200 | 2068.18 | 0.32 |
| ** 08.45 % HDFC LTD - 24/07/2019 | CRISIL- AAA | INE001A07OZ5 | 20 | 2037.11 | 0.31 |
| ** 08.15 % POWER GRID CORPORATION OF INDIA LTD - 09/03/2020 | CRISIL- AAA | INE752E07MI5 | 150 | 1537.09 | 0.24 |
| ** 08.32 % RELIANCE JIO INFOCOMM LTD - 08/07/2021 | CRISIL- AAA | INE110L07070 | 100 | 1032.03 | 0.16 |
| ** 07.15 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 15/09/2018 | CRISIL- AAA | INE053F07975 | 100 | 1003.67 | 0.15 |
| ** 08.40 % POWER FINANCE CORPORATION - 19/06/2018 | CRISIL- AAA | INE134E08HB9 | 50 | 505.49 | 0.08 |
| ** 08.18 % LIC HOUSING FINANCE LTD - 27/09/2018 | CRISIL- AAA | INE115A07JZ3 | 50 | 504.78 | 0.08 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2017 | CRISIL- AAA(SO) | INE310L07324 | 78 | 78.18 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2018 | CRISIL- AAA(SO) | INE310L07399 | 66 | 67.67 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2019 | CRISIL- AAA(SO) | INE310L07522 | 60 | 63.89 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2019 | CRISIL- AAA(SO) | INE310L07514 | 60 | 63.69 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2019 | CRISIL- AAA(SO) | INE310L07506 | 60 | 63.47 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2018 | CRISIL- AAA(SO) | INE310L07464 | 60 | 62.83 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2018 | CRISIL- AAA(SO) | INE310L07456 | 60 | 62.64 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2018 | CRISIL- AAA(SO) | INE310L07449 | 60 | 62.44 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2018 | CRISIL- AAA(SO) | INE310L07431 | 60 | 62.31 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2018 | CRISIL- AAA(SO) | INE310L07423 | 60 | 62.14 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2018 | CRISIL- AAA(SO) | INE310L07415 | 60 | 61.92 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2018 | CRISIL- AAA(SO) | INE310L07407 | 60 | 61.74 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2020 | CRISIL- AAA(SO) | INE310L07654 | 54 | 59.67 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2020 | CRISIL- AAA(SO) | INE310L07647 | 54 | 59.49 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2020 | CRISIL- AAA(SO) | INE310L07639 | 54 | 59.32 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2020 | CRISIL- AAA(SO) | INE310L07621 | 54 | 59.15 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2020 | CRISIL- AAA(SO) | INE310L07597 | 54 | 58.62 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2019 | CRISIL- AAA(SO) | INE310L07589 | 54 | 58.53 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2019 | CRISIL- AAA(SO) | INE310L07571 | 54 | 58.36 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2019 | CRISIL- AAA(SO) | INE310L07563 | 54 | 58.18 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2019 | CRISIL- AAA(SO) | INE310L07555 | 54 | 58.01 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2019 | CRISIL- AAA(SO) | INE310L07548 | 54 | 57.83 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2019 | CRISIL- AAA(SO) | INE310L07530 | 54 | 57.68 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2019 | CRISIL- AAA(SO) | INE310L07498 | 54 | 56.97 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2019 | CRISIL- AAA(SO) | INE310L07480 | 54 | 56.81 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2019 | CRISIL- AAA(SO) | INE310L07472 | 54 | 56.63 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2021 | CRISIL- AAA(SO) | INE310L07720 | 48 | 53.82 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2020 | CRISIL- AAA(SO) | INE310L07704 | 48 | 53.80 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2021 | CRISIL- AAA(SO) | INE310L07712 | 48 | 53.67 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2020 | CRISIL- AAA(SO) | INE310L07696 | 48 | 53.65 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2020 | CRISIL- AAA(SO) | INE310L07688 | 48 | 53.49 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2020 | CRISIL- AAA(SO) | INE310L07670 | 48 | 53.33 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2020 | CRISIL- AAA(SO) | INE310L07662 | 48 | 53.18 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2020 | CRISIL- AAA(SO) | INE310L07613 | 48 | 52.42 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2020 | CRISIL- AAA(SO) | INE310L07605 | 48 | 52.26 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2021 | CRISIL- AAA(SO) | INE310L07738 | 42 | 47.21 | 0.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2018 | CRISIL- AAA(SO) | INE310L07381 | 10 | 10.22 | 0.00* |
| ** 08.28 % POWER FINANCE CORPORATION - 04/09/2018 | CRISIL- AAA | INE134E08HU9 | 1 | 10.12 | 0.00* |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 457064.48 | 70.37 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.30 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/05/2023 | CRISIL- AAA | INE018E08086 | 1250 | 12526.96 | 1.93 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

TATA MUTUAL FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| ** 08.35 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 31/08/2018 | CRISIL- AAA | INE094O08011 | 750 | 7556.39 | 1.16 |
| ** 08.22 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 15/02/2019 | CRISIL- AAA | INE094O08045 | 750 | 7551.50 | 1.16 |
| ** 08.05 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 13/12/2018 | CRISIL- AAA | INE094O08037 | 500 | 5030.10 | 0.77 |
| ** 07.54 % GRUH FINANCE LTD - 15/03/2019 | CRISIL- AAA | INE580B07406 | 1000 | 5021.76 | 0.77 |
| ** 07.44 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 23/08/2019 | CRISIL- AAA | INE094O08078 | 500 | 4984.30 | 0.77 |
| ** 08.10 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/10/2023 | CRISIL- AAA | INE018E08078 | 500 | 4965.87 | 0.76 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 47636.88 | 7.33 |
| B) MONEY MARKET INSTRUMENTS | | | | | |
| ** - IL & FS FINANCIAL SER LTD - CP - 12/12/2017 | ICRA- A1+ | INE121H14GB9 | 2000 | 9865.33 | 1.52 |
| ** - ICICI BANK LTD - CD - 13/06/2018 | ICRA- A1+ | INE090A165L1 | 10000 | 9559.23 | 1.47 |
| ** - ICICI BANK LTD - CD - 23/05/2018 | ICRA- A1+ | INE090A164L4 | 5000 | 4797.03 | 0.74 |
| ** - IL & FS FINANCIAL SER LTD - CP - 08/12/2017 | ICRA- A1+ | INE121H14GC7 | 500 | 2468.18 | 0.38 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 26689.77 | 4.11 |
| C) REPO | | | | 33445.89 | 5.15 |
| PORTFOLIO TOTAL | | | | 623146.40 | 95.94 |
| CASH / NET CURRENT ASSET | | | | 26359.95 | 4.06 |
| NET ASSETS | | | | 649506.35 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.15.0879 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.31.6426 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.15.6209 (DIRECT - MONTHLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.32.7251 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.16.7658 (REGULAR PLAN - PERIODIC DIVIDEND)
NAV AS ON 30-SEP-17: RS.17.3491 (DIRECT - PERIODIC DIVIDEND)
NAV AS ON 31-MAR-17: RS.14.8178 (REGULAR PLAN - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.30.5685 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.15.2701 (DIRECT - MONTHLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.31.4843 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.16.1966 (REGULAR PLAN - PERIODIC DIVIDEND)
NAV AS ON 31-MAR-17: RS.16.6904 (DIRECT - PERIODIC DIVIDEND)
AVERAGE MATURITY : 2.30 YEARS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA MEDIUM TERM FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 7.59% (20/03/2029) | SOV | IN0020150069 | 1000000 | 1037.87 | 11.33 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 500000 | 512.61 | 5.59 |
| GOI - 6.57% (05/12/2033) | SOV | IN0020160100 | 500000 | 475.22 | 5.19 |
| GOVERNMENT SECURITIES TOTAL | | | | 2025.70 | 22.11 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 09.00 % GRASIM INDUSTRIES LTD - 10/05/2023 | ICRA- AAA | INE069A08046 | 100 | 1061.71 | 11.59 |
| ** 08.35 % PETRONET LNG LTD - 14/06/2018 | ICRA- AA+ | INE347G08019 | 100 | 1007.22 | 10.99 |
| ** 08.55 % ICICI BANK LTD - 20/09/2117 $ | CRISIL- AA+ | INE090A08TZ5 | 80 | 798.90 | 8.72 |
| ** 09.25 % RELIANCE JIO INFOCOMM LTD - 16/06/2024 | CRISIL- AAA | INE110L08037 | 50 | 537.49 | 5.87 |
| ** 08.30 % RURAL ELECTRIFICATION CORP. LTD - 10/04/2025 | CRISIL- AAA | INE020B08930 | 50 | 522.89 | 5.71 |
| ** 08.45 % RELIANCE PORTS & TERMINALS LTD - 12/06/2023 | CRISIL- AAA | INE941D07133 | 50 | 518.98 | 5.66 |
| ** 08.70 % POWER FINANCE CORPORATION - 14/05/2020 | CRISIL- AAA | INE134E08CX4 | 50 | 517.90 | 5.65 |
| ** 09.20 % HDFC LTD - 07/02/2018 | CRISIL- AAA | INE001A07DM6 | 50 | 503.40 | 5.49 |
| ** 09.39 % RURAL ELECTRIFICATION CORP. LTD - 20/07/2019 | CRISIL- AAA | INE020B08765 | 40 | 415.93 | 4.54 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 5884.42 | 64.22 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.30 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/05/2023 | CRISIL- AAA | INE018E08086 | 50 | 501.08 | 5.47 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 501.08 | 5.47 |
| B) REPO | | | | 560.49 | 6.12 |
| PORTFOLIO TOTAL | | | | 8971.69 | 97.91 |
| CASH / NET CURRENT ASSET | | | | 191.44 | 2.09 |
| NET ASSETS | | | | 9163.13 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.13.1828 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.26.5576 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.15.2401 (HIGH INVST - DIVIDEND)
NAV AS ON 30-SEP-17: RS.27.8799 (HIGH INVST - GROWTH)
NAV AS ON 30-SEP-17: RS.13.8329 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.27.9013 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.13.0657 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.25.5539 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.14.6080 (HIGH INVST - DIVIDEND)
NAV AS ON 31-MAR-17: RS.26.7235 (HIGH INVST - GROWTH)
NAV AS ON 31-MAR-17: RS.13.6397 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.26.7319 (DIRECT - GROWTH)
AVERAGE MATURITY : 5.38 YEARS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL
$ Perpetual Debt Instrument - (For the purpose of Average Maturity Calculation next call date is considered as maturity date).

## TATA INDEX FUND - NIFTY

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 4846 | 87.50 | 9.86 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 3782 | 65.89 | 7.42 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 7817 | 61.04 | 6.88 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 20299 | 52.43 | 5.91 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 4749 | 42.74 | 4.82 |
| ICICI BANK LTD | BANKS | INE090A01021 | 15221 | 42.10 | 4.74 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 2942 | 33.60 | 3.79 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 2891 | 28.98 | 3.27 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 1188 | 28.94 | 3.26 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 315 | 25.13 | 2.83 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 8800 | 22.34 | 2.52 |
| AXIS BANK LTD | BANKS | INE238A01034 | 4069 | 20.72 | 2.33 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 1704 | 20.00 | 2.25 |
| INDUSIND BANK LTD | BANKS | INE095A01012 | 1172 | 19.72 | 2.22 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 4448 | 17.86 | 2.01 |
| YES BANK LTD | BANKS | INE528G01027 | 4340 | 15.19 | 1.71 |
| MAHINDRA & MAHINDRA LTD | AUTO | INE101A01026 | 1102 | 13.82 | 1.56 |
| VEDANTA LTD | NON - FERROUS METALS | INE205A01025 | 4357 | 13.69 | 1.54 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 2598 | 13.07 | 1.47 |
| BHARTI AIRTEL LTD | TELECOM - SERVICES | INE397D01024 | 3277 | 12.76 | 1.44 |
| ASIAN PAINTS (INDIA) LTD | CONSUMER NON DURABLES | INE021A01026 | 1058 | 11.97 | 1.35 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 1330 | 11.63 | 1.31 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 306 | 11.55 | 1.30 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 5302 | 11.19 | 1.26 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 6507 | 11.12 | 1.25 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 327 | 10.17 | 1.15 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 1540 | 10.05 | 1.13 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 32 | 9.99 | 1.13 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 2428 | 9.72 | 1.10 |
| NTPC LTD | POWER | INE733E01010 | 5744 | 9.62 | 1.08 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 240 | 9.25 | 1.04 |
| INDIABULLS HOUSING FINANCE LTD | FINANCE | INE148I01020 | 745 | 8.99 | 1.01 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 484 | 8.90 | 1.00 |
| BHARAT PETROLEUM CORPORATION LTD | PETROLEUM PRODUCTS | INE029A01011 | 1847 | 8.70 | 0.98 |
| WIPRO LTD | SOFTWARE | INE075A01022 | 3082 | 8.64 | 0.97 |
| HINDALCO INDUSTRIES LTD | NON - FERROUS METALS | INE038A01020 | 3443 | 8.28 | 0.93 |
| COAL INDIA LTD | MINERALS/MINING | INE522F01014 | 3018 | 8.17 | 0.92 |
| ADANI PORTS AND SPECIAL ECONOMIC ZONE LTD | TRANSPORTATION | INE742F01042 | 1932 | 7.28 | 0.82 |
| DR REDDYs LABORATORIES LTD | PHARMACEUTICALS | INE089A01023 | 301 | 7.01 | 0.79 |
| CIPLA LTD | PHARMACEUTICALS | INE059A01026 | 1188 | 6.96 | 0.78 |
| TECH MAHINDRA LTD | SOFTWARE | INE669C01036 | 1462 | 6.69 | 0.75 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 1564 | 6.55 | 0.74 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 1259 | 6.55 | 0.74 |
| BHARTI INFRATEL LTD | TELECOM - EQUIPMENT & ACCESSORIES | INE121J01017 | 1634 | 6.51 | 0.73 |
| LUPIN LTD | PHARMACEUTICALS | INE326A01037 | 553 | 5.61 | 0.63 |
| UPL LTD | PESTICIDES | INE628A01036 | 705 | 5.49 | 0.62 |
| HINDUSTAN PETROLEUM CORPORATION LTD | PETROLEUM PRODUCTS | INE094A01015 | 1280 | 5.46 | 0.62 |
| AMBUJA CEMENTS LTD | CEMENT | INE079A01024 | 1801 | 4.80 | 0.54 |
| AUROBINDO PHARMA LTD | PHARMACEUTICALS | INE406A01037 | 674 | 4.66 | 0.53 |
| BOSCH LTD | AUTO ANCILLARIES | INE323A01026 | 22 | 4.52 | 0.51 |
| EQUITY & EQUITY RELATED TOTAL | | | | 883.55 | 99.57 |
| A) REPO | | | | 8.56 | 0.96 |
| PORTFOLIO TOTAL | | | | 892.11 | 100.53 |
| NET CURRENT LIABILITIES | | | | -4.72 | -0.53 |
| NET ASSETS | | | | 887.39 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.58.3991 (REGULAR PLAN)
NAV AS ON 30-SEP-17: RS.61.4963 (DIRECT)
NAV AS ON 31-MAR-17: RS.54.5790 (REGULAR PLAN)
NAV AS ON 31-MAR-17: RS.57.3464 (DIRECT)
PORTFOLIO TURNOVER RATIO: 0.07 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INDEX FUND - SENSEX

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 4806 | 86.65 | 12.27 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 3748 | 65.24 | 9.24 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 7798 | 60.99 | 8.64 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 20169 | 52.09 | 7.38 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 4714 | 42.37 | 6.00 |
| ICICI BANK LTD | BANKS | INE090A01021 | 15253 | 42.24 | 5.98 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 2833 | 32.33 | 4.58 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 1200 | 29.24 | 4.14 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 2800 | 28.05 | 3.97 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 312 | 24.88 | 3.52 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 8744 | 22.19 | 3.14 |
| AXIS BANK LTD | BANKS | INE238A01034 | 3974 | 20.22 | 2.86 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 1682 | 19.77 | 2.80 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 4572 | 18.35 | 2.60 |
| MAHINDRA & MAHINDRA LTD | AUTO | INE101A01026 | 1091 | 13.68 | 1.94 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 2543 | 12.80 | 1.81 |
| ASIAN PAINTS (INDIA) LTD | CONSUMER NON DURABLES | INE021A01026 | 1038 | 11.59 | 1.64 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 300 | 11.34 | 1.61 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 6641 | 11.33 | 1.60 |
| BHARTI AIRTEL LTD | TELECOM - SERVICES | INE397D01024 | 2814 | 10.94 | 1.55 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 5106 | 10.76 | 1.52 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 1527 | 9.94 | 1.41 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 315 | 9.79 | 1.39 |
| NTPC LTD | POWER | INE733E01010 | 5801 | 9.70 | 1.37 |
| WIPRO LTD | SOFTWARE | INE075A01022 | 3030 | 8.51 | 1.21 |
| COAL INDIA LTD | MINERALS/MINING | INE522F01014 | 2923 | 7.91 | 1.12 |
| ADANI PORTS AND SPECIAL ECONOMIC ZONE LTD | TRANSPORTATION | INE742F01042 | 2050 | 7.71 | 1.09 |
| DR REDDYs LABORATORIES LTD | PHARMACEUTICALS | INE089A01023 | 300 | 6.99 | 0.99 |
| CIPLA LTD | PHARMACEUTICALS | INE059A01026 | 1154 | 6.75 | 0.96 |
| LUPIN LTD | PHARMACEUTICALS | INE326A01037 | 553 | 5.60 | 0.79 |
| TATA MOTORS - DVR | AUTO | IN9155A01020 | 1158 | 2.58 | 0.36 |
| EQUITY & EQUITY RELATED TOTAL | | | | 702.53 | 99.48 |
| A) REPO | | | | 4.28 | 0.61 |
| PORTFOLIO TOTAL | | | | 706.81 | 100.09 |
| NET CURRENT LIABILITIES | | | | -0.64 | -0.09 |
| NET ASSETS | | | | 706.17 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.76.2626 (REGULAR PLAN)
NAV AS ON 30-SEP-17: RS.78.0423 (DIRECT)
NAV AS ON 31-MAR-17: RS.71.9398 (REGULAR PLAN)
NAV AS ON 31-MAR-17: RS.73.4599 (DIRECT)
PORTFOLIO TURNOVER RATIO: 0.06 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA EQUITY OPPORTUNITIES FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 516335 | 9309.78 | 7.39 |
| ICICI BANK LTD | BANKS | INE090A01021 | 2380000 | 6591.41 | 5.23 |
| YES BANK LTD | BANKS | INE528G01027 | 1800000 | 6300.00 | 5.00 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 56250 | 4484.90 | 3.56 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 1510000 | 3899.58 | 3.10 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 955000 | 3831.94 | 3.04 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 366968 | 3676.65 | 2.92 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 133000 | 3410.12 | 2.71 |
| TVS MOTOR COMPANY LTD | AUTO | INE494B01023 | 500000 | 3292.50 | 2.61 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 500000 | 3255.25 | 2.59 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 1126800 | 3120.11 | 2.48 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 261832 | 2988.03 | 2.37 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 75000 | 2889.60 | 2.29 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 14900 | 2766.78 | 2.20 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 500000 | 2630.75 | 2.09 |
| KNR CONSTRUCTIONS LTD | CONSTRUCTION | INE634I01029 | 1250000 | 2580.63 | 2.05 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 540000 | 2562.03 | 2.03 |
| NCC LTD | CONSTRUCTION PROJECT | INE868B01028 | 3050000 | 2533.03 | 2.01 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 650000 | 2530.78 | 2.01 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 281300 | 2528.18 | 2.01 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 1195000 | 2457.52 | 1.95 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 822865 | 2399.47 | 1.91 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 216210 | 2370.20 | 1.88 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 270767 | 2367.86 | 1.88 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 1100000 | 2317.15 | 1.84 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 416000 | 2093.31 | 1.66 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 300000 | 2072.55 | 1.65 |
| INDIABULLS HOUSING FINANCE LTD | FINANCE | INE148I01020 | 170000 | 2051.90 | 1.63 |
| HAVELLS INDIA LTD | CONSUMER DURABLES | INE176B01034 | 400000 | 1931.40 | 1.53 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 480000 | 1920.24 | 1.53 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 110000 | 1914.77 | 1.52 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 900000 | 1801.35 | 1.43 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 1050000 | 1791.83 | 1.42 |
| DIVI LABORATORIES LTD | PHARMACEUTICALS | INE361B01024 | 200000 | 1710.10 | 1.36 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 990000 | 1619.15 | 1.29 |
| DISHMAN CARBOGEN AMCIS LTD | PHARMACEUTICALS | INE385W01011 | 462662 | 1561.95 | 1.24 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 595650 | 1511.46 | 1.20 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 1000000 | 1486.50 | 1.18 |
| EQUITAS HOLDINGS LTD | FINANCE | INE988K01017 | 900000 | 1368.90 | 1.09 |
| BRIGADE ENTERPRISES LTD | CONSTRUCTION | INE791I01019 | 527473 | 1299.96 | 1.03 |
| LAURUS LABS LTD | PHARMACEUTICALS | INE947Q01010 | 254000 | 1284.99 | 1.02 |
| AMARA RAJA BATTERIES LTD | AUTO ANCILLARIES | INE885A01032 | 178200 | 1265.22 | 1.00 |
| FEDERAL BANK LTD | BANKS | INE171A01029 | 1094940 | 1232.90 | 0.98 |
| APOLLO TYRES LTD | AUTO ANCILLARIES | INE438A01022 | 500000 | 1224.75 | 0.97 |
| STRIDES SHASUN LTD | PHARMACEUTICALS | INE939A01011 | 133258 | 1178.60 | 0.94 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 1112043 | 1104.26 | 0.88 |
| SECURITY AND INTELLIGENCE SERVICES (INDIA) LTD | COMMERCIAL SERVICES | INE285J01010 | 131202 | 1048.70 | 0.83 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 110000 | 839.91 | 0.67 |
| DCB BANK LTD | BANKS | INE503A01015 | 383141 | 697.13 | 0.55 |
| SEQUENT SCIENTIFIC LTD | PHARMACEUTICALS | INE807F01027 | 560750 | 655.24 | 0.52 |
| SATIN CREDITCARE NETWORK LTD | FINANCE | INE836B01017 | 183054 | 563.53 | 0.45 |
| EQUITY RELATED | | | | | |
| HOUSING DEVELOPMENT FINANCE CORPORATION LTD WARRANTS 2 | FINANCE | INE001A13031 | 80300 | 251.34 | 0.20 |
| ZEE ENTERTAINMENT ENTERPRISES LTD (PREFERENCE SHARE) | MEDIA & ENTERTAINMENT | INE256A04022 | 210000 | 20.54 | 0.02 |
| EQUITY & EQUITY RELATED TOTAL | | | | 124596.73 | 98.95 |
| A) REPO | | | | 954.12 | 0.76 |
| PORTFOLIO TOTAL | | | | 125550.85 | 99.71 |
| CASH / NET CURRENT ASSET | | | | 363.58 | 0.29 |
| NET ASSETS | | | | 125914.43 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.33.2040 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.184.4963 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.41.5216 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.194.5452 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.30.9553 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.172.0052 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.38.4290 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.180.0609 (DIRECT - GROWTH)
PORTFOLIO TURNOVER RATIO: 0.45 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DYNAMIC BOND FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 6.79% (15/05/2027) | SOV | IN0020170026 | 33000000 | 33290.40 | 29.30 |
| GOI - 6.68% (17/09/2031) | SOV | IN0020170042 | 20000000 | 19679.98 | 17.32 |
| GOI - 6.62% (28/11/2051) | SOV | IN0020160092 | 14500000 | 13302.24 | 11.71 |
| GOI - 7.88% (19/03/2030) | SOV | IN0020150028 | 200000 | 211.95 | 0.19 |
| GOI - 7.72% (26/10/2055) | SOV | IN0020150077 | 102000 | 107.77 | 0.09 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 50000 | 51.26 | 0.05 |
| GOVERNMENT SECURITIES TOTAL | | | | 66643.60 | 58.65 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 07.10 % POWER FINANCE CORPORATION - 08/08/2022 | CRISIL- AAA | INE134E08JD1 | 750 | 7442.26 | 6.55 |
| ** 09.25 % RELIANCE JIO INFOCOMM LTD - 16/06/2024 | CRISIL- AAA | INE110L08037 | 650 | 6987.39 | 6.15 |
| ** 07.03 % RURAL ELECTRIFICATION CORP. LTD - 07/09/2022 | CRISIL- AAA | INE020B08AK2 | 250 | 2486.01 | 2.19 |
| ** 08.18 % EXIM - 07/12/2025 | CRISIL- AAA | INE514E08EU9 | 100 | 1043.43 | 0.92 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 17959.09 | 15.81 |
| B) REPO | | | | 24424.89 | 21.50 |
| PORTFOLIO TOTAL | | | | 109027.58 | 95.96 |
| CASH / NET CURRENT ASSET | | | | 4598.07 | 4.04 |
| NET ASSETS | | | | 113625.65 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.15.2307 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.26.4116 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.16.3467 (HIP - DIVIDEND)
NAV AS ON 30-SEP-17: RS.26.9519 (HIP - GROWTH)
NAV AS ON 30-SEP-17: RS.16.4150 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.27.6937 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.14.6440 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.25.3945 (REGULAR PLAN - GROWTH)

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

NAV AS ON 31-MAR-17: RS.15.6879 (HIP - DIVIDEND)
NAV AS ON 31-MAR-17: RS.25.8656 (HIP - GROWTH)
NAV AS ON 31-MAR-17: RS.15.7019 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.26.4905 (DIRECT - GROWTH)
AVERAGE MATURITY : 10.22 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA MONEY MARKET FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) MONEY MARKET INSTRUMENTS | | | | | |
| ** - UNION BANK OF INDIA - CD - 02/11/2017 | ICRA- A1+ | INE692A16EV2 | 80000 | 79575.27 | 6.71 |
| ** TREASURY BILL 364 DAYS (26/10/2017) | SOV | IN002016Z152 | 74900000 | 74583.85 | 6.29 |
| ** - HDFC BANK LTD - CD - 21/12/2017 | CARE- A1+ | INE040A16BV0 | 60000 | 59197.32 | 4.99 |
| ** TREASURY BILL 91 DAYS (21/12/2017) | SOV | IN002017X296 | 56977700 | 56220.98 | 4.74 |
| ** - EXIM - CP - 21/12/2017 | CRISIL- A1+ | INE514E14MP0 | 10000 | 49330.05 | 4.16 |
| ** TREASURY BILL 91 DAYS (07/12/2017) | SOV | IN002017X270 | 48177200 | 47646.77 | 4.02 |
| ** - NATIONAL FERTILIZERS LTD - CP - 21/11/2017 | ICRA- A1+ | INE870D14BE5 | 9300 | 46094.38 | 3.89 |
| ** - NABARD - CP - 30/11/2017 | CRISIL- A1+ | INE261F14BT0 | 8000 | 39601.60 | 3.34 |
| ** - HDFC LTD - CP - 08/12/2017 | CRISIL- A1+ | INE001A14RI0 | 8000 | 39539.60 | 3.33 |
| ** TREASURY BILL 91 DAYS (28/12/2017) | SOV | IN002017X304 | 40024300 | 39447.27 | 3.33 |
| ** - INDIA INFOLINE FINANCE LTD - CP - 05/10/2017 | ICRA- A1+ | INE866I14VJ9 | 7500 | 37466.14 | 3.16 |
| ** - RELIANCE JIO INFOCOMM LTD - CP - 21/12/2017 | CARE- A1+ | INE110L14EX3 | 6500 | 32063.14 | 2.70 |
| ** - NABARD - CP - 22/12/2017 | CRISIL- A1+ | INE261F14BV6 | 6500 | 32059.20 | 2.70 |
| ** - PIRAMAL ENTERPRISES LTD - CP - 20/11/2017 | ICRA- A1+ | INE140A14QR9 | 6000 | 29739.12 | 2.51 |
| ** - RELIANCE JIO INFOCOMM LTD - CP - 08/12/2017 | CARE- A1+ | INE110L14ES3 | 6000 | 29660.73 | 2.50 |
| ** - PNB HOUSING FINANCE LTD - CP - 15/12/2017 | CRISIL- A1+ | INE572E14CJ2 | 6000 | 29618.40 | 2.50 |
| ** TREASURY BILL 182 DAYS (14/12/2017) | SOV | IN002017Y062 | 29000000 | 28647.59 | 2.42 |
| ** - ECL FINANCE LTD - CP - 05/10/2017 | CRISIL- A1+ | INE804I14QE1 | 5000 | 24977.43 | 2.11 |
| ** - EDELWEISS COMMODITIES SERVICES LTD - CP - 05/10/2017 | ICRA- A1+ | INE657N14MV6 | 5000 | 24977.43 | 2.11 |
| ** - PNB HOUSING FINANCE LTD - CP - 09/10/2017 | CRISIL- A1+ | INE572E14CA1 | 5000 | 24964.00 | 2.11 |
| ** - SBI CARDS & PAYMENT SERVICES PVT. LTD - CP - 04/12/2017 | CRISIL- A1+ | INE018E14KK7 | 5000 | 24713.80 | 2.08 |
| ** - RELIANCE INDUSTRIES LTD - CP - 20/11/2017 | CRISIL- A1+ | INE002A14631 | 4500 | 22305.19 | 1.88 |
| ** - NABARD - CP - 27/12/2017 | CRISIL- A1+ | INE261F14BW4 | 4500 | 22176.50 | 1.87 |
| ** - EDELWEISS COMMODITIES SERVICES LTD - CP - 30/10/2017 | CRISIL- A1+ | INE657N14MF9 | 4000 | 19892.52 | 1.68 |
| ** - ICICI SECURITIES LTD - CP - 20/11/2017 | CRISIL- A1+ | INE763G14EX9 | 4000 | 19818.03 | 1.67 |
| ** - L&T FINANCE LTD - CP - 26/12/2017 | CARE- A1+ | INE027E14EG9 | 4000 | 19695.12 | 1.66 |
| ** - INFINA FINANCE PVT LTD - CP - 05/10/2017 | CRISIL- A1+ | INE879F14BC5 | 3500 | 17484.20 | 1.47 |
| ** - PIRAMAL ENTERPRISES LTD - CP - 23/11/2017 | ICRA- A1+ | INE140A14QT5 | 3500 | 17338.57 | 1.46 |
| ** - INDIA INFOLINE HOUSING FINANCE LTD - CP - 26/10/2017 | ICRA- A1+ | INE477L14BE2 | 3000 | 14933.38 | 1.26 |
| ** - HT MEDIA LTD - CP - 29/11/2017 | CRISIL- A1+ | INE501G14456 | 3000 | 14850.95 | 1.25 |
| ** - SBI CARDS & PAYMENT SERVICES PVT. LTD - CP - 07/12/2017 | CRISIL- A1+ | INE018E14KI1 | 3000 | 14820.32 | 1.25 |
| ** - IL & FS FINANCIAL SER LTD - CP - 22/12/2017 | ICRA- A1+ | INE121H14GF0 | 3000 | 14770.38 | 1.25 |
| ** - HT MEDIA LTD - CP - 22/12/2017 | CRISIL- A1+ | INE501G14472 | 2700 | 13314.25 | 1.12 |
| ** - POWER FINANCE CORPORATION - CP - 14/12/2017 | CRISIL- A1+ | INE134E14907 | 2500 | 12346.44 | 1.04 |
| ** TREASURY BILL 91 DAYS (26/10/2017) | SOV | IN002017X213 | 10020100 | 9978.80 | 0.84 |
| ** - IL & FS FINANCIAL SER LTD - CP - 30/10/2017 | ICRA- A1+ | INE121H14HI2 | 2000 | 9946.10 | 0.84 |
| ** - INDIA INFOLINE LTD - CP - 30/10/2017 | ICRA- A1+ | INE489L14082 | 2000 | 9944.63 | 0.84 |
| ** - IL & FS FINANCIAL SER LTD - CP - 17/11/2017 | ICRA- A1+ | INE121H14HL6 | 2000 | 9909.95 | 0.84 |
| ** TREASURY BILL 91 DAYS (17/11/2017) | SOV | IN002017X247 | 9948600 | 9871.87 | 0.83 |
| ** - RURAL ELECTRIFICATION CORP. LTD - CP - 20/12/2017 | CRISIL- A1+ | INE020B14490 | 2000 | 9867.64 | 0.83 |
| ** - JULIUS BAER CAPITAL IND PVT LTD - CP - 18/12/2017 | ICRA- A1+ | INE824H14419 | 2000 | 9857.60 | 0.83 |
| ** - KOTAK MAHINDRA BANK - CD - 28/12/2017 | CRISIL- A1+ | INE237A16Z58 | 10000 | 9854.71 | 0.83 |
| ** - L&T FINANCE LTD - CP - 28/12/2017 | CARE- A1+ | INE523E14QZ1 | 1800 | 8859.66 | 0.75 |
| ** - JM FINANCIAL ASSET RECONSTRUCTION COMPANY LTD - CP - 27/10/2017 | CRISIL- A1+ | INE265J14882 | 1000 | 4975.09 | 0.42 |
| ** - JM FINANCIAL ASSET RECONSTRUCTION COMPANY LTD - CP - 28/11/2017 | CRISIL- A1+ | INE265J14890 | 1000 | 4943.43 | 0.42 |
| ** - SUN PHARMA LABORATORIES LTD - CP - 27/12/2017 | ICRA- A1+ | INE915T14139 | 1000 | 4927.30 | 0.42 |
| ** - DEEPAK FERT.& PETROCHEM. CORP LTD - CP - 26/12/2017 | ICRA- A1+ | INE501A14CY8 | 1000 | 4924.47 | 0.42 |
| ** TREASURY BILL 91 DAYS (05/10/2017) | SOV | IN002017X189 | 186200 | 186.08 | 0.02 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 1181917.25 | 99.67 |
| B) FIXED DEPOSITS (NOT EXCEEDING 91 DAYS) | | | | | |
| YES BANK LTD | | | | 20000.00 | 1.69 |
| RBL BANK LTD | | | | 45000.00 | 3.79 |
| BANDHAN BANK | | | | 45000.00 | 3.79 |
| FIXED DEPOSITS TOTAL | | | | 110000.00 | 9.28 |
| C) REPO | | | | 1042.90 | 0.09 |
| PORTFOLIO TOTAL | | | | 1292960.15 | 109.04 |
| NET CURRENT LIABILITIES | | | | -107081.89 | -9.04 |
| NET ASSETS | | | | 1185878.26 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.1015.5397 (RIP - DIVIDEND)
NAV AS ON 30-SEP-17: RS.2571.9819 (RIP - GROWTH)
NAV AS ON 30-SEP-17: RS.1001.5157 (REGULAR PLAN - DAILY DIVIDEND)
NAV AS ON 30-SEP-17: RS.2637.8261 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.1001.5187 (DIRECT - DAILY DIVIDEND)
NAV AS ON 30-SEP-17: RS.2648.0653 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.1015.4617 (RIP - DIVIDEND)
NAV AS ON 31-MAR-17: RS.2498.0439 (RIP - GROWTH)
NAV AS ON 31-MAR-17: RS.1001.5160 (REGULAR PLAN - DAILY DIVIDEND)
NAV AS ON 31-MAR-17: RS.2553.7888 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.1001.5191 (DIRECT - DAILY DIVIDEND)
NAV AS ON 31-MAR-17: RS.2563.0404 (DIRECT - GROWTH)
AVERAGE MATURITY : 2.06 MONTHS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA EQUITY P/E FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 3350000 | 11725.00 | 6.46 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 5017000 | 10568.31 | 5.82 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 6275000 | 7721.39 | 4.25 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 1800000 | 7543.80 | 4.16 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 1134200 | 6125.25 | 3.37 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 1210000 | 5740.85 | 3.16 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 1020000 | 5313.69 | 2.93 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 437000 | 4952.96 | 2.73 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 934620 | 4917.50 | 2.71 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 278000 | 4839.15 | 2.67 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 750000 | 4807.50 | 2.65 |
| ADANI PORTS AND SPECIAL ECONOMIC ZONE LTD | TRANSPORTATION | INE742F01042 | 1035000 | 3893.67 | 2.15 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 47700 | 3803.19 | 2.10 |
| CITY UNION BANK LTD | BANKS | INE491A01021 | 2309640 | 3742.77 | 2.06 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 98000 | 3705.58 | 2.04 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 118600 | 3686.27 | 2.03 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 900000 | 3611.25 | 1.99 |
| JM FINANCIAL LTD | FINANCE | INE780C01023 | 2613757 | 3604.37 | 1.99 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 2900000 | 3533.65 | 1.95 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 300000 | 3423.60 | 1.89 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 196900 | 3375.36 | 1.86 |
| INDIABULLS HOUSING FINANCE LTD | FINANCE | INE148I01020 | 275000 | 3319.25 | 1.83 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 400000 | 3254.60 | 1.79 |
| APOLLO TYRES LTD | AUTO ANCILLARIES | INE438A01022 | 1300000 | 3184.35 | 1.75 |
| ICICI BANK LTD | BANKS | INE090A01021 | 1128500 | 3125.38 | 1.72 |
| PETRONET LNG LTD | GAS | INE347G01014 | 1100000 | 2545.40 | 1.40 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 500000 | 2523.50 | 1.39 |
| ESCORTS LTD | AUTO | INE042A01014 | 355000 | 2341.94 | 1.29 |
| NILKAMAL LTD | INDUSTRIAL PRODUCTS | INE310A01015 | 140000 | 2174.13 | 1.20 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 360000 | 2124.72 | 1.17 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 270000 | 2061.59 | 1.14 |
| TORRENT POWER LTD | POWER | INE813H01021 | 960000 | 2019.84 | 1.11 |
| PERSISTENT SYSTEMS LTD | SOFTWARE | INE262H01013 | 270000 | 1803.74 | 0.99 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 133750 | 1800.68 | 0.99 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 163000 | 1756.49 | 0.97 |
| TAMILNADU NEWSPRINT & PAPERS LTD | PAPER | INE107A01015 | 497700 | 1745.19 | 0.96 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 430000 | 1674.21 | 0.92 |
| GUJARAT MINERAL DEV CORP LTD | MINERALS/MINING | INE131A01031 | 1200000 | 1665.00 | 0.92 |
| BAJAJ FINSERV LTD | FINANCE | INE918I01018 | 31000 | 1595.43 | 0.88 |
| HINDUSTAN ZINC LTD | NON - FERROUS METALS | INE267A01025 | 535000 | 1582.53 | 0.87 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 5000 | 1559.00 | 0.86 |
| CHAMBAL FERTILISER & CHEMICALS LTD | FERTILISERS | INE085A01013 | 1110000 | 1557.33 | 0.86 |
| DCB BANK LTD | BANKS | INE503A01015 | 850000 | 1546.58 | 0.85 |
| TALWALKARS BETTER VALUE FITNESS LTD | HOTELS, RESORT AND OTHER RECREATIONAL ACTIVITIES | INE502K01016 | 544000 | 1468.53 | 0.81 |
| GREAVES COTTON LTD | INDUSTRIAL PRODUCTS | INE224A01026 | 1068500 | 1455.30 | 0.80 |
| RURAL ELECTRIFICATION CORP. LTD | FINANCE | INE020B01018 | 900000 | 1375.20 | 0.76 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 354500 | 1345.86 | 0.74 |
| K.P.R. MILL LTD | TEXTILE PRODUCTS | INE930H01023 | 145000 | 1093.52 | 0.60 |
| VOLTAMP TRANSFORMERS LTD | INDUSTRIAL CAPITAL GOODS | INE540H01012 | 90000 | 1000.44 | 0.55 |
| TUBE INVESTMENTS OF INDIA LTD | AUTO ANCILLARIES | | 210000 | 852.56 | 0.47 |
| EQUITY & EQUITY RELATED TOTAL | | | | 166187.40 | 91.55 |
| A) REPO | | | | 16639.17 | 9.17 |
| PORTFOLIO TOTAL | | | | 182826.57 | 100.72 |
| NET CURRENT LIABILITIES | | | | -1308.33 | -0.72 |
| NET ASSETS | | | | 181518.24 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.56.7148 (REGULAR PLAN - DIVIDEND TRIGGER B (10%))
NAV AS ON 30-SEP-17: RS.131.7969 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.60.2378 (REGULAR PLAN - DIVIDEND TRIGGER A (5%))
NAV AS ON 30-SEP-17: RS.59.0991 (DIRECT - DIVIDEND TRIGGER B (10%))
NAV AS ON 30-SEP-17: RS.135.8717 (DIRECT - GROWTH)
NAV AS ON 30-SEP-17: RS.61.0532 (DIRECT - DIVIDEND TRIGGER A (5%))
NAV AS ON 31-MAR-17: RS.51.4944 (REGULAR PLAN - DIVIDEND TRIGGER B (10%))
NAV AS ON 31-MAR-17: RS.117.2694 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.55.8688 (REGULAR PLAN - DIVIDEND TRIGGER A (5%))
NAV AS ON 31-MAR-17: RS.53.3713 (DIRECT - DIVIDEND TRIGGER B (10%))
NAV AS ON 31-MAR-17: RS.120.3309 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.56.3600 (DIRECT - DIVIDEND TRIGGER A (5%))
PORTFOLIO TURNOVER RATIO: 0.36 TIMES
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DIVIDEND YIELD FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| MANAPPURAM FINANCE LTD | FINANCE | INE522D01027 | 1930000 | 1865.35 | 6.01 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 850000 | 1790.53 | 5.77 |
| HDFC BANK LTD | BANKS | INE040A01026 | 98750 | 1780.51 | 5.74 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 192000 | 1679.04 | 5.41 |
| FINOLEX INDUSTRIES LTD | INDUSTRIAL PRODUCTS | INE183A01016 | 260000 | 1648.01 | 5.31 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 210000 | 1603.46 | 5.17 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 45500 | 1414.21 | 4.56 |
| ICICI BANK LTD | BANKS | INE090A01021 | 506000 | 1401.37 | 4.52 |
| STRIDES SHASUN LTD | PHARMACEUTICALS | INE939A01011 | 137300 | 1214.35 | 3.91 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 100000 | 1175.15 | 3.79 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 294000 | 1144.69 | 3.69 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 120000 | 1078.50 | 3.48 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 114319 | 1059.45 | 3.41 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 403000 | 1040.75 | 3.35 |
| SWARAJ ENGINES LTD | INDUSTRIAL PRODUCTS | INE277A01016 | 46500 | 948.09 | 3.06 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 600000 | 891.90 | 2.87 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 185000 | 877.73 | 2.83 |
| CCL PRODUCTS INDIA LTD | CONSUMER NON DURABLES | INE421D01022 | 275000 | 843.84 | 2.72 |
| NIIT TECHNOLOGIES LTD | SOFTWARE | INE591G01017 | 140000 | 758.38 | 2.44 |
| KARUR VYSA BANK LTD | BANKS | INE036D01028 | 500000 | 719.00 | 2.32 |
| COAL INDIA LTD | MINERALS/MINING | INE522F01014 | 250000 | 676.50 | 2.18 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 60000 | 657.75 | 2.12 |
| ECLERX SERVICES LTD | SOFTWARE | INE738I01010 | 46000 | 570.81 | 1.84 |
| MPHASIS LTD | SOFTWARE | INE356A01018 | 91805 | 567.17 | 1.83 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 187665 | 547.23 | 1.76 |
| TORRENT POWER LTD | POWER | INE813H01021 | 260000 | 547.04 | 1.76 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 300000 | 511.95 | 1.65 |
| SIEMENS LTD | INDUSTRIAL CAPITAL GOODS | INE003A01024 | 40000 | 479.68 | 1.55 |
| GUJARAT MINERAL DEV CORP LTD | MINERALS/MINING | INE131A01031 | 275000 | 381.56 | 1.23 |
| RURAL ELECTRIFICATION CORP. LTD | FINANCE | INE020B01018 | 240000 | 366.72 | 1.18 |
| CHAMBAL FERTILISER & CHEMICALS LTD | FERTILISERS | INE085A01013 | 200000 | 280.60 | 0.90 |
| MPS LTD | CONSUMER NON DURABLES | INE943D01017 | 39000 | 227.66 | 0.73 |
| EQUITY & EQUITY RELATED TOTAL | | | | 30748.98 | 99.08 |
| A) REPO | | | | 354.05 | 1.14 |
| PORTFOLIO TOTAL | | | | 31103.03 | 100.22 |
| NET CURRENT LIABILITIES | | | | -69.69 | -0.22 |
| NET ASSETS | | | | 31033.34 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.29.0247 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.72.4758 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.30.9001 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.74.8093 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.28.3408 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.70.7680 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.30.0776 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.72.8181 (DIRECT - GROWTH)
PORTFOLIO TURNOVER RATIO: 0.10 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INFRASTRUCTURE FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| KNR CONSTRUCTIONS LTD | CONSTRUCTION | INE634I01029 | 1875000 | 3870.94 | 5.82 |
| HDFC BANK LTD | BANKS | INE040A01026 | 200000 | 3606.10 | 5.42 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 307500 | 3509.19 | 5.27 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 1185000 | 3455.46 | 5.19 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 1530000 | 3222.95 | 4.84 |
| YES BANK LTD | BANKS | INE528G01027 | 850000 | 2975.00 | 4.47 |
| ICICI BANK LTD | BANKS | INE090A01021 | 1045000 | 2894.13 | 4.35 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 15000 | 2785.35 | 4.19 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 389000 | 2687.41 | 4.04 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 1210000 | 2421.82 | 3.64 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 345000 | 2246.12 | 3.38 |
| ASTRAL POLY TECHNIK LTD | INDUSTRIAL PRODUCTS | INE006I01046 | 300000 | 2206.65 | 3.32 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 44300 | 1706.79 | 2.57 |
| NBCC INDIA LTD | CONSTRUCTION | INE095N01023 | 800000 | 1701.20 | 2.56 |
| NCC LTD | CONSTRUCTION PROJECT | INE868B01028 | 1950000 | 1619.48 | 2.43 |
| AIA ENGINEERING LTD | INDUSTRIAL PRODUCTS | INE212H01026 | 120000 | 1588.02 | 2.39 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 275000 | 1485.14 | 2.23 |
| J K CEMENT LTD | CEMENT | INE823G01014 | 151930 | 1452.60 | 2.18 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 185000 | 1440.50 | 2.16 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 770000 | 1259.34 | 1.89 |
| ABB INDIA LTD | INDUSTRIAL CAPITAL GOODS | INE117A01022 | 89000 | 1242.48 | 1.87 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 87500 | 1178.01 | 1.77 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 23018 | 1126.85 | 1.69 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 1080925 | 1073.36 | 1.61 |
| ADOR WELDING LTD | INDUSTRIAL PRODUCTS | INE045A01017 | 244549 | 1058.77 | 1.59 |
| THERMAX LTD | INDUSTRIAL CAPITAL GOODS | INE152A01029 | 100000 | 943.30 | 1.42 |
| INOX LEISURE LTD | MEDIA & ENTERTAINMENT | INE312H01016 | 400000 | 928.80 | 1.40 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 100000 | 926.75 | 1.39 |
| SOLAR INDUSTRIES INDIA LTD | CHEMICALS | INE343H01029 | 100000 | 911.40 | 1.37 |
| VA TECH WABAG LTD | ENGINEERING SERVICES | INE956G01038 | 150000 | 887.70 | 1.33 |
| GRINDWELL NORTON LTD | INDUSTRIAL PRODUCTS | INE536A01023 | 200000 | 843.30 | 1.27 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 500000 | 743.25 | 1.12 |
| TORRENT POWER LTD | POWER | INE813H01021 | 350000 | 736.40 | 1.11 |
| KALPATARU POWER TRANSMISSION LTD | POWER | INE220B01022 | 200000 | 729.90 | 1.10 |
| SIEMENS LTD | INDUSTRIAL CAPITAL GOODS | INE003A01024 | 60000 | 719.52 | 1.08 |
| BIRLA CORPORATION LTD | CEMENT | INE340A01012 | 80000 | 719.04 | 1.08 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 420000 | 716.73 | 1.08 |
| VESUVIUS INDIA LTD | INDUSTRIAL PRODUCTS | INE386A01015 | 54000 | 714.99 | 1.07 |
| INGERSOLL RAND (INDIA) LTD | INDUSTRIAL PRODUCTS | INE177A01018 | 72036 | 559.58 | 0.84 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 100000 | 504.70 | 0.76 |
| RATNAMANI METALS & TUBES LTD | FERROUS METALS | INE703B01027 | 32000 | 280.88 | 0.42 |
| ESAB INDIA LTD | INDUSTRIAL PRODUCTS | INE284A01012 | 28300 | 210.65 | 0.32 |
| EQUITY & EQUITY RELATED TOTAL | | | | 65890.55 | 99.03 |
| A) REPO | | | | 715.59 | 1.08 |
| PORTFOLIO TOTAL | | | | 66606.14 | 100.11 |
| NET CURRENT LIABILITIES | | | | -66.97 | -0.11 |
| NET ASSETS | | | | 66539.17 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.28.3386 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.54.8962 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.31.6313 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.56.1510 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.26.6414 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.51.6090 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.29.6725 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.52.7085 (DIRECT - GROWTH)
PORTFOLIO TURNOVER RATIO: 0.15 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA ULTRA SHORT TERM FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 5000000 | 5042.81 | 1.05 |
| GOVERNMENT SECURITIES TOTAL | | | | 5042.81 | 1.05 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 08.78 % INDIABULLS HOUSING FINANCE LTD - 22/08/2019 | CRISIL- AA+ | INE148I07HW8 | 3250 | 33092.22 | 6.92 |
| ** 07.33 % HDFC LTD - 11/12/2018 | CRISIL- AAA | INE001A07QW7 | 200 | 20036.90 | 4.19 |
| ** 09.05 % TATA CAPITAL FINANCIAL SERVICES LTD - 10/08/2018 | CRISIL- AA+ | INE306N08086 | 1850 | 18735.52 | 3.92 |
| ** 06.90 % POWER FINANCE CORPORATION - 16/07/2018 | CRISIL- AAA | INE134E08IU7 | 1250 | 12501.78 | 2.61 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| ** 07.95 % NABARD - 21/01/2019 | CRISIL- AAA | INE261F08600 | 1000 | 10125.48 | 2.12 |
| ** 07.50 % HDFC LTD - 12/10/2018 | CRISIL- AAA | INE001A07PS7 | 100 | 10030.03 | 2.10 |
| ** 08.10 % RELIANCE JIO INFOCOMM LTD - 29/04/2019 | CRISIL- AAA | INE110L07054 | 900 | 9126.04 | 1.91 |
| ** 08.55 % RELIANCE JIO INFOCOMM LTD - 31/07/2018 | CRISIL- AAA | INE110L07013 | 750 | 7590.66 | 1.59 |
| ** 09.20 % TATA MOTORS FINANCE LTD - 20/07/2018 | CRISIL- AA | INE909H07DH5 | 750 | 7584.56 | 1.59 |
| ** 09.25 % PIRAMAL ENTERPRISES LTD - 12/01/2018 | ICRA- AA | INE140A07096 | 650 | 6531.94 | 1.37 |
| ** 08.91 % POWER FINANCE CORPORATION - 15/10/2017 | CRISIL- AAA | INE134E08EZ5 | 600 | 6004.82 | 1.25 |
| ** 08.04 % SMALL INDUST DEVLOP BANK OF INDIA - 15/03/2019 | CRISIL- AAA | INE556F09601 | 500 | 5077.47 | 1.06 |
| ** 08.10 % RELIANCE JIO INFOCOMM LTD - 31/05/2019 | CRISIL- AAA | INE110L07062 | 500 | 5074.09 | 1.06 |
| ** 07.85 % NABARD - 31/05/2019 | CRISIL- AAA | INE261F08642 | 500 | 5071.19 | 1.06 |
| ** 08.62 % TATA CAPITAL HOUSING FINANCE LTD - 02/08/2018 | CRISIL- AA+ | INE033L07FD0 | 500 | 5042.88 | 1.05 |
| ** 07.65 % HDFC LTD - 20/03/2019 | CRISIL- AAA | INE001A07QE5 | 50 | 5021.19 | 1.05 |
| ** 07.56 % LIC HOUSING FINANCE LTD - 21/12/2018 | CRISIL- AAA | INE115A07LP0 | 500 | 5020.24 | 1.05 |
| ** 08.15 % PIRAMAL ENTERPRISES LTD - 14/06/2019 | ICRA- AA | INE140A07344 | 500 | 5017.58 | 1.05 |
| ** 08.10 % ORIX LEASING & FINANCIAL SERVICES INDIA LTD - 26/04/2019 | FITCH AAA | INE063R07037 | 500 | 5017.27 | 1.05 |
| ** 07.85 % SHRIRAM TRANS. FIN. CO. LTD - 12/12/2018 | CRISIL- AA+ | INE721A07MI8 | 500 | 5017.10 | 1.05 |
| ** 07.51 % LIC HOUSING FINANCE LTD - 20/11/2018 | CRISIL- AAA | INE115A07LG9 | 500 | 5016.16 | 1.05 |
| ** 07.00 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 10/09/2018 | CRISIL- AAA | INE053F07967 | 500 | 5011.59 | 1.05 |
| ** 07.49 % HDFC LTD - 25/01/2019 | CRISIL- AAA | INE001A07QA3 | 50 | 5009.59 | 1.05 |
| ** 07.55 % KOTAK MAHINDRA PRIME LTD - 12/07/2019 | CRISIL- AAA | INE916DA7OS7 | 500 | 5007.75 | 1.05 |
| ** 06.98 % LIC HOUSING FINANCE LTD - 27/06/2018 | CRISIL- AAA | INE115A07MD4 | 500 | 4991.88 | 1.04 |
| ** 07.01 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 28/09/2018 | CRISIL- AAA | INE936D07083 | 450 | 4499.76 | 0.94 |
| ** 09.05 % HDFC LTD - 04/02/2018 | CRISIL- AAA | INE001A07JZ5 | 350 | 3521.49 | 0.74 |
| ** 08.90 % RELIANCE JIO INFOCOMM LTD - 21/01/2020 | CARE- AAA | INE110L08052 | 250 | 2585.25 | 0.54 |
| ** 08.59 % HDFC LTD - 14/06/2019 | CRISIL- AAA | INE001A07OM3 | 25 | 2549.91 | 0.53 |
| ** 08.38 % LIC HOUSING FINANCE LTD - 27/02/2019 | CRISIL- AAA | INE115A07IK7 | 250 | 2535.05 | 0.53 |
| ** 08.40 % RELIANCE JIO INFOCOMM LTD - 03/08/2018 | CRISIL- AAA | INE110L07039 | 250 | 2532.50 | 0.53 |
| ** 08.30 % LIC HOUSING FINANCE LTD - 27/04/2018 | CRISIL- AAA | INE115A07JX8 | 250 | 2514.92 | 0.53 |
| ** 07.15 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 15/09/2018 | CRISIL- AAA | INE053F07975 | 250 | 2509.17 | 0.52 |
| ** 07.51 % LIC HOUSING FINANCE LTD - 14/08/2018 | CRISIL- AAA | INE115A07LE4 | 250 | 2506.12 | 0.52 |
| ** 07.25 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 29/08/2019 | CRISIL- AAA | INE936D07091 | 250 | 2494.52 | 0.52 |
| ** 07.65 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 29/12/2022 | CRISIL- AAA | INE936D07141 | 200 | 2001.92 | 0.42 |
| ** 07.65 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 29/08/2022 | CRISIL- AAA | INE936D07133 | 150 | 1500.75 | 0.31 |
| ** 07.70 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 29/06/2023 | CRISIL- AAA | INE936D07166 | 150 | 1500.26 | 0.31 |
| ** 07.40 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 29/07/2020 | CRISIL- AAA | INE936D07117 | 150 | 1495.31 | 0.31 |
| ** 07.33 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 28/02/2020 | CRISIL- AAA | INE936D07109 | 150 | 1495.07 | 0.31 |
| ** 09.28 % POWER FINANCE CORPORATION - 28/12/2017 | CRISIL- AAA | INE134E08AI9 | 100 | 1005.96 | 0.21 |
| ** 07.60 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 27/05/2022 | CRISIL- AAA | INE936D07125 | 100 | 999.33 | 0.21 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 250003.22 | 52.25 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.19 % MAHINDRA VEHICLE MANUFACTURERS LTD - 25/02/2019 | ICRA- AAA | INE244N07040 | 500 | 5073.31 | 1.06 |
| ** 08.05 % VODAFONE MOBILE SERVICES LTD - 10/07/2018 | CRISIL- AA | INE713G08020 | 500 | 5032.00 | 1.05 |
| ** 07.54 % GRUH FINANCE LTD - 15/03/2019 | CRISIL- AAA | INE580B07406 | 1000 | 5021.76 | 1.05 |
| ** 07.44 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 23/08/2019 | CRISIL- AAA | INE094O08078 | 500 | 4984.30 | 1.04 |
| ** 08.30 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/05/2023 | CRISIL- AAA | INE018E08086 | 350 | 3507.55 | 0.73 |
| ** 08.10 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/10/2023 | CRISIL- AAA | INE018E08078 | 250 | 2482.93 | 0.52 |
| ** 08.05 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 13/12/2018 | CRISIL- AAA | INE094O08037 | 150 | 1509.03 | 0.32 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 27610.88 | 5.77 |
| B) MONEY MARKET INSTRUMENTS | | | | | |
| ** - ICICI BANK LTD - CD - 05/03/2018 | ICRA- A1+ | INE090A168L5 | 25000 | 24342.58 | 5.09 |
| ** - NABARD - CD - 02/03/2018 | CRISIL- A1+ | INE261F16256 | 20000 | 19479.22 | 4.07 |
| ** - PIRAMAL ENTERPRISES LTD - CP - 27/12/2017 | ICRA- A1+ | INE140A14OG7 | 2000 | 9847.32 | 2.06 |
| ** - AXIS BANK LTD - CD - 19/01/2018 | CRISIL- A1+ | INE238A16Q09 | 10000 | 9814.70 | 2.05 |
| ** - EXIM - CP - 14/03/2018 | CRISIL- A1+ | INE514E14LY4 | 2000 | 9718.78 | 2.03 |
| ** - HDFC BANK LTD - CD - 20/03/2018 | CARE- A1+ | INE040A16BM9 | 10000 | 9712.30 | 2.03 |
| ** - INDUS TOWERS LTD - CP - 14/03/2018 | CRISIL- A1+ | INE442K14158 | 2000 | 9704.16 | 2.03 |
| ** - HDFC CREDILA FINANCIAL SERVICES PVT LTD - CP - 15/03/2018 | ICRA- A1+ | INE539K14656 | 2000 | 9696.03 | 2.03 |
| ** - J.M. FINANCIAL PRODUCTS LTD - CP - 15/03/2018 | CRISIL- A1+ | INE523H14A55 | 2000 | 9669.12 | 2.02 |
| ** - SMALL INDUST DEVLOP BANK OF INDIA - CD - 18/05/2018 | CRISIL- A1+ | INE556F16192 | 10000 | 9603.26 | 2.01 |
| ** - J.M. FINANCIAL PRODUCTS LTD - CP - 12/12/2017 | CRISIL- A1+ | INE523H14YS3 | 1500 | 7400.80 | 1.55 |
| ** - HDFC BANK LTD - CD - 12/06/2018 | CARE- A1+ | INE040A16BO5 | 7500 | 7170.42 | 1.50 |
| ** - IL & FS FINANCIAL SER LTD - CP - 08/12/2017 | ICRA- A1+ | INE121H14GC7 | 1000 | 4936.36 | 1.03 |
| ** - J.M. FINANCIAL PRODUCTS LTD - CP - 20/12/2017 | CRISIL- A1+ | INE523H14YR5 | 1000 | 4926.63 | 1.03 |
| ** - HDFC LTD - CP - 28/02/2018 | CRISIL- A1+ | INE001A14RJ8 | 1000 | 4866.02 | 1.02 |
| ** - SMALL INDUST DEVLOP BANK OF INDIA - CD - 23/03/2018 | CRISIL- A1+ | INE556F16150 | 5000 | 4852.35 | 1.01 |
| ** - ICICI BANK LTD - CD - 23/05/2018 | ICRA- A1+ | INE090A164L4 | 5000 | 4797.03 | 1.00 |
| ** - SMALL INDUST DEVLOP BANK OF INDIA - CD - 25/05/2018 | CRISIL- A1+ | INE556F16234 | 5000 | 4795.81 | 1.00 |
| ** - ICICI BANK LTD - CD - 13/06/2018 | ICRA- A1+ | INE090A165L1 | 5000 | 4779.62 | 1.00 |
| ** - ICICI BANK LTD - CD - 18/06/2018 | ICRA- A1+ | INE090A162M6 | 5000 | 4775.49 | 1.00 |
| ** - IL&FS LTD - CP - 29/11/2017 | ICRA- A1+ | INE871D14HY8 | 500 | 2472.88 | 0.52 |
| ** - AXIS BANK LTD - CD - 23/02/2018 | CRISIL- A1+ | INE238A16S23 | 2500 | 2438.39 | 0.51 |
| ** - BARCLAYS INVESTMENTS & LOANS (INDIA) LTD - CP - 26/03/2018 | CRISIL- A1+ | INE704I14932 | 500 | 2416.46 | 0.51 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 182215.73 | 38.08 |
| C) REPO | | | | 1515.69 | 0.32 |
| PORTFOLIO TOTAL | | | | 466388.33 | 97.47 |
| CASH / NET CURRENT ASSET | | | | 12108.44 | 2.53 |
| NET ASSETS | | | | 478496.77 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.1003.5288 (REGULAR PLAN - DAILY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1008.7306 (REGULAR PLAN - WEEKLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.2552.5894 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.1619.5366 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 30-SEP-17: RS.1003.5316 (DIRECT - DAILY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1008.7526 (DIRECT - WEEKLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.2572.1903 (DIRECT - GROWTH)

NAV AS ON 30-SEP-17: RS.1631.6584 (DIRECT - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.1003.5297 (REGULAR PLAN - DAILY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1009.1669 (REGULAR PLAN - WEEKLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.2465.1269 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.1564.0449 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.1003.5326 (DIRECT - DAILY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1009.1776 (DIRECT - WEEKLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.2481.5475 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.1574.1642 (DIRECT - PERIODIC DIVIDEND)

AVERAGE MATURITY : 1.00 YEARS

DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA MID CAP GROWTH FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 550000 | 2893.83 | 4.51 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 4200000 | 2505.30 | 3.91 |
| YES BANK LTD | BANKS | INE528G01027 | 710000 | 2485.00 | 3.87 |
| KNR CONSTRUCTIONS LTD | CONSTRUCTION | INE634I01029 | 900000 | 1858.05 | 2.90 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 10000 | 1856.90 | 2.89 |
| IFB INDUSTRIES LTD | CONSUMER DURABLES | INE559A01017 | 225000 | 1620.79 | 2.53 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 61000 | 1564.04 | 2.44 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 750000 | 1501.13 | 2.34 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 496354 | 1447.37 | 2.26 |
| BLUE STAR LTD | CONSUMER DURABLES | INE472A01039 | 180000 | 1402.56 | 2.19 |
| SOMANY CERAMICS LTD | CONSTRUCTION | INE355A01028 | 157800 | 1347.30 | 2.10 |
| CITY UNION BANK LTD | BANKS | INE491A01021 | 770000 | 1247.79 | 1.95 |
| PIRAMAL ENTERPRISES LTD | PHARMACEUTICALS | INE140A01024 | 47000 | 1233.80 | 1.92 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 25000 | 1223.88 | 1.91 |
| MAHINDRA CIE AUTOMOTIVE LTD | INDUSTRIAL PRODUCTS | INE536H01010 | 505000 | 1207.20 | 1.88 |
| NCC LTD | CONSTRUCTION PROJECT | INE868B01028 | 1400000 | 1162.70 | 1.81 |
| NAVIN FLUORINE INTERNATIONAL LTD | CHEMICALS | INE048G01026 | 167495 | 1161.16 | 1.81 |
| SOLAR INDUSTRIES INDIA LTD | CHEMICALS | INE343H01029 | 125000 | 1139.25 | 1.78 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 410000 | 1135.29 | 1.77 |
| AIA ENGINEERING LTD | INDUSTRIAL PRODUCTS | INE212H01026 | 85000 | 1124.85 | 1.75 |
| VINATI ORGANICS LTD | CHEMICALS | INE410B01029 | 115000 | 1107.74 | 1.73 |
| TAMILNADU NEWSPRINT & PAPERS LTD | PAPER | INE107A01015 | 315900 | 1107.70 | 1.73 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 225000 | 1067.51 | 1.66 |
| AMARA RAJA BATTERIES LTD | AUTO ANCILLARIES | INE885A01032 | 150000 | 1065.00 | 1.66 |
| STRIDES SHASUN LTD | PHARMACEUTICALS | INE939A01011 | 120000 | 1061.34 | 1.65 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 1000000 | 993.00 | 1.55 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 90000 | 986.63 | 1.54 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 142000 | 981.01 | 1.53 |
| TRIDENT LTD | TEXTILE PRODUCTS | INE064C01014 | 1000000 | 975.00 | 1.52 |
| INOX LEISURE LTD | MEDIA & ENTERTAINMENT | INE312H01016 | 414000 | 961.31 | 1.50 |
| EXIDE INDUSTRIES LTD | AUTO ANCILLARIES | INE302A01020 | 465500 | 956.84 | 1.49 |
| J K CEMENT LTD | CEMENT | INE823G01014 | 100000 | 956.10 | 1.49 |
| ERIS LIFESCIENCES LTD | PHARMACEUTICALS | INE406M01024 | 162024 | 933.42 | 1.46 |
| PETRONET LNG LTD | GAS | INE347G01014 | 400000 | 925.60 | 1.44 |
| CHAMBAL FERTILISER & CHEMICALS LTD | FERTILISERS | INE085A01013 | 600000 | 841.80 | 1.31 |
| DCB BANK LTD | BANKS | INE503A01015 | 450000 | 818.78 | 1.28 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 500000 | 817.75 | 1.27 |
| ADITYA BIRLA FASHION & RETAIL LTD | RETAILING | INE647O01011 | 500000 | 814.00 | 1.27 |
| BAYER CROPSCIENCE LTD | PESTICIDES | INE462A01022 | 20000 | 776.36 | 1.21 |
| RALLIS INDIA LTD | PESTICIDES | INE613A01020 | 360000 | 747.72 | 1.17 |
| EQUITAS HOLDINGS LTD | FINANCE | INE988K01017 | 490000 | 745.29 | 1.16 |
| ATUL AUTO LTD | AUTO | INE951D01028 | 160000 | 743.84 | 1.16 |
| PI INDUSTRIES LTD | PESTICIDES | INE603J01030 | 100000 | 740.20 | 1.15 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 355000 | 730.06 | 1.14 |
| KARUR VYSA BANK LTD | BANKS | INE036D01028 | 480000 | 690.24 | 1.08 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 100000 | 689.45 | 1.07 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 165000 | 660.08 | 1.03 |
| BRIGADE ENTERPRISES LTD | CONSTRUCTION | INE791I01019 | 263736 | 649.98 | 1.01 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 165000 | 642.43 | 1.00 |
| NBCC INDIA LTD | CONSTRUCTION | INE095N01023 | 300000 | 637.95 | 0.99 |
| NILKAMAL LTD | INDUSTRIAL PRODUCTS | INE310A01015 | 40000 | 621.18 | 0.97 |
| APOLLO TYRES LTD | AUTO ANCILLARIES | INE438A01022 | 250000 | 612.38 | 0.95 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 74000 | 601.14 | 0.94 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 75000 | 583.99 | 0.91 |
| SEQUENT SCIENTIFIC LTD | PHARMACEUTICALS | INE807F01027 | 491000 | 573.73 | 0.89 |
| SATIN CREDITCARE NETWORK LTD | FINANCE | INE836B01017 | 174000 | 535.66 | 0.84 |
| UNION BANK OF INDIA | BANKS | INE692A01016 | 385000 | 487.60 | 0.76 |
| HAVELLS INDIA LTD | CONSUMER DURABLES | INE176B01034 | 100000 | 482.85 | 0.75 |
| DHANUKA AGRITECH LTD | PESTICIDES | INE435G01025 | 70000 | 466.73 | 0.73 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 70000 | 455.74 | 0.71 |
| APOLLO HOSPITALS ENTERPRISE LTD | HEALTHCARE SERVICES | INE437A01024 | 25000 | 251.66 | 0.39 |
| EQUITY & EQUITY RELATED TOTAL | | | | 62614.98 | 97.61 |
| A) REPO | | | | 1622.65 | 2.53 |
| PORTFOLIO TOTAL | | | | 64237.63 | 100.14 |
| NET CURRENT LIABILITIES | | | | -90.52 | -0.14 |
| NET ASSETS | | | | 64147.11 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.43.3549 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.132.6728 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.123.5011 (REGULAR PLAN - BONUS (GROWTH))

NAV AS ON 30-SEP-17: RS.52.0217 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.137.4582 (DIRECT - GROWTH)

NAV AS ON 30-SEP-17: RS.126.1281 (DIRECT - BONUS (GROWTH))

NAV AS ON 31-MAR-17: RS.39.4056 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.120.5863 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.112.2511 (REGULAR PLAN - BONUS (GROWTH))

NAV AS ON 31-MAR-17: RS.47.0938 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.124.4123 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.114.1556 (DIRECT - BONUS (GROWTH))

PORTFOLIO TURNOVER RATIO: 0.22 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA CORPORATE BOND FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 07.73 % MAHINDRA RURAL HOUSING FINANCE LTD - 27/06/2019 | CRISIL- AA+ | INE950O07180 | 500 | 4999.99 | 7.94 |
| ** 08.15 % PIRAMAL ENTERPRISES LTD - 14/06/2019 | ICRA- AA | INE140A07344 | 400 | 4014.06 | 6.38 |
| ** 07.40 % TATA MOTORS LTD - 29/06/2021 | CRISIL- AA | INE155A08365 | 400 | 3990.44 | 6.34 |
| ** 07.85 % NABARD - 31/05/2019 | CRISIL- AAA | INE261F08642 | 250 | 2535.60 | 4.03 |
| ** 08.32 % NABHA POWER LTD - 31/07/2018 | ICRA- AAA(SO) | INE445L08268 | 250 | 2526.29 | 4.01 |
| ** 08.29 % NABARD - 11/06/2018 | CRISIL- AAA | INE261F08501 | 250 | 2524.31 | 4.01 |
| ** 08.54 % IL & FS FINANCIAL SER LTD - 08/11/2019 | CARE- AAA | INE121H07BO7 | 250000 | 2522.70 | 4.01 |
| ** 07.84 % TRENT LTD - 10/09/2019 | CARE- AA+ | INE849A08066 | 250 | 2515.97 | 4.00 |
| ** 07.70 % TATA POWER COMPANY LTD - 02/08/2019 | CRISIL- AA- | INE245A08083 | 250 | 2505.69 | 3.98 |
| ** 07.85 % INDIABULLS HOUSING FINANCE LTD - 17/06/2019 | CRISIL- AA+ | INE148I07HQ0 | 250 | 2504.88 | 3.98 |
| ** 07.33 % HDFC LTD - 11/12/2018 | CRISIL- AAA | INE001A07QW7 | 25 | 2504.61 | 3.98 |
| ** 06.88 % RURAL ELECTRIFICATION CORP. LTD - 03/09/2018 | CRISIL- AAA | INE020B08AG0 | 250 | 2501.90 | 3.98 |
| ** 08.54 % J.M. FINANCIAL PRODUCTS LTD - 18/12/2018 | CRISIL- AA | INE523H07809 | 250 | 2500.62 | 3.97 |
| ** 07.85 % TALWANDI SABO POWER LTD - 04/08/2020 | CRISIL- AA(SO) | INE694L07107 | 250 | 2494.50 | 3.96 |
| ** 06.87 % RURAL ELECTRIFICATION CORP. LTD - 24/09/2020 | CRISIL- AAA | INE020B08AJ4 | 250 | 2489.79 | 3.96 |
| ** 09.05 % TATA CAPITAL FINANCIAL SERVICES LTD - 10/08/2018 | CRISIL- AA+ | INE306N08086 | 150 | 1519.10 | 2.41 |
| ** 07.75 % INDIA INFRADEBT LTD - 30/08/2022 | CRISIL- AAA | INE537P07380 | 100 | 997.67 | 1.59 |
| ** 07.15 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 15/09/2018 | CRISIL- AAA | INE053F07975 | 50 | 501.83 | 0.80 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 46149.95 | 73.33 |
| (II) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.30 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/05/2023 | CRISIL- AAA | INE018E08086 | 250 | 2505.39 | 3.98 |
| ** 08.10 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/10/2023 | CRISIL- AAA | INE018E08078 | 102 | 1013.04 | 1.61 |
| ** 08.05 % DAIMLER FINANCIAL SERVICES INDIA PRIVATE LTD - 13/12/2018 | CRISIL- AAA | INE094O08037 | 100 | 1006.02 | 1.60 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 4524.45 | 7.19 |
| B) MONEY MARKET INSTRUMENTS | | | | | |
| ** - INDUS TOWERS LTD - CP - 14/03/2018 | CRISIL- A1+ | INE442K14158 | 500 | 2426.04 | 3.85 |
| ** - INDIABULLS HOUSING FINANCE LTD - CP - 15/03/2018 | CRISIL- A1+ | INE148I14QZ8 | 500 | 2423.05 | 3.85 |
| ** - POWER FINANCE CORPORATION - CP - 15/05/2018 | CRISIL- A1+ | INE134E14873 | 500 | 2400.64 | 3.81 |
| ** - ICICI BANK LTD - CD - 22/05/2018 | ICRA- A1+ | INE090A163L6 | 2500 | 2398.93 | 3.81 |
| MONEY MARKET INSTRUMENTS TOTAL | | | | 9648.66 | 15.33 |
| C) REPO | | | | 1972.42 | 3.13 |
| PORTFOLIO TOTAL | | | | 62295.48 | 98.98 |
| CASH / NET CURRENT ASSET | | | | 641.79 | 1.02 |
| NET ASSETS | | | | 62937.27 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.1002.6021 (RIP - DIV MONTHLY)

NAV AS ON 30-SEP-17: RS.2200.0041 (RIP - GROWTH)

NAV AS ON 30-SEP-17: RS.1008.4750 (REGULAR PLAN - DAILY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1011.4758 (REGULAR PLAN - WEEKLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1002.1083 (REGULAR PLAN - MONTHLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.2217.9314 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.1003.3596 (RIP - DIV DAILY)

NAV AS ON 30-SEP-17: RS.1008.5144 (DIRECT - DAILY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1012.4513 (DIRECT - WEEKLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.1002.1572 (DIRECT - MONTHLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.2288.4396 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.1002.6072 (RIP - DIV MONTHLY)

NAV AS ON 31-MAR-17: RS.2125.7470 (RIP - GROWTH)

NAV AS ON 31-MAR-17: RS.1008.4750 (REGULAR PLAN - DAILY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1011.6435 (REGULAR PLAN - WEEKLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1002.0980 (REGULAR PLAN - MONTHLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.2142.2681 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.1003.3596 (RIP - DIV DAILY)

NAV AS ON 31-MAR-17: RS.1008.5144 (DIRECT - DAILY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1012.6042 (DIRECT - WEEKLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.1002.1229 (DIRECT - MONTHLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.2203.4011 (DIRECT - GROWTH)

AVERAGE MATURITY : 1.81 YEARS

DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INFRASTRUCTURE TAX SAVING FUND (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 3400 | 61.30 | 9.69 |
| YES BANK LTD | BANKS | INE528G01027 | 12280 | 42.98 | 6.79 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 1110 | 42.77 | 6.76 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

TATA MUTUAL FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 13900 | 40.53 | 6.40 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 200 | 37.14 | 5.87 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 17000 | 35.81 | 5.66 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 3700 | 34.29 | 5.42 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 4800 | 33.16 | 5.24 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 16500 | 33.02 | 5.22 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 4740 | 25.60 | 4.04 |
| ICICI BANK LTD | BANKS | INE090A01021 | 8800 | 24.37 | 3.85 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 13200 | 21.59 | 3.41 |
| THERMAX LTD | INDUSTRIAL CAPITAL GOODS | INE152A01029 | 1980 | 18.68 | 2.95 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 12000 | 17.84 | 2.82 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 1425 | 16.26 | 2.57 |
| ABB INDIA LTD | INDUSTRIAL CAPITAL GOODS | INE117A01022 | 1035 | 14.45 | 2.28 |
| NCC LTD | CONSTRUCTION PROJECT | INE868B01028 | 16500 | 13.70 | 2.16 |
| NBCC INDIA LTD | CONSTRUCTION | INE095N01023 | 6000 | 12.76 | 2.02 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 12500 | 12.41 | 1.96 |
| AXIS BANK LTD | BANKS | INE238A01034 | 2300 | 11.70 | 1.85 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 230 | 11.26 | 1.78 |
| KALPATARU POWER TRANSMISSION LTD | POWER | INE220B01022 | 3000 | 10.95 | 1.73 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 812 | 10.93 | 1.73 |
| INOX LEISURE LTD | MEDIA & ENTERTAINMENT | INE312H01016 | 4500 | 10.45 | 1.65 |
| SKF INDIA LTD | INDUSTRIAL PRODUCTS | INE640A01023 | 338 | 5.13 | 0.81 |
| INGERSOLL RAND (INDIA) LTD | INDUSTRIAL PRODUCTS | INE177A01018 | 520 | 4.04 | 0.64 |
| EQUITY & EQUITY RELATED TOTAL | | | | 603.12 | 95.29 |
| A) REPO | | | | 10.70 | 1.69 |
| PORTFOLIO TOTAL | | | | 613.82 | 96.98 |
| CASH / NET CURRENT ASSET | | | | 19.13 | 3.02 |
| NET ASSETS | | | | 632.95 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.23.6442 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.24.9851 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.22.2982 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.23.5628 (REGULAR PLAN - GROWTH)
PORTFOLIO TURNOVER RATIO: NIL
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA GILT MID TERM FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 7.16% (20/05/2023) | SOV | IN0020130012 | 5000000 | 5087.56 | 22.62 |
| GOI - 8.28% (21/09/2027) | SOV | IN0020070069 | 4000000 | 4330.16 | 19.26 |
| GOI 8.35% 14/5/2022 | SOV | IN0020020072 | 4000000 | 4254.12 | 18.92 |
| GOI 8.24% 15/02/2027 | SOV | IN0020060078 | 3850000 | 4145.83 | 18.44 |
| SDL MAHARASHTRA 8.94% (23/07/2024) | SOV | IN2220140072 | 500000 | 542.86 | 2.41 |
| SDL TAMILNADU 8.27% (13/01/2026) | SOV | IN3120150179 | 500000 | 526.81 | 2.34 |
| GOI - 7.68% (15/12/2023). | SOV | IN0020150010 | 500000 | 522.26 | 2.32 |
| SDL TAMILNADU 8.13% (14/01/2025) | SOV | IN3120140188 | 500000 | 520.65 | 2.32 |
| GOI 7.35% (22/06/2024) | SOV | IN0020090034 | 500000 | 512.61 | 2.28 |
| SDL KARNATAKA 7.27% (28/12/2026) | SOV | IN1920160067 | 453900 | 452.14 | 2.01 |
| SDL TAMILNADU 8.06% (25/02/2025) | SOV | IN3120140212 | 310400 | 322.17 | 1.43 |
| SDL MAHARASHTRA 8.44% (26/11/2024) | SOV | IN2220140155 | 150000 | 159.29 | 0.71 |
| SDL TAMILNADU 7.23% (14/06/2027) | SOV | IN3120170045 | 108100 | 107.30 | 0.48 |
| GOVERNMENT SECURITIES TOTAL | | | | 21483.76 | 95.54 |
| B) REPO | | | | 649.27 | 2.89 |
| PORTFOLIO TOTAL | | | | 22133.03 | 98.43 |
| CASH / NET CURRENT ASSET | | | | 353.74 | 1.57 |
| NET ASSETS | | | | 22486.77 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.15.4337 (REGULAR PLAN - QUARTERLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.17.7660 (REGULAR PLAN - PERIODIC DIVIDEND)
NAV AS ON 30-SEP-17: RS.18.7298 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.15.9476 (DIRECT - QUARTERLY DIVIDEND)
NAV AS ON 30-SEP-17: RS.18.2504 (DIRECT - PERIODIC DIVIDEND)
NAV AS ON 30-SEP-17: RS.19.5003 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.15.3034 (REGULAR PLAN - QUARTERLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.17.1589 (REGULAR PLAN - PERIODIC DIVIDEND)
NAV AS ON 31-MAR-17: RS.18.0908 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.15.7134 (DIRECT - QUARTERLY DIVIDEND)
NAV AS ON 31-MAR-17: RS.17.5287 (DIRECT - PERIODIC DIVIDEND)
NAV AS ON 31-MAR-17: RS.18.7292 (DIRECT - GROWTH)
AVERAGE MATURITY : 7.02 YEARS
DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA RETIREMENT SAVINGS FUND-PROGRESSIVE PLAN

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 465000 | 1627.50 | 5.80 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 195000 | 1025.99 | 3.66 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 49000 | 852.94 | 3.04 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 400000 | 842.60 | 3.00 |
| HDFC BANK LTD | BANKS | INE040A01026 | 46500 | 838.42 | 2.99 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 1295000 | 772.47 | 2.75 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 9600 | 765.42 | 2.73 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 83800 | 681.84 | 2.43 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 135000 | 681.35 | 2.43 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 124000 | 669.66 | 2.39 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 58000 | 661.90 | 2.36 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 60000 | 646.56 | 2.31 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 148000 | 620.27 | 2.21 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 127000 | 602.55 | 2.15 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 44250 | 595.74 | 2.12 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 40000 | 579.92 | 2.07 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 280000 | 575.82 | 2.05 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 383000 | 569.33 | 2.03 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 33000 | 565.70 | 2.02 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 82000 | 565.35 | 2.02 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 108500 | 565.23 | 2.02 |
| ESCORTS LTD | AUTO | INE042A01014 | 84200 | 555.47 | 1.98 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 93000 | 548.89 | 1.96 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 70200 | 536.01 | 1.91 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 20700 | 530.75 | 1.89 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 45000 | 528.82 | 1.89 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 132000 | 513.94 | 1.83 |
| SOMANY CERAMICS LTD | CONSTRUCTION | INE355A01028 | 59500 | 508.01 | 1.81 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 56000 | 454.92 | 1.62 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 42000 | 420.80 | 1.50 |
| CENTRAL DEPOSITORY SERVICES (INDIA) LTD | FINANCE | INE736A01011 | 120000 | 410.10 | 1.46 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 12550 | 390.07 | 1.39 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 115500 | 388.43 | 1.39 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 315000 | 383.83 | 1.37 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 1700 | 315.67 | 1.13 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 17000 | 311.94 | 1.11 |
| INFO EDGE (INDIA) LTD | SOFTWARE | INE663F01024 | 28000 | 308.56 | 1.10 |
| NILKAMAL LTD | INDUSTRIAL PRODUCTS | INE310A01015 | 19375 | 300.88 | 1.07 |
| BAJAJ FINSERV LTD | FINANCE | INE918I01018 | 5800 | 298.50 | 1.06 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 38100 | 296.67 | 1.06 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 107000 | 296.28 | 1.06 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 76900 | 291.95 | 1.04 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 900 | 280.62 | 1.00 |
| PETRONET LNG LTD | GAS | INE347G01014 | 120000 | 277.68 | 0.99 |
| GUJARAT PIPAVAV PORT LTD | TRANSPORTATION | INE517F01014 | 204500 | 273.01 | 0.97 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 7000 | 269.70 | 0.96 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 22500 | 255.02 | 0.91 |
| RURAL ELECTRIFICATION CORP. LTD | FINANCE | INE020B01018 | 150000 | 229.20 | 0.82 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 4000 | 195.82 | 0.70 |
| GATEWAY DISTRIPARKS LTD | TRANSPORTATION | INE852F01015 | 66000 | 150.98 | 0.54 |
| EQUITY & EQUITY RELATED TOTAL | | | | 25829.08 | 92.11 |
| A) REPO | | | | 2129.66 | 7.59 |
| PORTFOLIO TOTAL | | | | 27958.74 | 99.70 |
| CASH / NET CURRENT ASSET | | | | 82.57 | 0.30 |
| NET ASSETS | | | | 28041.31 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.27.2497 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.29.4187 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.23.6356 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.25.3584 (DIRECT - GROWTH)
PORTFOLIO TURNOVER RATIO: 0.28 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA RETIREMENT SAVINGS FUND-MODERATE PLAN

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 347000 | 1214.50 | 4.66 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 149000 | 783.96 | 3.01 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 318700 | 671.34 | 2.57 |
| HDFC BANK LTD | BANKS | INE040A01026 | 35000 | 631.07 | 2.42 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 36000 | 626.65 | 2.40 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 1020000 | 608.43 | 2.33 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 106000 | 534.98 | 2.05 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 6660 | 531.01 | 2.04 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 46000 | 524.95 | 2.01 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 93000 | 502.25 | 1.93 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 34750 | 467.84 | 1.79 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 111000 | 465.20 | 1.78 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 32000 | 463.94 | 1.78 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 220000 | 452.43 | 1.74 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 83550 | 435.25 | 1.67 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 52750 | 429.20 | 1.65 |
| ESCORTS LTD | AUTO | INE042A01014 | 64850 | 427.82 | 1.64 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 55750 | 425.68 | 1.63 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 71600 | 422.58 | 1.62 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 24600 | 421.71 | 1.62 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 281100 | 417.86 | 1.60 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 35000 | 411.30 | 1.58 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 59000 | 406.78 | 1.56 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 15305 | 392.42 | 1.50 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 82700 | 392.37 | 1.50 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 98500 | 383.51 | 1.47 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 33700 | 363.15 | 1.39 |
| CENTRAL DEPOSITORY SERVICES (INDIA) LTD | FINANCE | INE736A01011 | 95000 | 324.66 | 1.25 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 32300 | 323.61 | 1.24 |
| SOMANY CERAMICS LTD | CONSTRUCTION | INE355A01028 | 37900 | 323.59 | 1.24 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 37200 | 302.19 | 1.16 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 8700 | 270.41 | 1.04 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 75500 | 253.91 | 0.97 |
| INFO EDGE (INDIA) LTD | SOFTWARE | INE663F01024 | 21500 | 236.93 | 0.91 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 83000 | 229.83 | 0.88 |
| NILKAMAL LTD | INDUSTRIAL PRODUCTS | INE310A01015 | 14750 | 229.06 | 0.88 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 187000 | 227.86 | 0.87 |
| PETRONET LNG LTD | GAS | INE347G01014 | 95800 | 221.68 | 0.85 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 700 | 218.26 | 0.84 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 57000 | 216.40 | 0.83 |
| GUJARAT PIPAVAV PORT LTD | TRANSPORTATION | INE517F01014 | 157500 | 210.26 | 0.81 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 26600 | 207.12 | 0.79 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 5100 | 196.49 | 0.75 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 17000 | 192.68 | 0.74 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 10500 | 192.67 | 0.74 |
| BAJAJ FINSERV LTD | FINANCE | INE918I01018 | 3600 | 185.28 | 0.71 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 2990 | 146.38 | 0.56 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 750 | 139.27 | 0.53 |
| RURAL ELECTRIFICATION CORP. LTD | FINANCE | INE020B01018 | 90000 | 137.52 | 0.53 |
| GATEWAY DISTRIPARKS LTD | TRANSPORTATION | INE852F01015 | 36000 | 82.35 | 0.32 |
| EQUITY & EQUITY RELATED TOTAL | | | | 18876.59 | 72.39 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 8.40% (28/07/2024) | SOV | IN0020140045 | 500000 | 538.28 | 2.06 |
| GOVERNMENT SECURITIES TOTAL | | | | 538.28 | 2.06 |
| B) REPO | | | | 6384.71 | 24.49 |
| PORTFOLIO TOTAL | | | | 25799.58 | 98.95 |
| CASH / NET CURRENT ASSET | | | | 275.61 | 1.05 |
| NET ASSETS | | | | 26075.19 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.28.0486 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.29.9224 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.24.9697 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.26.4990 (DIRECT - GROWTH)
PORTFOLIO TURNOVER RATIO: 0.18 TIMES
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA RETIREMENT SAVINGS FUND-CONSERVATIVE PLAN

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 54750 | 191.63 | 1.72 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 23550 | 123.91 | 1.11 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 49000 | 103.22 | 0.92 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 1270 | 101.26 | 0.91 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 5700 | 99.22 | 0.89 |
| HDFC BANK LTD | BANKS | INE040A01026 | 5400 | 97.36 | 0.87 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 154500 | 92.16 | 0.83 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 11100 | 90.32 | 0.81 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 7750 | 83.51 | 0.75 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 16100 | 81.26 | 0.73 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 19083 | 79.98 | 0.72 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 7000 | 79.88 | 0.72 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 14750 | 79.66 | 0.71 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 5100 | 73.94 | 0.66 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 48700 | 72.39 | 0.65 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 5331 | 71.77 | 0.64 |
| ESCORTS LTD | AUTO | INE042A01014 | 10800 | 71.25 | 0.64 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 4150 | 71.14 | 0.64 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 10000 | 68.95 | 0.62 |
| SOMANY CERAMICS LTD | CONSTRUCTION | INE355A01028 | 7950 | 67.88 | 0.61 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 33000 | 67.86 | 0.61 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 8600 | 65.67 | 0.59 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 12550 | 65.38 | 0.59 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 10975 | 64.77 | 0.58 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 5500 | 64.63 | 0.58 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 2450 | 62.82 | 0.56 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 13100 | 62.15 | 0.56 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 15700 | 61.13 | 0.55 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 7200 | 58.49 | 0.52 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 42500 | 51.79 | 0.46 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 1630 | 50.66 | 0.45 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 14925 | 50.19 | 0.45 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 4950 | 49.59 | 0.44 |
| CENTRAL DEPOSITORY SERVICES (INDIA) LTD | FINANCE | INE736A01011 | 14500 | 49.55 | 0.44 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 230 | 42.71 | 0.38 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 2200 | 40.37 | 0.36 |
| BAJAJ FINSERV LTD | FINANCE | INE918I01018 | 750 | 38.60 | 0.35 |
| INFO EDGE (INDIA) LTD | SOFTWARE | INE663F01024 | 3450 | 38.02 | 0.34 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 13400 | 37.10 | 0.33 |
| NILKAMAL LTD | INDUSTRIAL PRODUCTS | INE310A01015 | 2375 | 36.88 | 0.33 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 4650 | 36.21 | 0.32 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 9350 | 35.50 | 0.32 |
| PETRONET LNG LTD | GAS | INE347G01014 | 15200 | 35.17 | 0.31 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 110 | 34.30 | 0.31 |
| GUJARAT PIPAVAV PORT LTD | TRANSPORTATION | INE517F01014 | 25600 | 34.18 | 0.31 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 810 | 31.21 | 0.28 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 2750 | 31.17 | 0.28 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 580 | 28.39 | 0.25 |
| RURAL ELECTRIFICATION CORP. LTD | FINANCE | INE020B01018 | 18500 | 28.27 | 0.25 |
| GATEWAY DISTRIPARKS LTD | TRANSPORTATION | INE852F01015 | 11000 | 25.16 | 0.23 |
| EQUITY & EQUITY RELATED TOTAL | | | | 3178.61 | 28.46 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI 8.24% 15/02/2027 | SOV | IN0020060078 | 1050000 | 1130.68 | 10.12 |
| GOI - 8.40% (28/07/2024) | SOV | IN0020140045 | 500000 | 538.28 | 4.82 |
| GOI - 8.20% (24/09/2025) | SOV | IN0020120047 | 500000 | 536.52 | 4.80 |
| GOI - 7.73% (19/12/2034) | SOV | IN0020150051 | 500000 | 525.41 | 4.70 |
| GOI - 7.80% (11/04/2021) | SOV | IN0020110022 | 500000 | 519.27 | 4.65 |
| SDL MAHARASHTRA 8.44% (26/11/2024) | SOV | IN2220140155 | 350000 | 371.68 | 3.33 |
| GOVERNMENT SECURITIES TOTAL | | | | 3621.84 | 32.43 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 09.95 % FOOD CORPORATION OF INDIA LTD - 07/03/2022 | CRISIL- AAA(SO) | INE861G08035 | 50 | 549.96 | 4.92 |
| ** 11.25 % POWER FINANCE CORPORATION - 28/11/2018 | CRISIL- AAA | INE134E08BH9 | 45 | 470.60 | 4.21 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 1020.56 | 9.14 |
| B) REPO | | | | 3230.33 | 28.92 |
| PORTFOLIO TOTAL | | | | 11051.34 | 98.94 |
| CASH / NET CURRENT ASSET | | | | 118.38 | 1.06 |
| NET ASSETS | | | | 11169.72 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.18.7105 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.19.8867 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.17.5976 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.18.5532 (DIRECT - GROWTH)
AVERAGE MATURITY : 4.40 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DUAL ADVANTAGE FUND-SERIES-2-SCHEME A (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 9800 | 176.70 | 1.99 |
| YES BANK LTD | BANKS | INE528G01027 | 30550 | 106.93 | 1.21 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 17400 | 93.97 | 1.06 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 22133 | 92.76 | 1.05 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 55770 | 91.21 | 1.03 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 9800 | 76.65 | 0.86 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 12890 | 76.08 | 0.86 |
| DCB BANK LTD | BANKS | INE503A01015 | 37300 | 67.87 | 0.76 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 108000 | 64.42 | 0.73 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 18900 | 63.56 | 0.72 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 4475 | 60.25 | 0.68 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 7668 | 59.71 | 0.67 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 7200 | 58.58 | 0.66 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 21800 | 56.30 | 0.63 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 36000 | 53.51 | 0.60 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 10000 | 52.62 | 0.59 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 1650 | 51.28 | 0.58 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 5760 | 50.37 | 0.57 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 270 | 50.14 | 0.57 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 2650 | 48.63 | 0.55 |
| BOSCH LTD | AUTO ANCILLARIES | INE323A01026 | 235 | 48.21 | 0.54 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 9000 | 46.89 | 0.53 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 21300 | 44.87 | 0.51 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 3500 | 44.85 | 0.51 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 545 | 43.45 | 0.49 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 1780 | 43.38 | 0.49 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 3800 | 43.07 | 0.49 |
| ESCORTS LTD | AUTO | INE042A01014 | 6150 | 40.57 | 0.46 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 31400 | 38.64 | 0.44 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 975 | 37.56 | 0.42 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 5850 | 37.50 | 0.42 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 6400 | 30.36 | 0.34 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 4250 | 29.36 | 0.33 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 6500 | 25.31 | 0.29 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 900 | 10.27 | 0.12 |
| EQUITY & EQUITY RELATED TOTAL | | | | 2015.83 | 22.72 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** SUNDARAM BNP PARIBAS HOME FIN LTD - 17/10/2017 - ZCB | CARE- AA+ | INE667F07EJ7 | 100 | 1321.81 | 14.90 |
| ** 09.40 % NABHA POWER LTD - 17/10/2017 | ICRA- AAA(SO) | INE445L08177 | 130 | 1301.57 | 14.67 |
| ** KOTAK MAHINDRA INVESTMENTS LTD - 17/10/2017 - ZCB | CRISIL- AAA | INE975F07DI8 | 93 | 927.28 | 10.45 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 3550.66 | 40.02 |
| B) REPO | | | | 3305.20 | 37.25 |
| PORTFOLIO TOTAL | | | | 8871.69 | 99.98 |
| CASH / NET CURRENT ASSET | | | | 1.54 | 0.02 |
| NET ASSETS | | | | 8873.23 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.13.0505 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.0505 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.6620 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.6620 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.12.4604 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.4604 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.9465 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.9465 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.03 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DUAL ADVANTAGE FUND-SERIES-2-SCHEME B (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 25950 | 90.83 | 1.30 |
| HDFC BANK LTD | BANKS | INE040A01026 | 4747 | 85.59 | 1.22 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 2630 | 81.74 | 1.17 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 15200 | 63.70 | 0.91 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 100000 | 59.65 | 0.85 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 6980 | 54.59 | 0.78 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 10455 | 54.47 | 0.78 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 32670 | 53.43 | 0.76 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 8325 | 49.13 | 0.70 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 3337 | 44.93 | 0.64 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 11100 | 44.41 | 0.63 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 16700 | 43.13 | 0.62 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 5400 | 42.05 | 0.60 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 4520 | 39.53 | 0.56 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 24800 | 36.87 | 0.53 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 10950 | 36.82 | 0.53 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 1875 | 34.41 | 0.49 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 4200 | 34.17 | 0.49 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 6000 | 31.57 | 0.45 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 2400 | 30.75 | 0.44 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 14200 | 29.91 | 0.43 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 5400 | 29.16 | 0.42 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 360 | 28.70 | 0.41 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 22700 | 27.93 | 0.40 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 2350 | 26.63 | 0.38 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 1076 | 26.22 | 0.37 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 4050 | 25.96 | 0.37 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 660 | 25.43 | 0.36 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 6400 | 24.92 | 0.36 |
| DCB BANK LTD | BANKS | INE503A01015 | 13100 | 23.84 | 0.34 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 3400 | 23.49 | 0.34 |
| ESCORTS LTD | AUTO | INE042A01014 | 3550 | 23.42 | 0.33 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 4600 | 21.82 | 0.31 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 530 | 6.05 | 0.09 |
| EQUITY & EQUITY RELATED TOTAL | | | | 1355.25 | 19.34 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 08.50 % POWER FINANCE CORPORATION - 28/11/2017 | CRISIL- AAA | INE134E08GP1 | 87 | 872.35 | 12.45 |
| ** 09.58 % INDIABULLS HOUSING FINANCE LTD - 25/11/2017 | CRISIL- AA+ | INE148I07AN2 | 83 | 832.79 | 11.88 |
| ** 09.27 % TALWANDI SABO POWER LTD - 10/11/2017 | CRISIL- AA(SO) | INE694L07057 | 82 | 821.59 | 11.72 |
| ** 09.32 % TATA POWER COMPANY LTD - 17/11/2017 | CRISIL- AA- | INE245A08059 | 80 | 802.22 | 11.45 |
| ** 09.36 % VEDANTA LTD - 30/10/2017 | CRISIL- AA | INE205A07014 | 80 | 801.39 | 11.43 |
| ** 09.06 % L&T FINANCE LTD - 06/12/2017 | ICRA- AA+ | INE759E07392 | 16 | 401.53 | 5.73 |
| ** 09.10 % TATA MOTORS FINANCE LTD - 20/11/2017 | CRISIL- AA | INE909H07CH7 | 34 | 340.78 | 4.86 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 4872.65 | 69.52 |
| B) REPO | | | | 315.55 | 4.50 |
| PORTFOLIO TOTAL | | | | 6543.45 | 93.36 |
| CASH / NET CURRENT ASSET | | | | 465.25 | 6.64 |
| NET ASSETS | | | | 7008.70 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.4628 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.12.4628 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.0036 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.0036 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.9056 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.11.9056 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.3287 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.3288 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.12 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DUAL ADVANTAGE FUND-SERIES-2-SCHEME C (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 18865 | 66.03 | 1.54 |
| HDFC BANK LTD | BANKS | INE040A01026 | 2453 | 44.23 | 1.03 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 1155 | 35.90 | 0.84 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 8400 | 35.20 | 0.82 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 4360 | 34.10 | 0.80 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 5926 | 30.87 | 0.72 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 51000 | 30.42 | 0.71 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 6800 | 26.48 | 0.62 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 1800 | 26.10 | 0.61 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 4130 | 24.38 | 0.57 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 7050 | 23.71 | 0.55 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 14355 | 23.48 | 0.55 |
| DCB BANK LTD | BANKS | INE503A01015 | 12400 | 22.56 | 0.53 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 2700 | 21.97 | 0.51 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 8500 | 21.95 | 0.51 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 2813 | 21.90 | 0.51 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 535 | 20.61 | 0.48 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 13400 | 19.92 | 0.47 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 3600 | 18.94 | 0.44 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 2132 | 18.64 | 0.44 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 1000 | 18.35 | 0.43 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 3100 | 16.74 | 0.39 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 7900 | 16.64 | 0.39 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 1450 | 16.43 | 0.38 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 1250 | 16.02 | 0.37 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 12000 | 14.77 | 0.34 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 180 | 14.35 | 0.34 |
| ESCORTS LTD | AUTO | INE042A01014 | 2000 | 13.19 | 0.31 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 1850 | 12.78 | 0.30 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 887 | 11.94 | 0.28 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 2500 | 11.86 | 0.28 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 2850 | 11.40 | 0.27 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 405 | 9.87 | 0.23 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 350 | 3.99 | 0.09 |
| EQUITY & EQUITY RELATED TOTAL | | | | 755.72 | 17.64 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** SUNDARAM BNP PARIBAS HOME FIN LTD - 07/02/2018 - ZCB | CARE- AA+ | INE667F07EV2 | 50 | 630.71 | 14.73 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 55 | 553.41 | 12.92 |
| ** 09.15 % L&T FINANCE LTD - 07/02/2018 | ICRA- AA+ | INE759E07459 | 20 | 503.50 | 11.76 |
| ** 09.32 % TATA POWER COMPANY LTD - 17/11/2017 | CRISIL- AA- | INE245A08059 | 45 | 451.25 | 10.54 |
| ** 08.72 % NABHA POWER LTD - 23/01/2018 | ICRA- AAA(SO) | INE445L08193 | 28 | 281.45 | 6.57 |
| ** 09.36 % VEDANTA LTD - 30/10/2017 | CRISIL- AA | INE205A07014 | 20 | 200.35 | 4.68 |
| ** 09.58 % INDIABULLS HOUSING FINANCE LTD - 25/11/2017 | CRISIL- AA+ | INE148I07AN2 | 17 | 170.57 | 3.98 |
| ** 08.72 % POWER FINANCE CORPORATION - 08/02/2018 | CRISIL- AAA | INE134E08FD9 | 12 | 120.74 | 2.82 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2018 | CRISIL- AAA(SO) | INE310L07357 | 104 | 105.33 | 2.46 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2017 | CRISIL- AAA(SO) | INE310L07340 | 104 | 105.03 | 2.45 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 3122.34 | 72.90 |
| B) REPO | | | | 67.39 | 1.57 |
| PORTFOLIO TOTAL | | | | 3945.45 | 92.11 |
| CASH / NET CURRENT ASSET | | | | 337.64 | 7.89 |
| NET ASSETS | | | | 4283.09 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.2645 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.12.2645 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.6750 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.12.6750 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7463 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.11.7463 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.0489 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.0490 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.25 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DUAL ADVANTAGE FUND-SERIES-3-SCHEME A (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| YES BANK LTD | BANKS | INE528G01027 | 23340 | 81.69 | 1.50 |
| HDFC BANK LTD | BANKS | INE040A01026 | 3277 | 59.09 | 1.08 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 1590 | 49.42 | 0.91 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 78000 | 46.53 | 0.85 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 245 | 45.49 | 0.83 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 10400 | 43.59 | 0.80 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 4800 | 37.54 | 0.69 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 6100 | 36.00 | 0.66 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 2375 | 31.97 | 0.59 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 7750 | 31.00 | 0.57 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 18579 | 30.39 | 0.56 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 10900 | 28.15 | 0.52 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 7200 | 28.03 | 0.51 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 685 | 26.39 | 0.48 |
| ENGINEERS INDIA LTD | CONSTRUCTION PROJECT | INE510A01028 | 17200 | 25.57 | 0.47 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 2900 | 25.36 | 0.46 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 3850 | 24.68 | 0.45 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 1300 | 23.85 | 0.44 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 4500 | 23.68 | 0.43 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 4200 | 22.68 | 0.42 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 1700 | 21.78 | 0.40 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 9800 | 20.64 | 0.38 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 15700 | 19.32 | 0.35 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 240 | 19.14 | 0.35 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 3600 | 18.75 | 0.34 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 1600 | 18.13 | 0.33 |
| ESCORTS LTD | AUTO | INE042A01014 | 2500 | 16.49 | 0.30 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 2200 | 15.20 | 0.28 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 3200 | 15.18 | 0.28 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 520 | 12.67 | 0.23 |
| DCB BANK LTD | BANKS | INE503A01015 | 6800 | 12.37 | 0.23 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 3000 | 10.09 | 0.18 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 1190 | 9.68 | 0.18 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 360 | 4.11 | 0.08 |
| EQUITY & EQUITY RELATED TOTAL | | | | 934.65 | 17.12 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 115000 | 115.98 | 2.12 |
| GOVERNMENT SECURITIES TOTAL | | | | 115.98 | 2.12 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.72 % NABHA POWER LTD - 23/01/2018 | ICRA- AAA(SO) | INE445L08193 | 80 | 804.14 | 14.73 |
| ** ADITYA BIRLA FINANCE LTD - 28/03/2018 - ZCB | ICRA- AA+ | INE860H07987 | 60 | 751.28 | 13.76 |
| ** BAJAJ FINANCE LTD - 28/03/2018 - ZCB | CRISIL- AAA | INE296A07FR6 | 60 | 748.86 | 13.72 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 69 | 694.27 | 12.72 |
| ** 08.95 % L&T HOUSING FINANCE LTD - 28/03/2018 | ICRA- AA+ | INE476M07461 | 20 | 504.22 | 9.24 |
| ** 08.95 % POWER FINANCE CORPORATION - 11/03/2018 | CRISIL- AAA | INE134E08FK4 | 49 | 494.28 | 9.05 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2017 | CRISIL- AAA(SO) | INE310L07332 | 78 | 78.48 | 1.44 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2018 | CRISIL- AAA(SO) | INE310L07373 | 24 | 24.46 | 0.45 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2018 | CRISIL- AAA(SO) | INE310L07365 | 24 | 24.39 | 0.45 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 4124.38 | 75.55 |
| B) REPO | | | | 1.07 | 0.02 |
| PORTFOLIO TOTAL | | | | 5176.08 | 94.82 |
| CASH / NET CURRENT ASSET | | | | 282.88 | 5.18 |
| NET ASSETS | | | | 5458.96 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.11.9934 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.11.9934 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.4572 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.12.4572 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.5025 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.11.5025 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.11.8573 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.11.8573 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.39 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA REGULAR SAVINGS EQUITY FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| DEWAN HOUSING FINANCE CORPORATION LTD | FINANCE | INE202B01012 | 315000 | 1731.24 | 8.22 |
| CENTURY TEXTILES & INDUSTRIES LTD | CEMENT | INE055A01016 | 135850 | 1659.75 | 7.88 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 162000 | 1236.95 | 5.87 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 445500 | 916.17 | 4.35 |
| TV18 BROADCAST LTD | MEDIA & ENTERTAINMENT | INE886H01027 | 2142000 | 832.17 | 3.95 |
| JSW STEEL LTD | FERROUS METALS | INE019A01038 | 315000 | 782.30 | 3.71 |
| CESC LTD | POWER | INE486A01013 | 69300 | 683.82 | 3.24 |
| GRANULES INDIA LTD | PHARMACEUTICALS | INE101D01020 | 555000 | 628.54 | 2.98 |
| BEML LTD | INDUSTRIAL CAPITAL GOODS | INE258A01016 | 36000 | 571.41 | 2.71 |
| IDFC LTD | FINANCE | INE043D01016 | 963600 | 567.56 | 2.69 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 108000 | 512.41 | 2.43 |
| TATA COMMUNICATIONS LTD | TELECOM - SERVICES | INE151A01013 | 60200 | 411.98 | 1.95 |
| RELIANCE INFRASTRUCTURE LTD | POWER | INE036A01016 | 88400 | 410.88 | 1.95 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 247500 | 404.79 | 1.92 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 43500 | 390.96 | 1.86 |
| NBCC INDIA LTD | CONSTRUCTION | INE095N01023 | 180000 | 382.77 | 1.82 |
| TATA MOTORS - DVR | AUTO | IN9155A01020 | 170100 | 378.30 | 1.80 |
| ICICI BANK LTD | BANKS | INE090A01021 | 115500 | 319.88 | 1.52 |
| MAHINDRA & MAHINDRA LTD | AUTO | INE101A01026 | 24500 | 307.17 | 1.46 |
| CASTROL INDIA LTD | PETROLEUM PRODUCTS | INE172A01027 | 84000 | 302.32 | 1.43 |
| BHARAT FINANCIAL INCLUSION LTD | FINANCE | INE180K01011 | 28000 | 265.52 | 1.26 |
| TORRENT POWER LTD | POWER | INE813H01021 | 90000 | 189.36 | 0.90 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 22200 | 46.76 | 0.22 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 5125 | 26.97 | 0.13 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 330 | 26.31 | 0.12 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 4675 | 24.35 | 0.12 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 5750 | 24.10 | 0.11 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 3250 | 19.18 | 0.09 |
| YES BANK LTD | BANKS | INE528G01027 | 5250 | 18.38 | 0.09 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 3500 | 13.63 | 0.06 |
| VOLTAS LTD | CONSTRUCTION PROJECT | INE226A01021 | 2250 | 11.36 | 0.05 |
| MAHINDRA & MAHINDRA LTD^ | AUTO | INE101A01026 | -24500 | -308.01 | -1.46 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| BHARAT FINANCIAL INCLUSION LTD^ | FINANCE | INE180K01011 | -28000 | -266.71 | -1.27 |
| BEML LTD^ | INDUSTRIAL CAPITAL GOODS | INE258A01016 | -36000 | -573.37 | -2.72 |
| INFOSYS LTD^ | SOFTWARE | INE009A01021 | -43500 | -392.07 | -1.86 |
| TATA COMMUNICATIONS LTD^ | TELECOM - SERVICES | INE151A01013 | -60200 | -414.69 | -1.97 |
| CESC LTD^ | POWER | INE486A01013 | -69300 | -687.28 | -3.26 |
| CASTROL INDIA LTD^ | PETROLEUM PRODUCTS | INE172A01027 | -84000 | -301.48 | -1.43 |
| RELIANCE INFRASTRUCTURE LTD^ | POWER | INE036A01016 | -88400 | -412.87 | -1.96 |
| TORRENT POWER LTD^ | POWER | INE813H01021 | -90000 | -190.80 | -0.91 |
| MUTHOOT FINANCE LTD^ | FINANCE | INE414G01012 | -108000 | -513.05 | -2.43 |
| ICICI BANK LTD^ | BANKS | INE090A01021 | -115500 | -320.97 | -1.52 |
| CENTURY TEXTILES & INDUSTRIES LTD^ | CEMENT | INE055A01016 | -135850 | -1667.69 | -7.91 |
| SUN TV NETWORK LTD^ | MEDIA & ENTERTAINMENT | INE424H01027 | -162000 | -1239.22 | -5.88 |
| TATA MOTORS - DVR^ | AUTO | IN9155A01020 | -170100 | -380.77 | -1.81 |
| NBCC INDIA LTD^ | CONSTRUCTION | INE095N01023 | -180000 | -383.94 | -1.82 |
| BHARAT ELECTRONICS LTD^ | INDUSTRIAL CAPITAL GOODS | INE263A01024 | -247500 | -404.66 | -1.92 |
| JSW STEEL LTD^ | FERROUS METALS | INE019A01038 | -315000 | -785.93 | -3.73 |
| DEWAN HOUSING FINANCE CORPORATION LTD^ | FINANCE | INE202B01012 | -315000 | -1740.85 | -8.26 |
| TATA GLOBAL BEVERAGES LTD^ | CONSUMER NON DURABLES | INE192A01025 | -445500 | -921.29 | -4.37 |
| GRANULES INDIA LTD^ | PHARMACEUTICALS | INE101D01020 | -555000 | -632.15 | -3.00 |
| IDFC LTD^ | FINANCE | INE043D01016 | -963600 | -571.41 | -2.71 |
| TV18 BROADCAST LTD^ | MEDIA & ENTERTAINMENT | INE886H01027 | -2142000 | -834.31 | -3.96 |
| EQUITY & EQUITY RELATED TOTAL | | | | 14097.29 | 66.89 |

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 09.75 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 02/08/2024 | CRISIL- AAA | INE936D07075 | 50 | 549.08 | 2.61 |
| ** 08.45 % RELIANCE PORTS & TERMINALS LTD - 12/06/2023 | CRISIL- AAA | INE941D07133 | 50 | 518.98 | 2.46 |
| ** 09.02 % RURAL ELECTRIFICATION CORP. LTD - 18/06/2019 | CRISIL- AAA | INE020B07IV4 | 50 | 516.04 | 2.45 |
| ** 07.49 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 28/05/2027 | CRISIL- AAA | INE053F07AA7 | 50 | 502.02 | 2.38 |
| ** 07.17 % NATIONAL HIGHWAYS AUTHORITY OF INDIA - 23/12/2021 | CRISIL- AAA | INE906B07FE6 | 50 | 500.93 | 2.38 |
| ** 07.42 % LIC HOUSING FINANCE LTD - 15/07/2022 | CRISIL- AAA | INE115A07MG7 | 50 | 498.15 | 2.36 |
| ** 08.49 % NHPC LTD - 26/11/2018 | CRISIL- AAA | INE848E07609 | 400 | 407.00 | 1.93 |
| ** 08.32 % RELIANCE JIO INFOCOMM LTD - 08/07/2021 | CRISIL- AAA | INE110L07070 | 15 | 154.80 | 0.73 |
| ** 09.04 % RURAL ELECTRIFICATION CORP. LTD - 12/10/2019 | CRISIL- AAA | INE020B08856 | 5 | 51.92 | 0.25 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 3698.92 | 17.55 |
| B) Margin Deposits | | | | | |
| HDFC BANK LTD | | | | 450.00 | 2.14 |
| Cash (Placed as Margin Money ) | | | | 2649.63 | 12.57 |
| Margin Money Deposits | | | | 3099.63 | 14.71 |
| C) REPO | | | | 1037.56 | 4.92 |
| PORTFOLIO TOTAL | | | | 21933.40 | 104.08 |
| CASH / NET CURRENT ASSET | | | | -859.61 | -4.08 |
| NET ASSETS | | | | 21073.79 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

^ Arbitrage Positions

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.14.4929 (REGULAR PLAN - MONTHLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.30.8377 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.14.7892 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 30-SEP-17: RS.15.1328 (DIRECT - MONTHLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.32.2721 (DIRECT - GROWTH)

NAV AS ON 30-SEP-17: RS.15.7026 (DIRECT - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.4283 (REGULAR PLAN - MONTHLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.29.7045 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.14.4602 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.8225 (DIRECT - MONTHLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.30.9293 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.15.2636 (DIRECT - PERIODIC DIVIDEND)

PORTFOLIO TURNOVER RATIO: 4.72 TIMES

DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

Disclosure of Funds parked in short term deposits as Margin Deposits, as of September 30, 2017 is as given below :

| Name Of The Bank | Amount of Term Deposits ( Rs. in Lakhs ) | Duration Of Term Deposit (No of Days) |
|---|---|---|
| HDFC BANK LTD | 200.00 | 91 |
| HDFC BANK LTD | 50.00 | 365 |
| HDFC BANK LTD | 200.00 | 91 |

Hedging positions through futures as on 30-Sep-2017

| Underlying | Long /Short | Future price when purchased | Current Price of the contract | Margin maintained in Rs.lakhs |
|---|---|---|---|---|
| Underlying | (36,000.00) | 1,685.41 | 1,592.70 | |
| BEML LTD. OCTOBER 2017 FUTURE | (247,500.00) | 164.94 | 163.50 | |
| BHARAT ELECTRONICS LTD. OCTOBER 2017 FUTURE | (84,000.00) | 367.07 | 358.90 | |
| CASTROL INDIA LTD. OCTOBER 2017 FUTURE | (135,850.00) | 1,232.86 | 1,227.60 | |
| CENTURY TEXTILES & INDUSTRIES LTD. OCTOBER 2017 FUTURE | (69,300.00) | 1,006.97 | 991.75 | |
| CESC LTD. OCTOBER 2017 FUTURE | (315,000.00) | 548.56 | 552.65 | |
| DEWAN HOUSING FINANCE CORPORATION LTD. OCTOBER 2017 FUTURE | (2,142,000.00) | 38.96 | 38.95 | |
| TV18 BROADCAST LTD. OCTOBER 2017 FUTURE | (555,000.00) | 117.86 | 113.90 | |
| GRANULES INDIA LTD. OCTOBER 2017 FUTURE | (115,500.00) | 278.27 | 277.90 | |
| ICICI BANK LTD. OCTOBER 2017 FUTURE | (43,500.00) | 899.06 | 901.30 | |
| INFOSYS LTD. OCTOBER 2017 FUTURE | (963,600.00) | 58.96 | 59.30 | |
| IDFC LTD. OCTOBER 2017 FUTURE | (315,000.00) | 239.88 | 249.50 | |
| JSW STEEL LTD. OCTOBER 2017 FUTURE | (24,500.00) | 1,266.11 | 1,257.20 | 3099.63 |
| MAHINDRA & MAHINDRA LTD. OCTOBER 2017 FUTURE | (108,000.00) | 473.68 | 475.05 | |
| MUTHOOT FINANCE LTD. OCTOBER 2017 FUTURE | (180,000.00) | 213.31 | 213.30 | |
| NBCC INDIA LTD. OCTOBER 2017 FUTURE | (88,400.00) | 464.99 | 467.05 | |
| RELIANCE INFRASTRUCTURE LTD. OCTOBER 2017 FUTURE | (162,000.00) | 763.66 | 764.95 | |
| SUN TV NETWORK LTD. OCTOBER 2017 FUTURE | (28,000.00) | 938.17 | 952.55 | |
| BHARAT FINANCIAL INCLUSION LTD. OCTOBER 2017 FUTURE | (445,500.00) | 198.87 | 206.80 | |
| TATA GLOBAL BEVERAGES LTD. OCTOBER 2017 FUTURE | (170,100.00) | 229.33 | 223.85 | |
| TATA MOTORS - DVR OCTOBER 2017 FUTURE | (90,000.00) | 221.36 | 212.00 | |
| TORRENT POWER LTD. OCTOBER 2017 FUTURE | (60,200.00) | 693.70 | 688.85 | |
| TATA COMMUNICATIONS LTD. OCTOBER 2017 FUTURE | | | | |

Total % age of existing assets hedged through futures 66.17

For the period ended 30.9.2017 the following hedging transactions through futures which have been squared off/ expired:

| Total Number of Contracts where stock futures were brought : | Nos. |
|---|---|
| ADITYA BIRLA NUVO LTD. APR 17 | 58 |
| CAIRN INDIA LTD. APR 17 | 26 |
| CASTROL INDIA LTD. APR 17 | 80 |
| CENTURY TEXTILES & INDUSTRIES LTD. APR 17 | 108 |
| DEWAN HOUSING FINANCE CORPORATION LTD. APR 17 | 27 |
| DIVI LABORATORIES LTD. APR 17 | 25 |
| INFOSYS LTD. APR 17 | 42 |
| ITC LTD. APR 17 | 49 |
| KOTAK MAHINDRA BANK LTD. APR 17 | 87 |
| POWER GRID CORPORATION OF INDIA LTD. APR 17 | 51 |
| RELIANCE INDUSTRIES LTD. APR 17 | 112 |
| RELIANCE INFRASTRUCTURE LTD. APR 17 | 35 |
| SUN TV NETWORK LTD. APR 17 | 33 |
| TATA COMMUNICATIONS LTD. APR 17 | 47 |
| TATA GLOBAL BEVERAGES LTD. APR 17 | 41 |
| TATA MOTORS - DVR APR 17 | 47 |
| TV18 BROADCAST LTD. APR 17 | 72 |
| UPL LTD. APR 17 | 28 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. APR 17 | 47 |
| ADITYA BIRLA NUVO LTD. MAY 17 | 81 |
| BEML LTD. MAY 17 | 42 |
| CASTROL INDIA LTD. MAY 17 | 107 |
| CENTURY TEXTILES & INDUSTRIES LTD. MAY 17 | 230 |
| DEWAN HOUSING FINANCE CORPORATION LTD. MAY 17 | 116 |
| INFOSYS LTD. MAY 17 | 42 |
| ITC LTD. MAY 17 | 49 |
| PETRONET LNG LTD. MAY 17 | 46 |
| POWER GRID CORPORATION OF INDIA LTD. MAY 17 | 51 |
| RELIANCE INFRASTRUCTURE LTD. MAY 17 | 35 |
| SUN TV NETWORK LTD. MAY 17 | 124 |
| TATA COMMUNICATIONS LTD. MAY 17 | 94 |
| TATA GLOBAL BEVERAGES LTD. MAY 17 | 41 |
| TATA MOTORS - DVR MAY 17 | 55 |
| TV18 BROADCAST LTD. MAY 17 | 72 |
| UPL LTD. MAY 17 | 3 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. MAY 17 | 47 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 81 |
| BEML LTD. JUN 17 | 42 |
| CASTROL INDIA LTD. JUN 17 | 160 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUN 17 | 245 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUN 17 | 148 |
| GRANULES INDIA LTD. JUN 17 | 40 |
| IDFC LTD. JUN 17 | 48 |
| INFOSYS LTD. JUN 17 | 42 |
| ITC LTD. JUN 17 | 48 |
| JSW STEEL LTD. JUN 17 | 85 |
| MAHINDRA & MAHINDRA LTD. JUN 17 | 49 |
| POWER GRID CORPORATION OF INDIA LTD. JUN 17 | 54 |
| RELIANCE CAPITAL LTD. JUN 17 | 44 |
| RELIANCE INFRASTRUCTURE LTD. JUN 17 | 68 |
| SUN TV NETWORK LTD. JUN 17 | 162 |
| TATA GLOBAL BEVERAGES LTD. JUN 17 | 64 |
| TATA MOTORS - DVR JUN 17 | 99 |
| TV18 BROADCAST LTD. JUN 17 | 168 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUN 17 | 25 |
| BEML LTD. JUL 17 | 60 |
| CASTROL INDIA LTD. JUL 17 | 160 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUL 17 | 245 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUL 17 | 148 |
| GRANULES INDIA LTD. JUL 17 | 50 |
| IDFC LTD. JUL 17 | 48 |
| INFOSYS LTD. JUL 17 | 42 |
| ITC LTD. JUL 17 | 48 |
| JSW STEEL LTD. JUL 17 | 85 |
| MAHINDRA & MAHINDRA LTD. JUL 17 | 49 |
| MUTHOOT FINANCE LTD. JUL 17 | 58 |
| NBCC INDIA LTD. JUL 17 | 60 |
| POWER GRID CORPORATION OF INDIA LTD. JUL 17 | 54 |
| RELIANCE CAPITAL LTD. JUL 17 | 44 |
| RELIANCE INFRASTRUCTURE LTD. JUL 17 | 68 |
| SUN TV NETWORK LTD. JUL 17 | 162 |
| TATA GLOBAL BEVERAGES LTD. JUL 17 | 64 |
| TATA MOTORS - DVR JUL 17 | 81 |
| TV18 BROADCAST LTD. JUL 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUL 17 | 25 |
| AXIS BANK LTD. AUG 17 | 49 |
| BEML LTD. AUG 17 | 60 |
| CASTROL INDIA LTD. AUG 17 | 42 |
| CENTURY TEXTILES & INDUSTRIES LTD. AUG 17 | 245 |
| CESC LTD. AUG 17 | 41 |
| DEWAN HOUSING FINANCE CORPORATION LTD. AUG 17 | 155 |
| GRANULES INDIA LTD. AUG 17 | 64 |
| ICICI BANK LTD. AUG 17 | 42 |
| IDFC LTD. AUG 17 | 68 |
| INFOSYS LTD. AUG 17 | 44 |
| ITC LTD. AUG 17 | 56 |
| JSW STEEL LTD. AUG 17 | 100 |
| MAHINDRA & MAHINDRA LTD. AUG 17 | 49 |
| MUTHOOT FINANCE LTD. AUG 17 | 72 |
| NBCC INDIA LTD. AUG 17 | 60 |
| RELIANCE CAPITAL LTD. AUG 17 | 54 |
| RELIANCE INFRASTRUCTURE LTD. AUG 17 | 68 |
| SUN TV NETWORK LTD. AUG 17 | 162 |
| TATA COMMUNICATIONS LTD. AUG 17 | 63 |
| TATA GLOBAL BEVERAGES LTD. AUG 17 | 78 |
| TATA MOTORS - DVR AUG 17 | 81 |
| TV18 BROADCAST LTD. AUG 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. AUG 17 | 24 |
| AXIS BANK LTD. SEP 17 | 49 |
| BEML LTD. SEP 17 | 60 |
| BHARAT FINANCIAL INCLUSION LTD. SEP 17 | 4 |
| CASTROL INDIA LTD. SEP 17 | 48 |
| CENTURY TEXTILES & INDUSTRIES LTD. SEP 17 | 250 |
| CESC LTD. SEP 17 | 63 |
| DEWAN HOUSING FINANCE CORPORATION LTD. SEP 17 | 186 |
| GRANULES INDIA LTD. SEP 17 | 69 |
| ICICI BANK LTD. SEP 17 | 42 |
| IDFC LTD. SEP 17 | 73 |
| INFOSYS LTD. SEP 17 | 87 |
| ITC LTD. SEP 17 | 56 |
| JSW STEEL LTD. SEP 17 | 103 |
| MAHINDRA & MAHINDRA LTD. SEP 17 | 49 |
| MUTHOOT FINANCE LTD. SEP 17 | 72 |
| NBCC INDIA LTD. SEP 17 | 60 |
| RELIANCE INFRASTRUCTURE LTD. SEP 17 | 68 |
| SUN TV NETWORK LTD. SEP 17 | 162 |
| TATA COMMUNICATIONS LTD. SEP 17 | 86 |
| TATA GLOBAL BEVERAGES LTD. SEP 17 | 78 |
| TATA MOTORS - DVR SEP 17 | 81 |
| TORRENT POWER LTD. SEP 17 | 27 |
| TV18 BROADCAST LTD. SEP 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. SEP 17 | 24 |

| Total Number of Contracts where stock futures were sold : | Nos. |
|---|---|
| ADITYA BIRLA NUVO LTD. APR 17 | 58 |
| CAIRN INDIA LTD. APR 17 | 26 |
| CASTROL INDIA LTD. APR 17 | 80 |
| CENTURY TEXTILES & INDUSTRIES LTD. APR 17 | 108 |
| DEWAN HOUSING FINANCE CORPORATION LTD. APR 17 | 27 |
| DIVI LABORATORIES LTD. APR 17 | 25 |
| INFOSYS LTD. APR 17 | 42 |
| ITC LTD. APR 17 | 49 |
| KOTAK MAHINDRA BANK LTD. APR 17 | 87 |
| POWER GRID CORPORATION OF INDIA LTD. APR 17 | 51 |
| RELIANCE INDUSTRIES LTD. APR 17 | 112 |
| RELIANCE INFRASTRUCTURE LTD. APR 17 | 35 |
| SUN TV NETWORK LTD. APR 17 | 33 |
| TATA COMMUNICATIONS LTD. APR 17 | 47 |
| TATA GLOBAL BEVERAGES LTD. APR 17 | 41 |
| TATA MOTORS - DVR APR 17 | 47 |
| TV18 BROADCAST LTD. APR 17 | 72 |
| UPL LTD. APR 17 | 28 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. APR 17 | 47 |
| ADITYA BIRLA NUVO LTD. MAY 17 | 81 |
| BEML LTD. MAY 17 | 42 |
| CASTROL INDIA LTD. MAY 17 | 107 |
| CENTURY TEXTILES & INDUSTRIES LTD. MAY 17 | 230 |
| DEWAN HOUSING FINANCE CORPORATION LTD. MAY 17 | 116 |
| INFOSYS LTD. MAY 17 | 42 |
| ITC LTD. MAY 17 | 49 |
| PETRONET LNG LTD. MAY 17 | 46 |
| POWER GRID CORPORATION OF INDIA LTD. MAY 17 | 51 |
| RELIANCE INFRASTRUCTURE LTD. MAY 17 | 35 |
| SUN TV NETWORK LTD. MAY 17 | 124 |
| TATA COMMUNICATIONS LTD. MAY 17 | 94 |
| TATA GLOBAL BEVERAGES LTD. MAY 17 | 41 |
| TATA MOTORS - DVR MAY 17 | 55 |
| TV18 BROADCAST LTD. MAY 17 | 72 |
| UPL LTD. MAY 17 | 3 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. MAY 17 | 47 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 81 |
| BEML LTD. JUN 17 | 42 |
| CASTROL INDIA LTD. JUN 17 | 160 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUN 17 | 245 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUN 17 | 148 |
| GRANULES INDIA LTD. JUN 17 | 40 |
| IDFC LTD. JUN 17 | 48 |
| INFOSYS LTD. JUN 17 | 42 |
| ITC LTD. JUN 17 | 48 |
| JSW STEEL LTD. JUN 17 | 85 |
| MAHINDRA & MAHINDRA LTD. JUN 17 | 49 |
| POWER GRID CORPORATION OF INDIA LTD. JUN 17 | 54 |
| RELIANCE CAPITAL LTD. JUN 17 | 44 |
| RELIANCE INFRASTRUCTURE LTD. JUN 17 | 68 |
| SUN TV NETWORK LTD. JUN 17 | 162 |
| TATA GLOBAL BEVERAGES LTD. JUN 17 | 64 |
| TATA MOTORS - DVR JUN 17 | 99 |
| TV18 BROADCAST LTD. JUN 17 | 168 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUN 17 | 25 |
| BEML LTD. JUL 17 | 60 |
| CASTROL INDIA LTD. JUL 17 | 160 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUL 17 | 245 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUL 17 | 148 |
| GRANULES INDIA LTD. JUL 17 | 50 |
| IDFC LTD. JUL 17 | 48 |
| INFOSYS LTD. JUL 17 | 42 |
| ITC LTD. JUL 17 | 48 |
| JSW STEEL LTD. JUL 17 | 85 |
| MAHINDRA & MAHINDRA LTD. JUL 17 | 49 |
| MUTHOOT FINANCE LTD. JUL 17 | 58 |
| NBCC INDIA LTD. JUL 17 | 60 |
| POWER GRID CORPORATION OF INDIA LTD. JUL 17 | 54 |
| RELIANCE CAPITAL LTD. JUL 17 | 44 |
| RELIANCE INFRASTRUCTURE LTD. JUL 17 | 68 |
| SUN TV NETWORK LTD. JUL 17 | 162 |
| TATA GLOBAL BEVERAGES LTD. JUL 17 | 64 |
| TATA MOTORS - DVR JUL 17 | 81 |
| TV18 BROADCAST LTD. JUL 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUL 17 | 25 |
| AXIS BANK LTD. AUG 17 | 49 |
| BEML LTD. AUG 17 | 60 |
| CASTROL INDIA LTD. AUG 17 | 42 |
| CENTURY TEXTILES & INDUSTRIES LTD. AUG 17 | 245 |
| CESC LTD. AUG 17 | 41 |
| DEWAN HOUSING FINANCE CORPORATION LTD. AUG 17 | 155 |
| GRANULES INDIA LTD. AUG 17 | 64 |
| ICICI BANK LTD. AUG 17 | 42 |
| IDFC LTD. AUG 17 | 68 |
| INFOSYS LTD. AUG 17 | 44 |
| ITC LTD. AUG 17 | 56 |
| JSW STEEL LTD. AUG 17 | 100 |
| MAHINDRA & MAHINDRA LTD. AUG 17 | 49 |
| MUTHOOT FINANCE LTD. AUG 17 | 72 |
| NBCC INDIA LTD. AUG 17 | 60 |
| RELIANCE CAPITAL LTD. AUG 17 | 54 |
| RELIANCE INFRASTRUCTURE LTD. AUG 17 | 68 |
| SUN TV NETWORK LTD. AUG 17 | 162 |
| TATA COMMUNICATIONS LTD. AUG 17 | 63 |
| TATA GLOBAL BEVERAGES LTD. AUG 17 | 78 |
| TATA MOTORS - DVR AUG 17 | 81 |
| TV18 BROADCAST LTD. AUG 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. AUG 17 | 24 |
| AXIS BANK LTD. SEP 17 | 49 |
| BEML LTD. SEP 17 | 60 |
| BHARAT FINANCIAL INCLUSION LTD. SEP 17 | 4 |
| CASTROL INDIA LTD. SEP 17 | 48 |
| CENTURY TEXTILES & INDUSTRIES LTD. SEP 17 | 250 |
| CESC LTD. SEP 17 | 63 |
| DEWAN HOUSING FINANCE CORPORATION LTD. SEP 17 | 186 |
| GRANULES INDIA LTD. SEP 17 | 69 |
| ICICI BANK LTD. SEP 17 | 42 |
| IDFC LTD. SEP 17 | 73 |
| INFOSYS LTD. SEP 17 | 87 |
| ITC LTD. SEP 17 | 56 |
| JSW STEEL LTD. SEP 17 | 103 |
| MAHINDRA & MAHINDRA LTD. SEP 17 | 49 |
| MUTHOOT FINANCE LTD. SEP 17 | 72 |
| NBCC INDIA LTD. SEP 17 | 60 |
| RELIANCE INFRASTRUCTURE LTD. SEP 17 | 68 |
| SUN TV NETWORK LTD. SEP 17 | 162 |
| TATA COMMUNICATIONS LTD. SEP 17 | 86 |
| TATA GLOBAL BEVERAGES LTD. SEP 17 | 78 |
| TATA MOTORS - DVR SEP 17 | 81 |
| TORRENT POWER LTD. SEP 17 | 27 |
| TV18 BROADCAST LTD. SEP 17 | 126 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. SEP 17 | 24 |

| Gross Notional Value of Contracts where stock futures were bought: | Rs. |
|---|---|
| ADITYA BIRLA NUVO LTD. APR 17 | 39,422,402.80 |
| CAIRN INDIA LTD. APR 17 | 26,118,541.75 |
| CASTROL INDIA LTD. APR 17 | 49,313,790.40 |
| CENTURY TEXTILES & INDUSTRIES LTD. APR 17 | 135,891,354.17 |
| DEWAN HOUSING FINANCE CORPORATION LTD. APR 17 | 33,768,608.40 |
| DIVI LABORATORIES LTD. APR 17 | 9,503,198.58 |
| INFOSYS LTD. APR 17 | 19,217,792.79 |
| ITC LTD. APR 17 | 32,342,728.32 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| | |
|---|---|
| KOTAK MAHINDRA BANK LTD. APR 17 | 61,910,334.48 |
| POWER GRID CORPORATION OF INDIA LTD. APR 17 | 41,862,554.40 |
| RELIANCE INDUSTRIES LTD. APR 17 | 80,452,909.95 |
| RELIANCE INFRASTRUCTURE LTD. APR 17 | 26,591,100.90 |
| SUN TV NETWORK LTD. APR 17 | 61,445,785.80 |
| TATA COMMUNICATIONS LTD. APR 17 | 45,815,895.16 |
| TATA GLOBAL BEVERAGES LTD. APR 17 | 28,071,656.55 |
| TATA MOTORS - DVR APR 17 | 26,867,097.39 |
| TV18 BROADCAST LTD. APR 17 | 51,064,545.60 |
| UPL LTD. APR 17 | 27,604,809.84 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. APR 17 | 32,323,502.25 |
| ADITYA BIRLA NUVO LTD. MAY 17 | 52,182,757.80 |
| BEML LTD. MAY 17 | 31,635,833.04 |
| CASTROL INDIA LTD. MAY 17 | 63,130,403.14 |
| CENTURY TEXTILES & INDUSTRIES LTD. MAY 17 | 140,141,948.00 |
| DEWAN HOUSING FINANCE CORPORATION LTD. MAY 17 | 69,043,056.60 |
| INFOSYS LTD. MAY 17 | 20,261,700.90 |
| ITC LTD. MAY 17 | 35,602,588.56 |
| PETRONET LNG LTD. MAY 17 | 29,649,451.80 |
| POWER GRID CORPORATION OF INDIA LTD. MAY 17 | 40,838,751.60 |
| RELIANCE INFRASTRUCTURE LTD. MAY 17 | 24,182,881.45 |
| SUN TV NETWORK LTD. MAY 17 | 100,109,353.20 |
| TATA COMMUNICATIONS LTD. MAY 17 | 43,448,440.56 |
| TATA GLOBAL BEVERAGES LTD. MAY 17 | 27,272,749.05 |
| TATA MOTORS - DVR MAY 17 | 31,450,722.24 |
| TV18 BROADCAST LTD. MAY 17 | 41,082,676.00 |
| UPL LTD. MAY 17 | 2,747,288.88 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. MAY 17 | 31,706,868.44 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 55,266,549.04 |
| BEML LTD. JUN 17 | 37,852,958.88 |
| CASTROL INDIA LTD. JUN 17 | 90,831,873.44 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUN 17 | 153,624,617.91 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUN 17 | 98,160,958.80 |
| GRANULES INDIA LTD. JUN 17 | 26,132,460.50 |
| IDFC LTD. JUN 17 | 35,323,580.16 |
| INFOSYS LTD. JUN 17 | 19,880,280.00 |
| ITC LTD. JUN 17 | 35,932,176.00 |
| JSW STEEL LTD. JUN 17 | 51,457,121.40 |
| MAHINDRA & MAHINDRA LTD. JUN 17 | 33,878,048.75 |
| POWER GRID CORPORATION OF INDIA LTD. JUN 17 | 44,740,124.80 |
| RELIANCE CAPITAL LTD. JUN 17 | 43,175,928.30 |
| RELIANCE INFRASTRUCTURE LTD. JUN 17 | 44,670,161.51 |
| SUN TV NETWORK LTD. JUN 17 | 132,864,437.40 |
| TATA GLOBAL BEVERAGES LTD. JUN 17 | 44,348,809.95 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 46,910,976.84 |
| TV18 BROADCAST LTD. JUN 17 | 74,187,185.70 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUN 17 | 16,011,268.65 |
| BEML LTD. JUL 17 | 59,422,140.00 |
| CASTROL INDIA LTD. JUL 17 | 90,864,944.24 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUL 17 | 156,869,029.26 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUL 17 | 102,252,794.70 |
| GRANULES INDIA LTD. JUL 17 | 35,416,822.00 |
| IDFC LTD. JUL 17 | 36,977,909.76 |
| INFOSYS LTD. JUL 17 | 20,850,267.90 |
| ITC LTD. JUL 17 | 33,915,658.56 |
| JSW STEEL LTD. JUL 17 | 54,690,425.40 |
| MAHINDRA & MAHINDRA LTD. JUL 17 | 34,666,804.20 |
| MUTHOOT FINANCE LTD. JUL 17 | 40,635,168.90 |
| NBCC INDIA LTD. JUL 17 | 37,826,145.00 |
| POWER GRID CORPORATION OF INDIA LTD. JUL 17 | 46,820,362.80 |
| RELIANCE CAPITAL LTD. JUL 17 | 43,210,734.60 |
| RELIANCE INFRASTRUCTURE LTD. JUL 17 | 45,514,615.64 |
| SUN TV NETWORK LTD. JUL 17 | 131,147,670.20 |
| TATA GLOBAL BEVERAGES LTD. JUL 17 | 49,430,432.25 |
| TATA MOTORS - DVR JUL 17 | 46,567,241.28 |
| TV18 BROADCAST LTD. JUL 17 | 87,518,050.20 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUL 17 | 17,243,980.52 |
| AXIS BANK LTD. AUG 17 | 29,483,231.40 |
| BEML LTD. AUG 17 | 63,382,842.00 |
| CASTROL INDIA LTD. AUG 17 | 22,539,604.08 |
| CENTURY TEXTILES & INDUSTRIES LTD. AUG 17 | 164,932,566.82 |
| CESC LTD. AUG 17 | 45,192,412.87 |
| DEWAN HOUSING FINANCE CORPORATION LTD. AUG 17 | 116,400,665.25 |
| GRANULES INDIA LTD. AUG 17 | 41,427,754.00 |
| ICICI BANK LTD. AUG 17 | 34,379,799.30 |
| IDFC LTD. AUG 17 | 49,143,420.48 |
| INFOSYS LTD. AUG 17 | 20,772,153.60 |
| ITC LTD. AUG 17 | 37,768,416.00 |
| JSW STEEL LTD. AUG 17 | 73,552,207.50 |
| MAHINDRA & MAHINDRA LTD. AUG 17 | 32,990,068.30 |
| MUTHOOT FINANCE LTD. AUG 17 | 49,551,061.20 |
| NBCC INDIA LTD. AUG 17 | 37,105,406.10 |
| RELIANCE CAPITAL LTD. AUG 17 | 64,081,707.75 |
| RELIANCE INFRASTRUCTURE LTD. AUG 17 | 43,144,300.68 |
| SUN TV NETWORK LTD. AUG 17 | 114,715,735.80 |
| TATA COMMUNICATIONS LTD. AUG 17 | 28,984,574.57 |
| TATA GLOBAL BEVERAGES LTD. AUG 17 | 69,035,949.90 |
| TATA MOTORS - DVR AUG 17 | 38,377,400.67 |
| TV18 BROADCAST LTD. AUG 17 | 79,902,240.60 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. AUG 17 | 16,211,641.68 |
| AXIS BANK LTD. SEP 17 | 29,244,862.32 |
| BEML LTD. SEP 17 | 60,390,763.20 |
| BHARAT FINANCIAL INCLUSION LTD. SEP 17 | 3,662,932.40 |
| CASTROL INDIA LTD. SEP 17 | 24,582,398.40 |
| CENTURY TEXTILES & INDUSTRIES LTD. SEP 17 | 168,853,780.31 |
| CESC LTD. SEP 17 | 69,484,109.31 |
| DEWAN HOUSING FINANCE CORPORATION LTD. SEP 17 | 151,976,682.90 |
| GRANULES INDIA LTD. SEP 17 | 40,931,143.00 |
| ICICI BANK LTD. SEP 17 | 32,011,451.01 |
| IDFC LTD. SEP 17 | 56,526,992.28 |
| INFOSYS LTD. SEP 17 | 38,954,635.67 |
| ITC LTD. SEP 17 | 38,100,639.36 |
| JSW STEEL LTD. SEP 17 | 73,753,492.20 |
| MAHINDRA & MAHINDRA LTD. SEP 17 | 30,887,199.00 |
| MUTHOOT FINANCE LTD. SEP 17 | 50,911,994.70 |
| NBCC INDIA LTD. SEP 17 | 38,212,926.60 |
| RELIANCE INFRASTRUCTURE LTD. SEP 17 | 40,918,326.80 |
| SUN TV NETWORK LTD. SEP 17 | 123,165,767.40 |
| TATA COMMUNICATIONS LTD. SEP 17 | 41,564,927.46 |
| TATA GLOBAL BEVERAGES LTD. SEP 17 | 68,568,025.50 |
| TATA MOTORS - DVR SEP 17 | 38,833,387.74 |
| TORRENT POWER LTD. SEP 17 | 17,937,885.96 |
| TV18 BROADCAST LTD. SEP 17 | 83,072,543.40 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. SEP 17 | 16,980,806.70 |
| | 6,483,769,630.40 |
| Gross Notional Value of Contracts where stock futures were sold: | Rs. |
| ADITYA BIRLA NUVO LTD. APR 17 | 35,625,467.12 |
| CAIRN INDIA LTD. APR 17 | 27,360,216.80 |
| CASTROL INDIA LTD. APR 17 | 47,021,408.00 |
| CENTURY TEXTILES & INDUSTRIES LTD. APR 17 | 123,315,730.08 |
| DEWAN HOUSING FINANCE CORPORATION LTD. APR 17 | 29,249,750.60 |
| DIVI LABORATORIES LTD. APR 17 | 9,725,301.50 |
| INFOSYS LTD. APR 17 | 20,395,579.20 |
| ITC LTD. APR 17 | 33,308,003.20 |
| KOTAK MAHINDRA BANK LTD. APR 17 | 59,838,356.00 |
| POWER GRID CORPORATION OF INDIA LTD. APR 17 | 39,964,008.40 |
| RELIANCE INDUSTRIES LTD. APR 17 | 70,051,356.20 |
| RELIANCE INFRASTRUCTURE LTD. APR 17 | 26,059,130.52 |
| SUN TV NETWORK LTD. APR 17 | 52,198,338.60 |
| TATA COMMUNICATIONS LTD. APR 17 | 47,720,082.22 |
| TATA GLOBAL BEVERAGES LTD. APR 17 | 27,839,673.95 |
| TATA MOTORS - DVR APR 17 | 28,158,667.47 |
| TV18 BROADCAST LTD. APR 17 | 52,490,490.60 |
| UPL LTD. APR 17 | 24,421,072.76 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. APR 17 | 32,620,141.06 |
| ADITYA BIRLA NUVO LTD. MAY 17 | 55,288,387.68 |
| BEML LTD. MAY 17 | 35,659,719.60 |
| CASTROL INDIA LTD. MAY 17 | 65,791,524.66 |
| CENTURY TEXTILES & INDUSTRIES LTD. MAY 17 | 145,333,654.45 |
| DEWAN HOUSING FINANCE CORPORATION LTD. MAY 17 | 73,777,714.50 |
| INFOSYS LTD. MAY 17 | 19,286,912.46 |
| ITC LTD. MAY 17 | 32,470,898.32 |
| PETRONET LNG LTD. MAY 17 | 29,691,166.20 |
| POWER GRID CORPORATION OF INDIA LTD. MAY 17 | 42,025,181.60 |
| RELIANCE INFRASTRUCTURE LTD. MAY 17 | 26,695,678.95 |
| SUN TV NETWORK LTD. MAY 17 | 115,647,699.00 |
| TATA COMMUNICATIONS LTD. MAY 17 | 45,996,915.96 |
| TATA GLOBAL BEVERAGES LTD. MAY 17 | 28,187,636.10 |
| TATA MOTORS - DVR MAY 17 | 31,601,709.22 |
| TV18 BROADCAST LTD. MAY 17 | 51,329,792.20 |
| UPL LTD. MAY 17 | 3,060,522.00 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. MAY 17 | 32,446,002.61 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 52,432,186.44 |
| BEML LTD. JUN 17 | 31,793,368.56 |
| CASTROL INDIA LTD. JUN 17 | 94,831,096.48 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUN 17 | 150,231,146.06 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUN 17 | 89,474,333.00 |
| GRANULES INDIA LTD. JUN 17 | 28,113,068.00 |
| IDFC LTD. JUN 17 | 37,238,586.04 |
| INFOSYS LTD. JUN 17 | 20,043,736.10 |
| ITC LTD. JUN 17 | 35,488,049.36 |
| JSW STEEL LTD. JUN 17 | 49,874,437.20 |
| MAHINDRA & MAHINDRA LTD. JUN 17 | 33,290,931.80 |
| POWER GRID CORPORATION OF INDIA LTD. JUN 17 | 43,528,131.40 |
| RELIANCE CAPITAL LTD. JUN 17 | 39,214,884.65 |
| RELIANCE INFRASTRUCTURE LTD. JUN 17 | 47,293,129.61 |
| SUN TV NETWORK LTD. JUN 17 | 131,390,070.20 |
| TATA GLOBAL BEVERAGES LTD. JUN 17 | 42,550,764.40 |
| ADITYA BIRLA NUVO LTD. JUN 17 | 46,305,316.39 |
| TV18 BROADCAST LTD. JUN 17 | 71,372,713.30 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUN 17 | 16,905,589.00 |
| BEML LTD. JUL 17 | 54,385,559.20 |
| CASTROL INDIA LTD. JUL 17 | 91,243,174.84 |
| CENTURY TEXTILES & INDUSTRIES LTD. JUL 17 | 154,391,881.67 |
| DEWAN HOUSING FINANCE CORPORATION LTD. JUL 17 | 97,968,787.35 |
| GRANULES INDIA LTD. JUL 17 | 32,931,871.00 |
| IDFC LTD. JUL 17 | 35,362,747.80 |
| INFOSYS LTD. JUL 17 | 19,967,113.00 |
| ITC LTD. JUL 17 | 36,096,570.52 |
| JSW STEEL LTD. JUL 17 | 51,700,583.80 |
| MAHINDRA & MAHINDRA LTD. JUL 17 | 33,716,606.65 |
| MUTHOOT FINANCE LTD. JUL 17 | 40,064,828.40 |
| NBCC INDIA LTD. JUL 17 | 36,866,740.20 |
| POWER GRID CORPORATION OF INDIA LTD. JUL 17 | 44,947,523.20 |
| RELIANCE CAPITAL LTD. JUL 17 | 43,374,558.20 |
| RELIANCE INFRASTRUCTURE LTD. JUL 17 | 44,877,092.55 |
| SUN TV NETWORK LTD. JUL 17 | 133,515,655.80 |
| TATA GLOBAL BEVERAGES LTD. JUL 17 | 43,884,879.05 |
| TATA MOTORS - DVR JUL 17 | 47,114,284.17 |
| TV18 BROADCAST LTD. JUL 17 | 77,641,968.50 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. JUL 17 | 16,002,161.71 |
| AXIS BANK LTD. AUG 17 | 30,488,131.24 |
| BEML LTD. AUG 17 | 59,762,345.20 |
| CASTROL INDIA LTD. AUG 17 | 24,161,789.92 |
| CENTURY TEXTILES & INDUSTRIES LTD. AUG 17 | 157,060,643.35 |
| CESC LTD. AUG 17 | 41,401,473.79 |
| DEWAN HOUSING FINANCE CORPORATION LTD. AUG 17 | 107,590,935.80 |
| GRANULES INDIA LTD. AUG 17 | 45,532,599.25 |
| ICICI BANK LTD. AUG 17 | 34,564,824.10 |
| IDFC LTD. AUG 17 | 52,542,709.48 |
| INFOSYS LTD. AUG 17 | 21,955,786.20 |
| ITC LTD. AUG 17 | 39,304,647.52 |
| JSW STEEL LTD. AUG 17 | 64,953,660.30 |
| MAHINDRA & MAHINDRA LTD. AUG 17 | 34,836,845.35 |
| MUTHOOT FINANCE LTD. AUG 17 | 50,987,323.25 |
| NBCC INDIA LTD. AUG 17 | 38,048,230.00 |
| RELIANCE CAPITAL LTD. AUG 17 | 53,343,487.82 |
| RELIANCE INFRASTRUCTURE LTD. AUG 17 | 45,767,064.67 |
| SUN TV NETWORK LTD. AUG 17 | 131,893,943.80 |
| TATA COMMUNICATIONS LTD. AUG 17 | 30,227,881.93 |
| TATA GLOBAL BEVERAGES LTD. AUG 17 | 59,609,366.40 |
| TATA MOTORS - DVR AUG 17 | 46,824,555.17 |
| TV18 BROADCAST LTD. AUG 17 | 88,116,921.60 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. AUG 17 | 16,617,919.68 |
| AXIS BANK LTD. SEP 17 | 29,578,979.96 |
| BEML LTD. SEP 17 | 63,383,849.60 |
| BHARAT FINANCIAL INCLUSION LTD. SEP 17 | 3,524,642.20 |
| CASTROL INDIA LTD. SEP 17 | 25,668,862.00 |
| CENTURY TEXTILES & INDUSTRIES LTD. SEP 17 | 169,176,398.49 |
| CESC LTD. SEP 17 | 70,302,566.16 |
| DEWAN HOUSING FINANCE CORPORATION LTD. SEP 17 | 140,544,535.70 |
| GRANULES INDIA LTD. SEP 17 | 44,834,558.50 |
| ICICI BANK LTD. SEP 17 | 34,518,503.70 |
| IDFC LTD. SEP 17 | 53,003,161.64 |
| INFOSYS LTD. SEP 17 | 41,033,787.58 |
| ITC LTD. SEP 17 | 37,926,385.84 |
| JSW STEEL LTD. SEP 17 | 76,242,220.50 |
| MAHINDRA & MAHINDRA LTD. SEP 17 | 33,121,086.35 |
| MUTHOOT FINANCE LTD. SEP 17 | 49,792,434.20 |
| NBCC INDIA LTD. SEP 17 | 37,089,720.70 |
| RELIANCE INFRASTRUCTURE LTD. SEP 17 | 42,564,956.48 |
| SUN TV NETWORK LTD. SEP 17 | 115,281,811.60 |
| TATA COMMUNICATIONS LTD. SEP 17 | 39,760,657.16 |
| TATA GLOBAL BEVERAGES LTD. SEP 17 | 69,336,365.80 |
| TATA MOTORS - DVR SEP 17 | 38,557,132.60 |
| TORRENT POWER LTD. SEP 17 | 17,107,919.00 |
| TV18 BROADCAST LTD. SEP 17 | 80,296,654.70 |
| ZEE ENTERTAINMENT ENTERPRISES LTD. SEP 17 | 16,273,714.72 |
| | 6,423,594,006.39 |
| Net Profit/Loss on all contracts combined : | (60,175,624.01) |

## TATA BANKING & FINANCIAL SERVICES FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 226800 | 2272.31 | 9.36 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 116000 | 2019.21 | 8.32 |
| HDFC BANK LTD | BANKS | INE040A01026 | 111000 | 2001.39 | 8.24 |
| YES BANK LTD | BANKS | INE528G01027 | 560000 | 1960.00 | 8.07 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 363000 | 1722.25 | 7.09 |
| MAX FINANCIAL SERVICES LTD | FINANCE | INE180A01020 | 271000 | 1599.44 | 6.59 |
| BAJAJ FINSERV LTD | FINANCE | INE918I01018 | 24300 | 1250.61 | 5.15 |
| DCB BANK LTD | BANKS | INE503A01015 | 680000 | 1237.26 | 5.10 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 56000 | 1027.57 | 4.23 |
| CITY UNION BANK LTD | BANKS | INE491A01021 | 610900 | 989.96 | 4.08 |
| CENTRAL DEPOSITORY SERVICES (INDIA) LTD | FINANCE | INE736A01011 | 269300 | 920.33 | 3.79 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 232000 | 903.29 | 3.72 |
| JM FINANCIAL LTD | FINANCE | INE780C01023 | 643000 | 886.70 | 3.65 |
| ICICI BANK LTD | BANKS | INE090A01021 | 280500 | 776.84 | 3.20 |
| POWER FINANCE CORPORATION LTD | FINANCE | INE134E01011 | 425000 | 517.86 | 2.13 |
| SBI LIFE INSURANCE COMPANY LTD | FINANCE | INE123W01016 | 71421 | 499.95 | 2.06 |
| GEOJIT FINANCIAL SERVICES LTD | FINANCE | INE007B01023 | 400000 | 491.60 | 2.02 |
| GRUH FINANCE LTD | FINANCE | INE580B01029 | 100000 | 491.15 | 2.02 |
| FEDERAL BANK LTD | BANKS | INE171A01029 | 400000 | 450.40 | 1.85 |
| BSE LTD | FINANCE | INE118H01025 | 46000 | 449.12 | 1.85 |
| INDIABULLS HOUSING FINANCE LTD | FINANCE | INE148I01020 | 30000 | 362.10 | 1.49 |
| DEWAN HOUSING FINANCE CORPORATION LTD | FINANCE | INE202B01012 | 58000 | 318.77 | 1.31 |
| EQUITY & EQUITY RELATED TOTAL | | | | 23148.11 | 95.33 |
| A) REPO | | | | 1499.64 | 6.18 |
| PORTFOLIO TOTAL | | | | 24647.75 | 101.51 |
| NET CURRENT LIABILITIES | | | | -366.44 | -1.51 |
| NET ASSETS | | | | 24281.31 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.17.0862 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.17.0862 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.17.6173 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.17.6173 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.14.5388 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.5384 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.14.8642 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.8642 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.16 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA DIGITAL INDIA FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 103500 | 930.21 | 22.64 |
| TATA CONSULTANCY SERVICES LTD | SOFTWARE | INE467B01029 | 21500 | 523.96 | 12.75 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 46300 | 404.89 | 9.85 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 46900 | 380.99 | 9.27 |
| PERSISTENT SYSTEMS LTD | SOFTWARE | INE262H01013 | 40000 | 267.22 | 6.50 |
| TECH MAHINDRA LTD | SOFTWARE | INE669C01036 | 54500 | 249.20 | 6.06 |
| INFO EDGE (INDIA) LTD | SOFTWARE | INE663F01024 | 20500 | 225.91 | 5.50 |
| ORACLE FINANCIALS SERVICES SOFT LTD | SOFTWARE | INE881D01027 | 6000 | 217.83 | 5.30 |
| MAJESCO LTD | SOFTWARE | INE898S01029 | 49000 | 209.89 | 5.11 |
| SONATA SOFTWARE LTD | SOFTWARE | INE269A01021 | 135000 | 198.52 | 4.83 |
| NIIT TECHNOLOGIES LTD | SOFTWARE | INE591G01017 | 34000 | 184.18 | 4.48 |
| MPHASIS LTD | SOFTWARE | INE356A01018 | 24834 | 153.42 | 3.73 |
| ECLERX SERVICES LTD | SOFTWARE | INE738I01010 | 4400 | 54.60 | 1.33 |
| EQUITY & EQUITY RELATED TOTAL | | | | 4000.82 | 97.36 |
| A) REPO | | | | 193.61 | 4.71 |
| PORTFOLIO TOTAL | | | | 4194.43 | 102.07 |
| NET CURRENT LIABILITIES | | | | -85.12 | -2.07 |
| NET ASSETS | | | | 4109.31 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.9.5857 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.9.5857 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.9.8817 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.9.8817 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.9.4037 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.9.4037 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.9.6085 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.9.6085 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.29 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INDIA CONSUMER FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 22000 | 1754.09 | 6.92 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 304700 | 1603.18 | 6.32 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 130000 | 1527.70 | 6.03 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 256410 | 1335.77 | 5.27 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 1945000 | 1160.19 | 4.58 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 137500 | 1118.77 | 4.41 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 398000 | 1027.84 | 4.05 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 495000 | 1017.97 | 4.02 |
| SUN TV NETWORK LTD | MEDIA & ENTERTAINMENT | INE424H01027 | 126000 | 962.07 | 3.79 |
| HERITAGE FOODS LTD | CONSUMER NON DURABLES | INE978A01019 | 61500 | 891.63 | 3.52 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 120000 | 827.34 | 3.26 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 72000 | 775.87 | 3.06 |
| ESCORTS LTD | AUTO | INE042A01014 | 116900 | 771.19 | 3.04 |
| CEAT LTD | AUTO ANCILLARIES | INE482A01020 | 44500 | 762.84 | 3.01 |
| NESTLE INDIA LTD | CONSUMER NON DURABLES | INE239A01016 | 10100 | 729.45 | 2.88 |
| WHIRLPOOL OF INDIA LTD | CONSUMER DURABLES | INE716A01013 | 56500 | 723.96 | 2.86 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 20500 | 637.17 | 2.51 |
| PAGE INDUSTRIES LTD | TEXTILE PRODUCTS | INE761H01022 | 3200 | 588.87 | 2.32 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 140000 | 561.75 | 2.22 |
| ASHOK LEYLAND LTD | AUTO | INE208A01029 | 450000 | 553.73 | 2.18 |
| HERO MOTOCORP LTD | AUTO | INE158A01026 | 13000 | 491.56 | 1.94 |
| EVEREADY INDUSTRIES INDIA LTD | CONSUMER NON DURABLES | INE128A01029 | 145000 | 431.38 | 1.70 |
| SYMPHONY LTD | CONSUMER DURABLES | INE225D01027 | 31400 | 425.01 | 1.68 |
| TITAN COMPANY LTD | CONSUMER DURABLES | INE280A01028 | 70000 | 407.89 | 1.61 |
| EICHER MOTORS LTD | AUTO | INE066A01013 | 1300 | 405.34 | 1.60 |
| CROMPTON GREAVES CONSUMER ELECTRICALS LTD | CONSUMER DURABLES | INE299U01018 | 160000 | 333.20 | 1.31 |
| BRITANNIA INDUSTRIES LTD | CONSUMER NON DURABLES | INE216A01022 | 7500 | 325.80 | 1.29 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 75600 | 287.02 | 1.13 |
| COLGATE-PALMOLIVE INDIA LTD | CONSUMER NON DURABLES | INE259A01022 | 24000 | 254.02 | 1.00 |
| ZEE LEARN LTD | MEDIA & ENTERTAINMENT | INE565L01011 | 580000 | 249.40 | 0.98 |
| WABCO INDIA LTD | AUTO ANCILLARIES | INE342J01019 | 2900 | 172.64 | 0.68 |
| EQUITY & EQUITY RELATED TOTAL | | | | 23114.64 | 91.17 |
| A) REPO | | | | 2364.98 | 9.33 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| | | |
|---|---|---|
| PORTFOLIO TOTAL | 25479.62 | 100.50 |
| NET CURRENT LIABILITIES | -127.39 | -0.50 |
| NET ASSETS | 25352.23 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.15.6616 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.15.6616 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.16.1563 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.16.1563 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.12.8836 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.12.8834 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.13.1785 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.1785 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.19 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INDIA PHARMA & HEALTHCARE FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 407100 | 2048.53 | 21.38 |
| AUROBINDO PHARMA LTD | PHARMACEUTICALS | INE406A01037 | 122000 | 843.94 | 8.81 |
| PIRAMAL ENTERPRISES LTD | PHARMACEUTICALS | INE140A01024 | 29200 | 766.53 | 8.00 |
| CADILA HEALTHCARE LTD | PHARMACEUTICALS | INE010B01027 | 150000 | 705.75 | 7.37 |
| NATCO PHARMA LTD | PHARMACEUTICALS | INE987B01026 | 78500 | 616.85 | 6.44 |
| DISHMAN CARBOGEN AMCIS LTD | PHARMACEUTICALS | INE385W01011 | 170000 | 573.92 | 5.99 |
| IPCA LABORATORIES LTD | PHARMACEUTICALS | INE571A01020 | 110000 | 536.80 | 5.60 |
| ALEMBIC PHARMACEUTICALS LTD | PHARMACEUTICALS | INE901L01018 | 96000 | 484.51 | 5.06 |
| HEALTHCARE GLOBAL ENTERPRISES LTD | HEALTHCARE SERVICES | INE075I01017 | 166000 | 454.43 | 4.74 |
| DIVI LABORATORIES LTD | PHARMACEUTICALS | INE361B01024 | 53000 | 453.18 | 4.73 |
| SYNGENE INTERNATIONAL LTD | PHARMACEUTICALS | INE398R01022 | 87000 | 432.00 | 4.51 |
| APOLLO HOSPITALS ENTERPRISE LTD | HEALTHCARE SERVICES | INE437A01024 | 38800 | 390.58 | 4.08 |
| SANOFI INDIA LTD | PHARMACEUTICALS | INE058A01010 | 9000 | 372.10 | 3.88 |
| CIPLA LTD | PHARMACEUTICALS | INE059A01026 | 33000 | 193.03 | 2.02 |
| ABBOTT INDIA LTD | PHARMACEUTICALS | INE358A01014 | 4450 | 187.51 | 1.96 |
| SHILPA MEDICARE LTD | PHARMACEUTICALS | INE790G01031 | 30000 | 175.52 | 1.83 |
| THYROCARE TECHNOLOGIES LTD | HEALTHCARE SERVICES | INE594H01019 | 18000 | 123.89 | 1.29 |
| EQUITY & EQUITY RELATED TOTAL | | | | 9359.07 | 97.70 |
| A) REPO | | | | 473.85 | 4.95 |
| PORTFOLIO TOTAL | | | | 9832.92 | 102.65 |
| NET CURRENT LIABILITIES | | | | -253.26 | -2.65 |
| NET ASSETS | | | | 9579.66 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.8.1763 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.8.1762 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.8.4216 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.8.4216 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.9.1114 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.9.1114 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.9.3157 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.9.3157 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.58 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA INDIA TAX SAVINGS FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| ICICI BANK LTD | BANKS | INE090A01021 | 1535000 | 4251.18 | 5.07 |
| HDFC BANK LTD | BANKS | INE040A01026 | 175000 | 3155.34 | 3.76 |
| YES BANK LTD | BANKS | INE528G01027 | 799440 | 2798.04 | 3.34 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 500000 | 2630.75 | 3.14 |
| FUTURE CONSUMER LTD | RETAILING | INE220J01025 | 4000000 | 2386.00 | 2.85 |
| AVENUE SUPERMARTS LTD | RETAILING | INE192R01011 | 200000 | 2155.20 | 2.57 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 510000 | 2046.38 | 2.44 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 310000 | 2018.26 | 2.41 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 166343 | 1823.54 | 2.17 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 200000 | 1749.00 | 2.09 |
| SOMANY CERAMICS LTD | CONSTRUCTION | INE355A01028 | 197274 | 1684.33 | 2.01 |
| SHANKARA BUILDING PRODUCTS LTD | FERROUS METALS | INE274V01019 | 109906 | 1565.94 | 1.87 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 155000 | 1552.95 | 1.85 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 570000 | 1472.03 | 1.76 |
| COX & KINGS LTD | TRANSPORTATION | INE008I01026 | 520000 | 1439.88 | 1.72 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 80000 | 1392.56 | 1.66 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 53000 | 1358.92 | 1.62 |
| ERIS LIFESCIENCES LTD | PHARMACEUTICALS | INE406M01024 | 232176 | 1337.57 | 1.59 |
| TATA GLOBAL BEVERAGES LTD | CONSUMER NON DURABLES | INE192A01025 | 650000 | 1336.73 | 1.59 |
| NATCO PHARMA LTD | PHARMACEUTICALS | INE987B01026 | 170000 | 1335.86 | 1.59 |
| TVS MOTOR COMPANY LTD | AUTO | INE494B01023 | 200000 | 1317.00 | 1.57 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 70000 | 1284.47 | 1.53 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 255000 | 1283.16 | 1.53 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 750000 | 1279.88 | 1.53 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 108000 | 1232.50 | 1.47 |
| APOLLO HOSPITALS ENTERPRISE LTD | HEALTHCARE SERVICES | INE437A01024 | 120000 | 1207.98 | 1.44 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 475000 | 1205.31 | 1.44 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 300000 | 1200.15 | 1.43 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 15000 | 1195.97 | 1.43 |
| ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD | FINANCE | INE726G01019 | 300000 | 1168.05 | 1.39 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 125000 | 1158.44 | 1.38 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 6100 | 1132.71 | 1.35 |
| VINATI ORGANICS LTD | CHEMICALS | INE410B01029 | 115000 | 1107.74 | 1.32 |
| SBI LIFE INSURANCE COMPANY LTD | FINANCE | INE123W01016 | 157143 | 1100.00 | 1.31 |
| INDIABULLS HOUSING FINANCE LTD | FINANCE | INE148I01020 | 90000 | 1086.30 | 1.30 |
| EQUITAS HOLDINGS LTD | FINANCE | INE988K01017 | 700000 | 1064.70 | 1.27 |
| DCB BANK LTD | BANKS | INE503A01015 | 580000 | 1055.31 | 1.26 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 500000 | 1053.25 | 1.26 |
| KNR CONSTRUCTIONS LTD | CONSTRUCTION | INE634I01029 | 500000 | 1032.25 | 1.23 |
| CHAMBAL FERTILISER & CHEMICALS LTD | FERTILISERS | INE085A01013 | 725000 | 1017.18 | 1.21 |
| DIVI LABORATORIES LTD | PHARMACEUTICALS | INE361B01024 | 115000 | 983.31 | 1.17 |
| MUTHOOT FINANCE LTD | FINANCE | INE414G01012 | 200000 | 948.90 | 1.13 |
| EXIDE INDUSTRIES LTD | AUTO ANCILLARIES | INE302A01020 | 410000 | 842.76 | 1.00 |
| TORRENT POWER LTD | POWER | INE813H01021 | 400000 | 841.60 | 1.00 |
| NCC LTD | CONSTRUCTION PROJECT | INE868B01028 | 1000000 | 830.50 | 0.99 |
| BATA INDIA LTD | CONSUMER DURABLES | INE176A01028 | 120000 | 827.34 | 0.99 |
| FINOLEX CABLES LTD | INDUSTRIAL PRODUCTS | INE235A01022 | 151000 | 815.48 | 0.97 |
| FINOLEX INDUSTRIES LTD | INDUSTRIAL PRODUCTS | INE183A01016 | 127000 | 804.99 | 0.96 |
| BLUE STAR LTD | CONSUMER DURABLES | INE472A01039 | 100000 | 779.20 | 0.93 |
| BRIGADE ENTERPRISES LTD | CONSTRUCTION | INE791I01019 | 307692 | 758.31 | 0.90 |
| TAMILNADU NEWSPRINT & PAPERS LTD | PAPER | INE107A01015 | 210000 | 736.37 | 0.88 |
| TIMKEN INDIA LTD | INDUSTRIAL PRODUCTS | INE325A01013 | 93000 | 724.14 | 0.86 |
| MAHINDRA CIE AUTOMOTIVE LTD | INDUSTRIAL PRODUCTS | INE536H01010 | 300000 | 717.15 | 0.86 |
| STRIDES SHASUN LTD | PHARMACEUTICALS | INE939A01011 | 80000 | 707.56 | 0.84 |
| NAVIN FLUORINE INTERNATIONAL LTD | CHEMICALS | INE048G01026 | 100000 | 693.25 | 0.83 |
| THE RAMCO CEMENTS LTD | CEMENT | INE331A01037 | 100000 | 690.85 | 0.82 |
| ADITYA BIRLA FASHION & RETAIL LTD | RETAILING | INE647O01011 | 424000 | 690.27 | 0.82 |
| IFB INDUSTRIES LTD | CONSUMER DURABLES | INE559A01017 | 95000 | 684.33 | 0.82 |
| INOX LEISURE LTD | MEDIA & ENTERTAINMENT | INE312H01016 | 275000 | 638.55 | 0.76 |
| GUJARAT STATE PETRONET LTD | GAS | INE246F01010 | 300000 | 600.45 | 0.72 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 15000 | 577.92 | 0.69 |
| SADBHAV ENGINEERING LTD | CONSTRUCTION PROJECT | INE226H01026 | 165000 | 481.14 | 0.57 |
| J K CEMENT LTD | CEMENT | INE823G01014 | 50300 | 480.92 | 0.57 |
| PUNJAB NATIONAL BANK | BANKS | INE160A01022 | 360000 | 464.58 | 0.55 |
| SATIN CREDITCARE NETWORK LTD | FINANCE | INE836B01017 | 150000 | 461.78 | 0.55 |
| SCHAEFFLER (I) LTD | INDUSTRIAL PRODUCTS | INE513A01014 | 8500 | 416.12 | 0.50 |
| HAVELLS INDIA LTD | CONSUMER DURABLES | INE176B01034 | 80000 | 386.28 | 0.46 |
| SADBHAV INFRASTRUCTURE PROJECT LTD | CONSTRUCTION PROJECT | INE764L01010 | 388455 | 385.74 | 0.46 |
| SEQUENT SCIENTIFIC LTD | PHARMACEUTICALS | INE807F01027 | 316000 | 369.25 | 0.44 |
| ASTRAL POLY TECHNIK LTD | INDUSTRIAL PRODUCTS | INE006I01046 | 50000 | 367.78 | 0.44 |
| WONDERLA HOLIDAYS LTD | HOTELS, RESORT AND OTHER RECREATIONAL ACTIVITIES | INE066O01014 | 100000 | 344.40 | 0.41 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 35000 | 57.24 | 0.07 |
| EQUITY & EQUITY RELATED TOTAL | | | | 82781.27 | 98.71 |
| A) REPO | | | | 646.07 | 0.77 |
| PORTFOLIO TOTAL | | | | 83427.34 | 99.48 |
| CASH / NET CURRENT ASSET | | | | 433.58 | 0.52 |
| NET ASSETS | | | | 83860.92 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.72.8129 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.96.9629 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.16.6138 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.17.2370 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.64.8027 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.85.7214 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.7866 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.15.2385 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.18 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA LARGE CAP FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| HDFC BANK LTD | BANKS | INE040A01026 | 466000 | 8402.21 | 10.63 |
| ICICI BANK LTD | BANKS | INE090A01021 | 1619750 | 4485.90 | 5.67 |
| ITC LTD | CONSUMER NON DURABLES | INE154A01025 | 1422500 | 3673.61 | 4.65 |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 457000 | 3574.43 | 4.52 |
| MARUTI SUZUKI INDIA LTD | AUTO | INE585B01010 | 42000 | 3348.72 | 4.24 |
| HCL TECHNOLOGIES LTD | SOFTWARE | INE860A01027 | 346350 | 3028.83 | 3.83 |
| INFOSYS LTD | SOFTWARE | INE009A01021 | 291000 | 2615.36 | 3.31 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 1235000 | 2601.53 | 3.29 |
| STATE BANK OF INDIA | BANKS | INE062A01020 | 1025000 | 2600.94 | 3.29 |
| FUTURE RETAIL LTD | RETAILING | INE752P01024 | 460000 | 2420.29 | 3.06 |
| BHARAT ELECTRONICS LTD | INDUSTRIAL CAPITAL GOODS | INE263A01024 | 1430000 | 2338.77 | 2.96 |
| KOTAK MAHINDRA BANK LTD | BANKS | INE237A01028 | 200000 | 2003.80 | 2.53 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 50000 | 1926.40 | 2.44 |
| LARSEN & TOUBRO LTD | CONSTRUCTION PROJECT | INE018A01030 | 164350 | 1875.56 | 2.37 |
| TATA MOTORS LTD | AUTO | INE155A01022 | 445000 | 1785.56 | 2.26 |
| CUMMINS INDIA LTD | INDUSTRIAL PRODUCTS | INE298A01020 | 192131 | 1780.57 | 2.25 |
| TATA ELXSI LTD | SOFTWARE | INE670A01012 | 214000 | 1738.43 | 2.20 |
| RAYMOND LTD | TEXTILE PRODUCTS | INE301A01014 | 205000 | 1667.98 | 2.11 |
| YES BANK LTD | BANKS | INE528G01027 | 470640 | 1647.24 | 2.08 |
| CONTAINER CORPORATION OF INDIA LTD | TRANSPORTATION | INE111A01017 | 118000 | 1588.63 | 2.01 |
| BAJAJ FINANCE LTD | FINANCE | INE296A01024 | 80000 | 1467.96 | 1.86 |
| MAX INDIA LTD | HEALTHCARE SERVICES | INE153U01017 | 1020000 | 1423.41 | 1.80 |
| HINDUSTAN UNILEVER LTD | CONSUMER NON DURABLES | INE030A01027 | 120000 | 1410.18 | 1.78 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 332666 | 1394.20 | 1.76 |
| D.B.CORP LTD | MEDIA & ENTERTAINMENT | INE950I01011 | 365000 | 1385.72 | 1.75 |
| INDUSIND BANK LTD | BANKS | INE095A01012 | 80000 | 1343.24 | 1.70 |
| ZEE ENTERTAINMENT ENTERPRISES LTD | MEDIA & ENTERTAINMENT | INE256A01028 | 244000 | 1271.12 | 1.61 |
| EVEREADY INDUSTRIES INDIA LTD | CONSUMER NON DURABLES | INE128A01029 | 425000 | 1264.38 | 1.60 |
| MOTHERSON SUMI SYSTEMS LTD | AUTO ANCILLARIES | INE775A01035 | 370000 | 1244.31 | 1.57 |
| HOUSING DEVELOPMENT FINANCE LTD | FINANCE | INE001A01036 | 71000 | 1235.90 | 1.56 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 100000 | 1133.40 | 1.43 |
| SIEMENS LTD | INDUSTRIAL CAPITAL GOODS | INE003A01024 | 92000 | 1103.26 | 1.40 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 495000 | 844.72 | 1.07 |
| BAJAJ AUTO LTD | AUTO | INE917I01010 | 25000 | 777.04 | 0.98 |
| INTERGLOBE AVIATION LTD | TRANSPORTATION | INE646L01027 | 70000 | 767.38 | 0.97 |
| SUN PHARMACEUTICAL INDUSTRIES LTD | PHARMACEUTICALS | INE044A01036 | 150000 | 754.80 | 0.95 |
| BOSCH LTD | AUTO ANCILLARIES | INE323A01026 | 3500 | 718.06 | 0.91 |
| HINDALCO INDUSTRIES LTD | NON - FERROUS METALS | INE038A01020 | 291056 | 700.86 | 0.89 |
| DR. LAL PATH LABS LTD | HEALTHCARE SERVICES | INE600L01024 | 86500 | 687.85 | 0.87 |
| TATA MOTORS - DVR | AUTO | IN9155A01020 | 253443 | 563.66 | 0.71 |
| INFO EDGE (INDIA) LTD | SOFTWARE | INE663F01024 | 50000 | 551.00 | 0.70 |
| ALEMBIC PHARMACEUTICALS LTD | PHARMACEUTICALS | INE901L01018 | 90000 | 454.23 | 0.57 |
| GODREJ INDUSTRIES LTD | CONSUMER NON DURABLES | INE233A01035 | 70000 | 411.57 | 0.52 |
| BANK OF BARODA | BANKS | INE028A01039 | 250000 | 343.75 | 0.43 |
| ADITYA BIRLA CAPITAL LTD | FINANCE | INE674K01013 | 140000 | 257.11 | 0.33 |
| EQUITY RELATED | | | | | |
| ZEE ENTERTAINMENT ENTERPRISES LTD (PREFERENCE SHARE) | MEDIA & ENTERTAINMENT | INE256A04022 | 945000 | 92.42 | 0.12 |
| EQUITY & EQUITY RELATED TOTAL | | | | 78706.29 | 99.55 |
| A) REPO | | | | 228.90 | 0.29 |
| PORTFOLIO TOTAL | | | | 78935.19 | 99.84 |
| CASH / NET CURRENT ASSET | | | | 127.91 | 0.16 |
| NET ASSETS | | | | 79063.10 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.198.1055 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.47.0519 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.209.8354 (DIRECT - GROWTH)

NAV AS ON 30-SEP-17: RS.51.5302 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.183.9485 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.43.6895 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.193.2794 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.47.4630 (DIRECT - DIVIDEND)

PORTFOLIO TURNOVER RATIO: 0.24 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA RESOURCES & ENERGY FUND

| NAME OF THE INSTRUMENT | INDUSTRY | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| EQUITY & EQUITY RELATED | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| RELIANCE INDUSTRIES LTD | PETROLEUM PRODUCTS | INE002A01018 | 56800 | 444.26 | 9.84 |
| POWER GRID CORPORATION OF INDIA LTD | POWER | INE752E01010 | 191700 | 403.82 | 8.94 |
| OIL & NATURAL GAS CO. | OIL | INE213A01029 | 181500 | 309.73 | 6.86 |
| INDIAN OIL CORPORATION LTD | PETROLEUM PRODUCTS | INE242A01010 | 76000 | 304.04 | 6.73 |
| ULTRATECH CEMENT LTD | CEMENT | INE481G01011 | 7500 | 288.96 | 6.40 |
| GAIL ( INDIA ) LTD | GAS | INE129A01019 | 64000 | 268.22 | 5.94 |
| HINDALCO INDUSTRIES LTD | NON - FERROUS METALS | INE038A01020 | 96000 | 231.17 | 5.12 |
| VEDANTA LTD | NON - FERROUS METALS | INE205A01025 | 69500 | 218.20 | 4.83 |
| PETRONET LNG LTD | GAS | INE347G01014 | 79600 | 184.19 | 4.08 |
| GUJARAT MINERAL DEV CORP LTD | MINERALS/MINING | INE131A01031 | 121000 | 167.89 | 3.72 |
| SHREE CEMENT LTD | CEMENT | INE070A01015 | 900 | 167.12 | 3.70 |
| TATA CHEMICALS LTD | CHEMICALS | INE092A01019 | 25000 | 160.25 | 3.55 |
| TATA METALIKS LTD | FERROUS METALS | INE056C01010 | 23500 | 154.79 | 3.43 |
| VINATI ORGANICS LTD | CHEMICALS | INE410B01029 | 13050 | 125.70 | 2.78 |
| TATA STEEL LTD | FERROUS METALS | INE081A01012 | 18000 | 117.19 | 2.59 |
| CHAMBAL FERTILISER & CHEMICALS LTD | FERTILISERS | INE085A01013 | 82000 | 115.05 | 2.55 |
| AEGIS LOGISTICS LTD | GAS | INE208C01025 | 45000 | 105.91 | 2.35 |
| NATIONAL ALUMINIUM CO. LTD | NON - FERROUS METALS | INE139A01034 | 130000 | 101.79 | 2.25 |
| BIRLA CORPORATION LTD | CEMENT | INE340A01012 | 10000 | 89.88 | 1.99 |
| HINDUSTAN PETROLEUM CORPORATION LTD | PETROLEUM PRODUCTS | INE094A01015 | 21000 | 89.57 | 1.98 |
| DALMIA BHARAT LTD | CEMENT | INE439L01019 | 3300 | 84.61 | 1.87 |
| GRASIM INDUSTRIES LTD | CEMENT | INE047A01021 | 7300 | 82.74 | 1.83 |
| BAYER CROPSCIENCE LTD | PESTICIDES | INE462A01022 | 2000 | 77.64 | 1.72 |
| MANGALORE REFINERY & PETROCHEMICALS | PETROLEUM PRODUCTS | INE103A01014 | 53000 | 67.15 | 1.49 |
| RATNAMANI METALS & TUBES LTD | FERROUS METALS | INE703B01027 | 4500 | 39.50 | 0.87 |
| EQUITY & EQUITY RELATED TOTAL | | | | 4399.37 | 97.41 |
| A) REPO | | | | 195.74 | 4.33 |
| PORTFOLIO TOTAL | | | | 4595.11 | 101.74 |
| NET CURRENT LIABILITIES | | | | -78.88 | -1.74 |
| NET ASSETS | | | | 4516.23 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL VALUE OF ILLIQUID EQUITY SHARES: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.14.6665 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.14.6665 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.15.1317 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.15.1317 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.13.8207 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.8211 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.14.1348 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.14.1349 (DIRECT - GROWTH)

PORTFOLIO TURNOVER RATIO: 0.37 TIMES

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA LONG TERM DEBT FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| GOI - 6.79% (15/05/2027) | SOV | IN0020170026 | 2500000 | 2522.00 | 12.81 |
| GOI - 6.62% (28/11/2051) | SOV | IN0020160092 | 2500000 | 2293.49 | 11.65 |
| GOI - 7.73% (19/12/2034) | SOV | IN0020150051 | 500000 | 525.41 | 2.67 |
| GOI - 7.88% (19/03/2030) | SOV | IN0020150028 | 26600 | 28.19 | 0.14 |
| GOI - 6.79% (26/12/2029) | SOV | IN0020160118 | 4600 | 4.55 | 0.02 |
| GOVERNMENT SECURITIES TOTAL | | | | 5373.64 | 27.29 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS | | | | | |
| ** 08.55 % ICICI BANK LTD - 20/09/2117 $ | CRISIL- AA+ | INE090A08TZ5 | 170 | 1697.67 | 8.62 |
| ** 08.45 % RELIANCE PORTS & TERMINALS LTD - 12/06/2023 | CRISIL- AAA | INE941D07133 | 150 | 1556.94 | 7.91 |
| ** 07.83 % INDIAN RAILWAYS FINANCE CORPORATION LTD - 19/03/2027 | CRISIL- AAA | INE053F07983 | 100 | 1025.36 | 5.21 |
| ** 07.67 % RELIANCE UTILITIES AND POWER PRIVATE LTD - 28/02/2023 | CRISIL- AAA | INE936D07158 | 100 | 999.52 | 5.08 |
| ** 07.10 % POWER FINANCE CORPORATION - 08/08/2022 | CRISIL- AAA | INE134E08JD1 | 100 | 992.30 | 5.04 |
| ** 07.30 % POWER GRID CORPORATION OF INDIA LTD - 19/06/2027 | CRISIL- AAA | INE752E07OF7 | 50 | 495.78 | 2.52 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2017 | CRISIL- AAA(SO) | INE310L07324 | 26 | 26.06 | 0.13 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2018 | CRISIL- AAA(SO) | INE310L07399 | 22 | 22.56 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2019 | CRISIL- AAA(SO) | INE310L07522 | 20 | 21.30 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2019 | CRISIL- AAA(SO) | INE310L07514 | 20 | 21.23 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2019 | CRISIL- AAA(SO) | INE310L07506 | 20 | 21.16 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2018 | CRISIL- AAA(SO) | INE310L07464 | 20 | 20.94 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2018 | CRISIL- AAA(SO) | INE310L07456 | 20 | 20.88 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2018 | CRISIL- AAA(SO) | INE310L07449 | 20 | 20.81 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2018 | CRISIL- AAA(SO) | INE310L07431 | 20 | 20.77 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2018 | CRISIL- AAA(SO) | INE310L07423 | 20 | 20.71 | 0.11 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2018 | CRISIL- AAA(SO) | INE310L07415 | 20 | 20.64 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2018 | CRISIL- AAA(SO) | INE310L07407 | 20 | 20.58 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2020 | CRISIL- AAA(SO) | INE310L07654 | 18 | 19.89 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/06/2020 | CRISIL- AAA(SO) | INE310L07647 | 18 | 19.83 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/05/2020 | CRISIL- AAA(SO) | INE310L07639 | 18 | 19.77 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2020 | CRISIL- AAA(SO) | INE310L07621 | 18 | 19.72 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2020 | CRISIL- AAA(SO) | INE310L07597 | 18 | 19.54 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2019 | CRISIL- AAA(SO) | INE310L07589 | 18 | 19.51 | 0.10 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

TATA MUTUAL FUND

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2019 | CRISIL- AAA(SO) | INE310L07571 | 18 | 19.45 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2019 | CRISIL- AAA(SO) | INE310L07563 | 18 | 19.39 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2019 | CRISIL- AAA(SO) | INE310L07555 | 18 | 19.34 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2019 | CRISIL- AAA(SO) | INE310L07548 | 18 | 19.28 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/07/2019 | CRISIL- AAA(SO) | INE310L07530 | 18 | 19.23 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2019 | CRISIL- AAA(SO) | INE310L07498 | 18 | 18.99 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2019 | CRISIL- AAA(SO) | INE310L07480 | 18 | 18.94 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2019 | CRISIL- AAA(SO) | INE310L07472 | 18 | 18.88 | 0.10 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2021 | CRISIL- AAA(SO) | INE310L07720 | 16 | 17.94 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/12/2020 | CRISIL- AAA(SO) | INE310L07704 | 16 | 17.93 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/01/2021 | CRISIL- AAA(SO) | INE310L07712 | 16 | 17.89 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2020 | CRISIL- AAA(SO) | INE310L07696 | 16 | 17.88 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/10/2020 | CRISIL- AAA(SO) | INE310L07688 | 16 | 17.83 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/09/2020 | CRISIL- AAA(SO) | INE310L07670 | 16 | 17.78 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/08/2020 | CRISIL- AAA(SO) | INE310L07662 | 16 | 17.73 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2020 | CRISIL- AAA(SO) | INE310L07613 | 16 | 17.47 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2020 | CRISIL- AAA(SO) | INE310L07605 | 16 | 17.42 | 0.09 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2021 | CRISIL- AAA(SO) | INE310L07738 | 14 | 15.74 | 0.08 |
| NON-CONVERTIBLE DEBENTURES/BONDS TOTAL | | | | 7472.58 | 37.94 |
| (III) PRIVATELY PLACED/UNLISTED | | | | | |
| ** 08.30 % SBI CARDS & PAYMENT SERVICES PVT. LTD - 17/05/2023 | CRISIL- AAA | INE018E08086 | 100 | 1002.16 | 5.09 |
| NON-CONVERTIBLE DEBENTURES/BONDS/FRN'S TOTAL | | | | 1002.16 | 5.09 |
| B) REPO | | | | 5115.04 | 25.98 |
| PORTFOLIO TOTAL | | | | 18963.42 | 96.30 |
| CASH / NET CURRENT ASSET | | | | 730.47 | 3.70 |
| NET ASSETS | | | | 19693.89 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.52.4878 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.30.4299 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.1692 (REGULAR PLAN - HALF YEARLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.11.6464 (REGULAR PLAN - QUARTERLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.16.0548 (REGULAR PLAN - BONUS)

NAV AS ON 30-SEP-17: RS.54.2921 (DIRECT - GROWTH)

NAV AS ON 30-SEP-17: RS.30.9137 (DIRECT - PERIODIC DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.6872 (DIRECT - HALF YEARLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.11.9353 (DIRECT - QUARTERLY DIVIDEND)

NAV AS ON 30-SEP-17: RS.16.7365 (DIRECT - BONUS)

NAV AS ON 31-MAR-17: RS.50.3405 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.29.1849 (REGULAR PLAN - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.0709 (REGULAR PLAN - HALF YEARLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.11.5360 (REGULAR PLAN - QUARTERLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.15.3978 (REGULAR PLAN - BONUS)

NAV AS ON 31-MAR-17: RS.51.7897 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.29.4870 (DIRECT - PERIODIC DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.4938 (DIRECT - HALF YEARLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.11.7493 (DIRECT - QUARTERLY DIVIDEND)

NAV AS ON 31-MAR-17: RS.15.9640 (DIRECT - BONUS)

AVERAGE MATURITY : 8.19 YEARS

DIVIDEND DECLARED: PLEASE REFER DIVIDEND HISTORY TABLE

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

$ Perpetual Debt Instrument - (For the purpose of Average Maturity Calculation next call date is considered as maturity date).

## TATA FIXED MATURITY PLAN-SERIES 44 SCHEME-D (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL KARNATAKA 8.40% (27/03/2018) | SOV | IN1920070019 | 95000 | 95.93 | 4.67 |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 90000 | 90.77 | 4.42 |
| GOVERNMENT SECURITIES TOTAL | | | | 186.70 | 9.09 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 33 | 331.72 | 16.15 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 33 | 331.67 | 16.15 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 33 | 330.26 | 16.08 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 25 | 251.74 | 12.26 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 16 | 199.16 | 9.70 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 16 | 160.98 | 7.84 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 9000 | 110.88 | 5.40 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 3 | 30.19 | 1.47 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 1746.60 | 85.05 |
| B) REPO | | | | 13.91 | 0.68 |
| PORTFOLIO TOTAL | | | | 1947.21 | 94.82 |
| CASH / NET CURRENT ASSET | | | | 106.36 | 5.18 |
| NET ASSETS | | | | 2053.57 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.13.8792 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.14.0197 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.13.3902 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.13.5169 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.49 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME - D (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL KARNATAKA 8.40% (27/03/2018) | SOV | IN1920070019 | 50000 | 50.49 | 8.79 |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 27000 | 27.23 | 4.74 |
| GOVERNMENT SECURITIES TOTAL | | | | 77.72 | 13.53 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 9000 | 110.88 | 19.30 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 9 | 90.47 | 15.75 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 9 | 90.07 | 15.68 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 8 | 80.84 | 14.08 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2018 | CRISIL- AAA(SO) | INE310L07365 | 52 | 52.85 | 9.20 |
| ** 08.76 % EXIM - 10/01/2018 | CRISIL- AAA | INE514E08CD9 | 2 | 20.11 | 3.50 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 445.22 | 77.51 |
| B) REPO | | | | 10.70 | 1.86 |
| PORTFOLIO TOTAL | | | | 533.64 | 92.91 |
| CASH / NET CURRENT ASSET | | | | 40.72 | 7.09 |
| NET ASSETS | | | | 574.36 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.13.5580 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.13.6827 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.13.0873 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.13.1990 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.45 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-K (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 28000 | 28.24 | 5.08 |
| SDL KARNATAKA 8.40% (27/03/2018) | SOV | IN1920070019 | 20000 | 20.20 | 3.63 |
| SDL TAMILNADU 8.12% (25/02/2018) | SOV | IN3120070088 | 10000 | 10.06 | 1.81 |
| GOVERNMENT SECURITIES TOTAL | | | | 58.50 | 10.52 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 9000 | 110.88 | 19.93 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 9 | 90.47 | 16.26 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 9 | 90.07 | 16.19 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 8 | 80.50 | 14.47 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 5 | 50.25 | 9.03 |
| ** 09.32 % TATA POWER COMPANY LTD - 17/11/2017 | CRISIL- AA- | INE245A08059 | 5 | 50.14 | 9.01 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 472.31 | 84.90 |
| B) REPO | | | | 3.21 | 0.58 |
| PORTFOLIO TOTAL | | | | 534.02 | 95.99 |
| CASH / NET CURRENT ASSET | | | | 22.30 | 4.01 |
| NET ASSETS | | | | 556.32 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.12.1412 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.4524 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.13.7278 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.11.7229 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.12.9889 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.13.2462 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.43 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-M (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 1050000 | 1058.99 | 4.18 |
| SDL ANDHRA PRADESH 8.41% (27/03/2018) | SOV | IN1020070091 | 62000 | 62.60 | 0.25 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 30000 | 30.13 | 0.12 |
| GOVERNMENT SECURITIES TOTAL | | | | 1151.72 | 4.54 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 413 | 4133.22 | 16.31 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 410 | 4121.39 | 16.26 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 353 | 3567.17 | 14.07 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 310 | 3121.63 | 12.32 |
| ** ADITYA BIRLA FINANCE LTD - 10/04/2018 - ZCB | ICRA- AA+ | INE860H07AQ7 | 207 | 2574.27 | 10.16 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 205 | 2062.57 | 8.14 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 110000 | 1355.17 | 5.35 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 116 | 1167.19 | 4.60 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 43 | 432.17 | 1.71 |
| ** 08.95 % POWER FINANCE CORPORATION - 11/03/2018 | CRISIL- AAA | INE134E08FK4 | 18 | 181.57 | 0.72 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 22716.35 | 89.62 |
| B) REPO | | | | 13.91 | 0.05 |
| PORTFOLIO TOTAL | | | | 23881.98 | 94.22 |
| CASH / NET CURRENT ASSET | | | | 1464.77 | 5.78 |
| NET ASSETS | | | | 25346.75 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.12.2064 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.4819 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.12.2484 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.6045 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.11.7725 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.0027 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.11.8053 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.1124 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.48 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-N (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 45000 | 45.39 | 5.11 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 25000 | 25.10 | 2.83 |
| SDL ANDHRA PRADESH 8.41% (27/03/2018) | SOV | IN1020070091 | 18000 | 18.18 | 2.05 |
| GOVERNMENT SECURITIES TOTAL | | | | 88.67 | 9.98 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 14 | 140.73 | 15.84 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 14 | 140.11 | 15.77 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 13 | 130.81 | 14.72 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 10000 | 123.20 | 13.87 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 10 | 100.70 | 11.34 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 7 | 70.43 | 7.93 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2018 | CRISIL- AAA(SO) | INE310L07373 | 30 | 30.58 | 3.44 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 736.56 | 82.91 |
| B) REPO | | | | 1.07 | 0.12 |
| PORTFOLIO TOTAL | | | | 826.30 | 93.01 |
| CASH / NET CURRENT ASSET | | | | 62.07 | 6.99 |
| NET ASSETS | | | | 888.37 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.12.1868 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.4005 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.13.5201 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.11.7598 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.12.9329 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.13.0398 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.44 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-Q (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 77000 | 77.66 | 6.08 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 50000 | 50.21 | 3.93 |
| GOVERNMENT SECURITIES TOTAL | | | | 127.87 | 10.01 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 20 | 201.04 | 15.74 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 20 | 201.01 | 15.74 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 20 | 200.16 | 15.67 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 15 | 151.05 | 11.83 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 10 | 124.47 | 9.74 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 10 | 100.61 | 7.88 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 5000 | 61.60 | 4.82 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 3 | 30.19 | 2.36 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 1070.13 | 83.78 |
| B) REPO | | | | 10.70 | 0.84 |
| PORTFOLIO TOTAL | | | | 1208.70 | 94.63 |
| CASH / NET CURRENT ASSET | | | | 68.62 | 5.37 |
| NET ASSETS | | | | 1277.32 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01

** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES

TOTAL NPA PROVIDED FOR: NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL

NAV AS ON 30-SEP-17: RS.12.1833 (REGULAR PLAN - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.3660 (REGULAR PLAN - GROWTH)

NAV AS ON 30-SEP-17: RS.12.2289 (DIRECT - DIVIDEND)

NAV AS ON 30-SEP-17: RS.13.4855 (DIRECT - GROWTH)

NAV AS ON 31-MAR-17: RS.11.7568 (REGULAR PLAN - DIVIDEND)

NAV AS ON 31-MAR-17: RS.12.8979 (REGULAR PLAN - GROWTH)

NAV AS ON 31-MAR-17: RS.11.7927 (DIRECT - DIVIDEND)

NAV AS ON 31-MAR-17: RS.13.0047 (DIRECT - GROWTH)

AVERAGE MATURITY : 0.47 YEARS

DIVIDEND DECLARED: NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-R (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 170000 | 171.46 | 5.39 |
| SDL ANDHRA PRADESH 8.45% (10/03/2018) | SOV | IN1020070083 | 45000 | 45.40 | 1.43 |
| GOVERNMENT SECURITIES TOTAL | | | | 216.86 | 6.82 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 52 | 520.41 | 16.36 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 51 | 512.66 | 16.12 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 49 | 495.16 | 15.57 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 38 | 382.65 | 12.03 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 25 | 311.19 | 9.78 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 25 | 251.53 | 7.91 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 15000 | 184.80 | 5.81 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 12 | 120.74 | 3.80 |

# UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

## TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 2779.14 | 87.38 |
| PORTFOLIO TOTAL | | | | 2996.00 | 94.20 |
| CASH / NET CURRENT ASSET | | | | 184.37 | 5.80 |
| NET ASSETS | | | | 3180.37 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.2043 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3564 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.2456 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.4616 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7724 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8838 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.11.8043 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.9767 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.48 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 46 SCHEME-T (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 900000 | 907.71 | 4.14 |
| SDL ANDHRA PRADESH 8.45% (10/03/2018) | SOV | IN1020070083 | 7000 | 7.06 | 0.03 |
| GOVERNMENT SECURITIES TOTAL | | | | 914.77 | 4.17 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 355 | 3552.77 | 16.20 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 340 | 3435.80 | 15.67 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 268 | 2698.70 | 12.31 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 179 | 2228.09 | 10.16 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 220 | 2211.48 | 10.09 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 175 | 1760.73 | 8.03 |
| ** 08.00 % NATIONAL HOUSING BANK - 10/04/2018 | CRISIL- AAA | INE557F08EW1 | 150 | 1508.80 | 6.88 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 87000 | 1071.82 | 4.89 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 99 | 996.13 | 4.54 |
| ** 08.90 % POWER FINANCE CORPORATION - 18/03/2018 | CRISIL- AAA | INE134E08FM0 | 25 | 252.23 | 1.15 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 19716.55 | 89.91 |
| B) REPO | | | | 7.49 | 0.03 |
| PORTFOLIO TOTAL | | | | 20638.81 | 94.12 |
| CASH / NET CURRENT ASSET | | | | 1289.46 | 5.88 |
| NET ASSETS | | | | 21928.27 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.13.4626 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.5832 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.12.9868 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.13.0945 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.48 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-C (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 160000 | 161.37 | 5.37 |
| SDL KARNATAKA 8.40% (27/03/2018) | SOV | IN1920070019 | 10000 | 10.10 | 0.34 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 10000 | 10.04 | 0.33 |
| GOVERNMENT SECURITIES TOTAL | | | | 181.51 | 6.04 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 49 | 492.56 | 16.40 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 49 | 490.38 | 16.33 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 46 | 464.84 | 15.48 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 36 | 362.51 | 12.07 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 24 | 298.74 | 9.95 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 24 | 241.47 | 8.04 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 13000 | 160.16 | 5.33 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 13 | 130.81 | 4.36 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 2641.47 | 87.96 |
| B) REPO | | | | 3.21 | 0.11 |
| PORTFOLIO TOTAL | | | | 2826.19 | 94.12 |
| CASH / NET CURRENT ASSET | | | | 176.71 | 5.88 |
| NET ASSETS | | | | 3002.90 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.2021 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3328 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.2453 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3995 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7705 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8612 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.11.8031 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.9171 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.48 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-D (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 125000 | 126.07 | 5.00 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 30000 | 30.13 | 1.20 |
| GOVERNMENT SECURITIES TOTAL | | | | 156.20 | 6.20 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 41 | 410.32 | 16.28 |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 40 | 402.09 | 15.96 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 40 | 402.02 | 15.96 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 30 | 302.09 | 11.99 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 20 | 248.95 | 9.88 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 20 | 201.23 | 7.99 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 11000 | 135.52 | 5.38 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 12 | 120.74 | 4.79 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 2222.96 | 88.22 |
| B) REPO | | | | 7.49 | 0.30 |
| PORTFOLIO TOTAL | | | | 2386.65 | 94.72 |
| CASH / NET CURRENT ASSET | | | | 133.03 | 5.28 |
| NET ASSETS | | | | 2519.68 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.1928 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3259 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.2452 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.4782 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7633 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8566 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.11.8051 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.9950 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.48 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-E (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 115000 | 115.98 | 5.40 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 75000 | 75.31 | 3.51 |
| GOVERNMENT SECURITIES TOTAL | | | | 191.29 | 8.91 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 35 | 350.27 | 16.32 |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 34 | 341.72 | 15.92 |
| ** 08.95 % POWER FINANCE CORPORATION - 11/03/2018 | CRISIL- AAA | INE134E08FK4 | 32 | 322.79 | 15.04 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 25000 | 307.99 | 14.35 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 26 | 261.59 | 12.19 |
| ** HERO FINCORP LTD - 18/04/2018 - ZCB | ICRA- AA+ | INE957N07062 | 17 | 211.22 | 9.84 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 4 | 40.25 | 1.87 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/11/2017 | CRISIL- AAA(SO) | INE310L07332 | 26 | 26.16 | 1.22 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2018 | CRISIL- AAA(SO) | INE310L07373 | 10 | 10.19 | 0.47 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 1872.18 | 87.21 |
| B) REPO | | | | 7.49 | 0.35 |
| PORTFOLIO TOTAL | | | | 2070.96 | 96.47 |
| CASH / NET CURRENT ASSET | | | | 75.75 | 3.53 |
| NET ASSETS | | | | 2146.71 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.1659 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.2931 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.3627 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7386 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8261 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.8835 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.47 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-F (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 343600 | 346.54 | 4.91 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 210000 | 210.88 | 2.99 |
| SDL TAMILNADU 8.12% (25/02/2018) | SOV | IN3120070088 | 90000 | 90.57 | 1.28 |
| GOVERNMENT SECURITIES TOTAL | | | | 647.99 | 9.19 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.11 % NABHA POWER LTD - 10/04/2018 | ICRA- AAA(SO) | INE445L08219 | 115 | 1155.81 | 16.39 |
| ** 08.95 % POWER FINANCE CORPORATION - 11/03/2018 | CRISIL- AAA | INE134E08FK4 | 111 | 1119.69 | 15.88 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 110 | 1106.81 | 15.70 |
| ** HERO FINCORP LTD - 18/04/2018 - ZCB | ICRA- AA+ | INE957N07062 | 87 | 1080.94 | 15.33 |
| ** 08.92 % L&T FINANCE LTD - 23/04/2018 | ICRA- AA+ | INE759E07566 | 34 | 857.28 | 12.16 |
| ** 09.25 % HDFC LTD - 26/02/2018 | ICRA- AAA | INE001A07KI9 | 56 | 564.53 | 8.01 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2018 | CRISIL- AAA(SO) | INE310L07381 | 72 | 73.57 | 1.04 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 5958.63 | 84.51 |
| B) REPO | | | | 18.18 | 0.26 |
| PORTFOLIO TOTAL | | | | 6624.80 | 93.96 |
| CASH / NET CURRENT ASSET | | | | 426.03 | 6.04 |
| NET ASSETS | | | | 7050.83 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.1202 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.2385 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.3102 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7123 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.7929 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.8515 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.43 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-H (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 90000 | 90.77 | 5.47 |
| SDL KARNATAKA 8.84% (05/12/2017) | SOV | IN1920120061 | 70000 | 70.29 | 4.24 |
| SDL ANDHRA PRADESH 8.41% (27/03/2018) | SOV | IN1020070091 | 20000 | 20.19 | 1.22 |
| GOVERNMENT SECURITIES TOTAL | | | | 181.25 | 10.92 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 25 | 252.63 | 15.23 |
| ** 08.90 % POWER FINANCE CORPORATION - 18/03/2018 | CRISIL- AAA | INE134E08FM0 | 25 | 252.23 | 15.20 |
| ** 09.25 % HDFC LTD - 26/02/2018 | ICRA- AAA | INE001A07KI9 | 25 | 252.02 | 15.19 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 25 | 250.19 | 15.08 |
| ** 08.86 % L&T FINANCE LTD - 07/05/2018 | ICRA- AA+ | INE523E07BM5 | 8 | 201.70 | 12.16 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 7000 | 86.24 | 5.20 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2018 | CRISIL- AAA(SO) | INE310L07373 | 22 | 22.42 | 1.35 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/02/2018 | CRISIL- AAA(SO) | INE310L07365 | 20 | 20.33 | 1.23 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/04/2018 | CRISIL- AAA(SO) | INE310L07381 | 6 | 6.13 | 0.37 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 1343.89 | 81.00 |
| B) REPO | | | | 8.56 | 0.52 |
| PORTFOLIO TOTAL | | | | 1533.70 | 92.44 |
| CASH / NET CURRENT ASSET | | | | 125.49 | 7.56 |
| NET ASSETS | | | | 1659.19 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.1771 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.2697 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.13.3445 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7526 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8057 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.12.8696 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.44 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

## TATA FIXED MATURITY PLAN-SERIES 47 SCHEME-J (CLOSE ENDED SCHEME)

| NAME OF THE INSTRUMENT | RATINGS | ISIN CODE | QUANTITY | MKT VAL (Rs. Lacs) | % to NAV |
|---|---|---|---|---|---|
| DEBT INSTRUMENTS | | | | | |
| A) LISTED/AWAITING LISTING ON STOCK EXCHANGES | | | | | |
| (I) GOVERNMENT SECURITIES | | | | | |
| SDL RAJASTHAN UDAY 8.39% (15/03/2018) | SOV | IN2920150272 | 173000 | 174.48 | 5.14 |
| SDL ANDHRA PRADESH 8.41% (27/03/2018) | SOV | IN1020070091 | 50000 | 50.49 | 1.49 |
| GOVERNMENT SECURITIES TOTAL | | | | 224.97 | 6.63 |
| (II) NON-CONVERTIBLE DEBENTURES/BONDS/ZCB | | | | | |
| ** 08.03 % POWER FINANCE CORPORATION - 09/04/2018 | CRISIL- AAA | INE134E08HH6 | 55 | 552.87 | 16.29 |
| ** 07.95 % STEEL AUTHORITY OF INDIA LTD - 09/04/2018 | IND AA- | INE114A07893 | 55 | 550.43 | 16.22 |
| ** 08.95 % NABHA POWER LTD - 09/04/2018 | ICRA- AAA(SO) | INE445L08185 | 50 | 505.26 | 14.88 |
| ** 08.71 % MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD - 10/04/2018 | CRISIL- AA+ | INE774D07LL7 | 41 | 412.86 | 12.16 |
| ** BAJAJ FINANCE LTD - 10/04/2018 - ZCB | CRISIL- AAA | INE296A07GC6 | 27 | 336.08 | 9.90 |
| ** 08.90 % TATA CAPITAL HOUSING FINANCE LTD - 10/04/2018 | ICRA- AA+ | INE033L07BP3 | 27 | 271.66 | 8.00 |
| ** IL&FS LTD - 10/04/2018 - ZCB | ICRA- AAA | INE871D07NW4 | 14000 | 172.48 | 5.08 |
| ** 08.70 % RURAL ELECTRIFICATION CORP. LTD - 01/02/2018 | CRISIL- AAA | INE020B08815 | 15 | 150.93 | 4.45 |
| ** 09.84 % IOT UTKAL ENERGY SERVICES LTD - 20/03/2018 | CRISIL- AAA(SO) | INE310L07373 | 10 | 10.19 | 0.30 |
| NON-CONVERTIBLE DEBENTURES/BONDS/ZCB TOTAL | | | | 2962.76 | 87.28 |
| B) REPO | | | | 5.35 | 0.16 |
| PORTFOLIO TOTAL | | | | 3193.08 | 94.07 |
| CASH / NET CURRENT ASSET | | | | 201.40 | 5.93 |
| NET ASSETS | | | | 3394.48 | 100.00 |

* % OF MARKET VALUE OF SECURITIES TO NET ASSETS IS < 0.01
** THINLY TRADED / NON TRADED SECURITIES / ILLIQUID SECURITIES
TOTAL NPA PROVIDED FOR: NIL
TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS: NIL
NAV AS ON 30-SEP-17: RS.12.2076 (REGULAR PLAN - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3172 (REGULAR PLAN - GROWTH)
NAV AS ON 30-SEP-17: RS.12.2496 (DIRECT - DIVIDEND)
NAV AS ON 30-SEP-17: RS.13.3887 (DIRECT - GROWTH)
NAV AS ON 31-MAR-17: RS.11.7756 (REGULAR PLAN - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.8459 (REGULAR PLAN - GROWTH)
NAV AS ON 31-MAR-17: RS.11.8083 (DIRECT - DIVIDEND)
NAV AS ON 31-MAR-17: RS.12.9064 (DIRECT - GROWTH)
AVERAGE MATURITY : 0.48 YEARS
DIVIDEND DECLARED: NIL
TOTAL INVESTMENTS IN FOREIGN SECURITIES / ADRS / GDRS: NIL

**Note:-** None of the schemes of Tata Mutual Fund have participated in Credit Default Swaps (CDS) and Repo transactions of corporate debt securities. There were no Intra Scheme investment in the current half yearly period.

TATA

## UNAUDITED HALF YEARLY PORTFOLIO AS ON SEPTEMBER 30, 2017 (As per SEBI Mutual Fund Regulation 59 A)

**TWELFTH SCHEDULE [ PURSUANT TO REGULATION 59 OF SECURITIES AND EXCHANGE BOARD OF INDIA (MUTUAL FUNDS) REGULATIONS, 1996 ]**

TATA MUTUAL FUND

## DIVIDEND HISTORY

### TATA BALANCED FUND REGULAR PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 17-Apr-17 | 68.3471 | 0.4600 | 0.4600 |
| 5-May-17 | 68.7184 | 0.4600 | 0.4600 |
| 2-Jun-17 | 68.8286 | 0.4600 | 0.4600 |
| 7-Jul-17 | 68.7959 | 0.4600 | 0.4600 |
| 4-Aug-17 | 69.8856 | 0.4700 | 0.4700 |
| 8-Sep-17 | 68.7454 | 0.4600 | 0.4600 |

### TATA BALANCED FUND DIRECT PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 17-Apr-17 | 70.4418 | 0.4600 | 0.4600 |
| 5-May-17 | 70.8731 | 0.4600 | 0.4600 |
| 2-Jun-17 | 71.0544 | 0.4600 | 0.4600 |
| 7-Jul-17 | 71.1174 | 0.4600 | 0.4600 |
| 4-Aug-17 | 72.3444 | 0.4700 | 0.4700 |
| 8-Sep-17 | 71.2871 | 0.4600 | 0.4600 |

### TATA EQUITY P/E FUND REGULAR PLAN - DIVIDEND TRIGGER A (5%)

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 13-Apr-17 | 57.4249 | 1.2000 | 1.2000 |
| 21-Jul-17 | 59.3215 | 1.2000 | 1.2000 |

### TATA EQUITY P/E FUND DIRECT PLAN - DIVIDEND TRIGGER A (5%)

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 13-Apr-17 | 57.9403 | 1.2000 | 1.2000 |
| 21-Jul-17 | 60.0022 | 1.2000 | 1.2000 |

### TATA EQUITY P/E FUND REGULAR PLAN - DIVIDEND TRIGGER B(10%)

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 1-May-17 | 54.5858 | 1.1000 | 1.1000 |
| 1-May-17 | 56.6123 | 1.1000 | 1.1000 |

### TATA ULTRA SHORT TERM FUND REGULAR PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1003.5288-1003.5288 | 3.9597 | 3.6683 |
| May-17 | 1003.5288-1003.5288 | 4.3842 | 4.062 |
| Jun-17 | 1003.5288-1003.5288 | 4.338 | 4.015 |
| Jul-17 | 1003.5288-1003.5288 | 5.1667 | 4.7865 |
| Aug-17 | 1003.5288-1003.5288 | 4.0728 | 3.7736 |
| Sep-17 | 1003.5288-1003.5288 | 3.3579 | 3.1107 |

### TATA ULTRA SHORT TERM FUND DIRECT PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1003.5316-1003.5316 | 4.0779 | 3.7779 |
| May-17 | 1003.5316-1003.5316 | 4.5075 | 4.176 |
| Jun-17 | 1003.5316-1003.5316 | 4.453 | 4.1258 |
| Jul-17 | 1003.5316-1003.5316 | 5.2912 | 4.9022 |
| Aug-17 | 1003.5316-1003.5316 | 4.1955 | 3.8875 |
| Sep-17 | 1003.5316-1003.5316 | 3.4770 | 3.2213 |

### TATA ULTRA SHORT TERM FUND REGULAR PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 5-Apr-17 | 1,008.0658 | 1.6871 | 1.563 |
| 11-Apr-17 | 1,008.0658 | 0.6097 | 0.5649 |
| 18-Apr-17 | 1,008.0658 | 1.0619 | 0.9839 |
| 25-Apr-17 | 1,008.0658 | 0.8878 | 0.8225 |
| 2-May-17 | 1,008.0658 | 0.7639 | 0.7077 |
| 9-May-17 | 1,008.0658 | 0.8347 | 0.7734 |
| 16-May-17 | 1,008.0658 | 1.0192 | 0.9443 |
| 23-May-17 | 1,008.0658 | 1.0769 | 0.9977 |
| 30-May-17 | 1,008.0658 | 1.1014 | 1.0204 |
| 6-Jun-17 | 1,008.0658 | 1.0495 | 0.9723 |
| 13-Jun-17 | 1,008.0658 | 1.4099 | 1.3062 |
| 20-Jun-17 | 1,008.0658 | 0.9804 | 0.9083 |
| 27-Jun-17 | 1,008.0658 | 0.8291 | 0.7681 |
| 4-Jul-17 | 1,008.0658 | 0.6328 | 0.5863 |
| 11-Jul-17 | 1,008.0658 | 1.4882 | 1.3787 |
| 18-Jul-17 | 1,008.0658 | 1.3086 | 1.2124 |
| 25-Jul-17 | 1,008.0658 | 1.1939 | 1.1061 |
| 1-Aug-17 | 1,008.0658 | 0.9576 | 0.8872 |
| 8-Aug-17 | 1,008.0658 | 1.1103 | 1.0287 |
| 16-Aug-17 | 1,008.0658 | 0.7153 | 0.6627 |
| 22-Aug-17 | 1,008.0658 | 0.8806 | 0.8158 |
| 29-Aug-17 | 1,008.0658 | 0.9016 | 0.8353 |
| 5-Sep-17 | 1,008.0658 | 1.1724 | 1.0862 |
| 12-Sep-17 | 1,008.0658 | 0.7651 | 0.7088 |
| 19-Sep-17 | 1,008.0658 | 0.6895 | 0.6389 |
| 26-Sep-17 | 1,008.0658 | 0.5922 | 0.5486 |

### TATA ULTRA SHORT TERM FUND DIRECT PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 5-Apr-17 | 1,008.0658 | 1.7144 | 1.5884 |
| 11-Apr-17 | 1,008.0658 | 0.6336 | 0.5871 |
| 18-Apr-17 | 1,008.0658 | 1.0899 | 1.0098 |
| 25-Apr-17 | 1,008.0658 | 0.9153 | 0.8480 |
| 2-May-17 | 1,008.0658 | 0.7917 | 0.7335 |
| 9-May-17 | 1,008.0658 | 0.8627 | 0.7993 |
| 16-May-17 | 1,008.0658 | 1.0471 | 0.9702 |
| 23-May-17 | 1,008.0658 | 1.1048 | 1.0236 |
| 30-May-17 | 1,008.0658 | 1.1295 | 1.0464 |
| 6-Jun-17 | 1,008.0658 | 1.0775 | 0.9983 |
| 13-Jun-17 | 1,008.0658 | 1.4374 | 1.3317 |
| 20-Jun-17 | 1,008.0658 | 1.0084 | 0.9342 |
| 27-Jun-17 | 1,008.0658 | 0.8572 | 0.7942 |
| 4-Jul-17 | 1,008.0658 | 0.6625 | 0.6138 |
| 11-Jul-17 | 1,008.0658 | 1.5161 | 1.4046 |
| 18-Jul-17 | 1,008.0658 | 1.3367 | 1.2384 |
| 25-Jul-17 | 1,008.0658 | 1.2219 | 1.1321 |
| 1-Aug-17 | 1,008.0658 | 0.9855 | 0.9131 |
| 8-Aug-17 | 1,008.0658 | 1.1383 | 1.0546 |
| 16-Aug-17 | 1,008.0658 | 0.7473 | 0.6923 |
| 22-Aug-17 | 1,008.0658 | 0.9042 | 0.8377 |
| 29-Aug-17 | 1,008.0658 | 0.9297 | 0.8613 |
| 5-Sep-17 | 1,008.0658 | 1.2004 | 1.1122 |
| 12-Sep-17 | 1,008.0658 | 0.7930 | 0.7347 |
| 19-Sep-17 | 1,008.0658 | 0.7175 | 0.6647 |
| 26-Sep-17 | 1,008.0658 | 0.6201 | 0.5745 |

### TATA GILT SECURITIES FUND REGULAR PLAN - DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 14.9774 | 0.1589 | 0.1472 |
| 14-Sep-17 | 14.8618 | 0.1445 | 0.1338 |

### TATA GILT SECURITIES FUND DIRECT PLAN - DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 15.6149 | 0.1589 | 0.1472 |
| 14-Sep-17 | 15.5506 | 0.1445 | 0.1338 |

### TATA GILT MID TERM FUND REGULAR PLAN - QUARTERLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 15.6946 | 0.1517 | 0.1405 |
| 14-Sep-17 | 15.6738 | 0.1445 | 0.1338 |

### TATA GILT MID TERM FUND DIRECT PLAN - QUARTERLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 16.1522 | 0.1517 | 0.1405 |
| 14-Sep-17 | 16.1811 | 0.1445 | 0.1338 |

### TATA MEDIUM TERM FUND REGULAR PLAN - DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 13.3975 | 0.1517 | 0.1405 |
| 14-Sep-17 | 13.3851 | 0.1372 | 0.1271 |

### TATA MEDIUM TERM FUND DIRECT PLAN - DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 14.0110 | 0.1517 | 0.1405 |
| 14-Sep-17 | 14.0306 | 0.1372 | 0.1271 |

### TATA LONG TERM DEBT FUND REGULAR PLAN - HALF YEARLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 14-Sep-17 | 13.6611 | 0.3322 | 0.3078 |

### TATA LONG TERM DEBT FUND DIRECT PLAN - HALF YEARLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 14-Sep-17 | 14.1731 | 0.3322 | 0.3078 |

### TATA REGULAR SAVINGS EQUITY FUND REGULAR PLAN - MONTHLY (DIVIDEND)

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 17-Apr-17 | 14.6259 | 0.075 | 0.075 |
| 5-May-17 | 14.6477 | 0.075 | 0.075 |
| 2-Jun-17 | 14.6018 | 0.075 | 0.075 |
| 7-Jul-17 | 14.6006 | 0.085 | 0.085 |
| 4-Aug-17 | 14.5814 | 0.085 | 0.085 |
| 8-Sep-17 | 14.5536 | 0.085 | 0.085 |

### TATA REGULAR SAVINGS EQUITY FUND REGULAR PLAN - PERIODIC DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 14.8165 | 0.22 | 0.22 |

### TATA REGULAR SAVINGS EQUITY FUND DIRECT PLAN - PERIODIC DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 15.6701 | 0.22 | 0.22 |

### TATA REGULAR SAVINGS EQUITY FUND DIRECT PLAN - MONTHLY (DIVIDEND)

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 2-Jun-17 | 15.1806 | 0.075 | 0.075 |
| 7-Jul-17 | 15.1960 | 0.085 | 0.085 |
| 4-Aug-17 | 15.1935 | 0.085 | 0.085 |
| 8-Sep-17 | 15.1839 | 0.085 | 0.085 |

### TATA LONG TERM DEBT FUND REGULAR PLAN - QUARTERLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 11.9170 | 0.1445 | 0.1338 |
| 14-Sep-17 | 11.8544 | 0.13 | 0.1204 |

### TATA LONG TERM DEBT FUND DIRECT PLAN - QUARTERLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 15-Jun-17 | 12.1630 | 0.1445 | 0.1338 |
| 14-Sep-17 | 12.1377 | 0.13 | 0.1204 |

### TATA LIQUID FUND HIGH INV - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1114.3379-1114.3379 | 3.9561 | 3.6654 |
| May-17 | 1114.3379-1114.3379 | 4.1299 | 3.8264 |
| Jun-17 | 1114.3379-1114.3379 | 3.9663 | 3.6746 |
| Jul-17 | 1114.3379-1114.3379 | 4.177 | 3.8699 |
| Aug-17 | 1114.3379-1114.3379 | 4.0527 | 3.7547 |
| Sep-17 | 1114.3379-1114.3379 | 3.9324 | 3.6431 |

### TATA LIQUID FUND - FORTNIGHTLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 12-Apr-17 | 1,144.9739 | 1.7842 | 1.653 |
| 26-Apr-17 | 1,144.9739 | 1.8032 | 1.6706 |
| 15-May-17 | 1,144.9739 | 2.4678 | 2.2864 |
| 29-May-17 | 1,144.9739 | 1.8302 | 1.6957 |
| 14-Jun-17 | 1,144.9739 | 2.0556 | 1.9044 |
| 28-Jun-17 | 1,144.9739 | 1.8327 | 1.6979 |
| 14-Jul-17 | 1,144.9739 | 2.1615 | 2.0025 |
| 27-Jul-17 | 1,144.9739 | 1.6814 | 1.5578 |
| 11-Aug-17 | 1,144.9739 | 1.9485 | 1.8053 |
| 29-Aug-17 | 1,144.9739 | 2.2787 | 2.1111 |
| 13-Sep-17 | 1,144.9739 | 1.888 | 1.7492 |
| 27-Sep-17 | 1,144.9739 | 1.8043 | 1.6716 |

### TATA LIQUID HIGH INV.FUND WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 4-Apr-17 | 1,146.5173 | 1.0456 | 0.9688 |
| 11-Apr-17 | 1,146.5173 | 0.962 | 0.8913 |
| 18-Apr-17 | 1,146.5173 | 0.9475 | 0.8778 |
| 25-Apr-17 | 1,146.5173 | 0.9431 | 0.8738 |
| 2-May-17 | 1,146.5173 | 0.9418 | 0.8725 |
| 9-May-17 | 1,146.5173 | 0.925 | 0.8570 |
| 16-May-17 | 1,146.5173 | 0.9909 | 0.9181 |
| 23-May-17 | 1,146.5173 | 0.9653 | 0.8943 |
| 30-May-17 | 1,146.5173 | 0.9532 | 0.8832 |
| 6-Jun-17 | 1,146.5173 | 0.944 | 0.8746 |
| 13-Jun-17 | 1,146.5173 | 0.9419 | 0.8727 |
| 20-Jun-17 | 1,146.5173 | 0.9552 | 0.8850 |
| 27-Jun-17 | 1,146.5173 | 0.9657 | 0.8947 |
| 4-Jul-17 | 1,146.5173 | 0.9956 | 0.9224 |
| 11-Jul-17 | 1,146.5173 | 0.9883 | 0.9156 |
| 18-Jul-17 | 1,146.5173 | 0.9652 | 0.8943 |

### TATA LIQUID FUND DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1116.8143-1116.8143 | 3.7765 | 3.4987 |
| May-17 | 1116.8143-1116.8143 | 3.9498 | 3.6593 |
| Jun-17 | 1116.8143-1116.8143 | 3.7834 | 3.5055 |
| Jul-17 | 1116.8143-1116.8143 | 3.9936 | 3.7000 |
| Aug-17 | 1116.8143-1116.8143 | 3.8653 | 3.5813 |
| Sep-17 | 1116.8143-1116.8143 | 3.7526 | 3.4767 |

### TATA LIQUID FUND REGULAR PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1114.5200-1114.5200 | 4.2347 | 3.9233 |
| May-17 | 1114.5200-1114.5200 | 4.4174 | 4.0929 |
| Jun-17 | 1114.5200-1114.5200 | 4.2676 | 3.9536 |
| Jul-17 | 1114.5200-1114.5200 | 4.4938 | 4.1635 |
| Aug-17 | 1114.5200-1114.5200 | 4.3591 | 4.0384 |
| Sep-17 | 1114.5200-1114.5200 | 4.2212 | 3.9108 |

### TATA LIQUID FUND DIRECT PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1114.5200-1114.5200 | 4.2673 | 3.9538 |
| May-17 | 1114.5200-1114.5200 | 4.4521 | 4.1244 |
| Jun-17 | 1114.5200-1114.5200 | 4.3002 | 3.9842 |
| Jul-17 | 1114.5200-1114.5200 | 4.5308 | 4.1980 |
| Aug-17 | 1114.5200-1114.5200 | 4.3937 | 4.0706 |
| Sep-17 | 1114.5200-1114.5200 | 4.2552 | 3.9421 |

### TATA LIQUID FUND REGULAR PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 26-Apr-17 | 1,000.0000 | 3.5124 | 3.2541 |
| 29-May-17 | 1,000.0000 | 4.2116 | 3.902 |
| 28-Jun-17 | 1,000.0000 | 3.8442 | 3.5616 |
| 27-Jul-17 | 1,000.0000 | 3.7917 | 3.513 |
| 29-Aug-17 | 1,000.0000 | 4.1802 | 3.8729 |
| 27-Sep-17 | 1,000.0000 | 3.6417 | 3.374 |

### TATA LIQUID FUND DIRECT PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 26-Apr-17 | 1,000.0000 | 3.5402 | 3.2799 |
| 29-May-17 | 1,000.0000 | 4.245 | 3.9329 |
| 28-Jun-17 | 1,000.0000 | 3.8741 | 3.5893 |
| 27-Jul-17 | 1,000.0000 | 3.823 | 3.542 |
| 29-Aug-17 | 1,000.0000 | 4.2135 | 3.9038 |
| 27-Sep-17 | 1,000.0000 | 3.6713 | 3.4014 |

### TATA LIQUID FUND REGULAR PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 4-Apr-17 | 1,000.6798 | 0.9721 | 0.9006 |
| 11-Apr-17 | 1,000.6798 | 0.8992 | 0.8331 |
| 18-Apr-17 | 1,000.6798 | 0.886 | 0.8209 |
| 25-Apr-17 | 1,000.6798 | 0.8819 | 0.8171 |
| 2-May-17 | 1,000.6798 | 0.8808 | 0.8160 |
| 9-May-17 | 1,000.6798 | 0.8638 | 0.8003 |
| 16-May-17 | 1,000.6798 | 0.9205 | 0.8528 |
| 23-May-17 | 1,000.6798 | 0.9016 | 0.8353 |
| 30-May-17 | 1,000.6798 | 0.8953 | 0.8295 |
| 6-Jun-17 | 1,000.6798 | 0.8902 | 0.8247 |
| 13-Jun-17 | 1,000.6798 | 0.886 | 0.8209 |
| 20-Jun-17 | 1,000.6798 | 0.8955 | 0.8297 |
| 27-Jun-17 | 1,000.6798 | 0.9061 | 0.8395 |
| 4-Jul-17 | 1,000.6798 | 0.931 | 0.8626 |
| 11-Jul-17 | 1,000.6798 | 0.9273 | 0.8591 |
| 18-Jul-17 | 1,000.6798 | 0.9103 | 0.8434 |
| 25-Jul-17 | 1,000.6798 | 0.8969 | 0.8310 |
| 1-Aug-17 | 1,000.6798 | 0.8807 | 0.8159 |
| 8-Aug-17 | 1,000.6798 | 0.907 | 0.8403 |
| 15-Aug-17 | 1,000.6798 | 0.8877 | 0.8225 |
| 22-Aug-17 | 1,000.6798 | 0.8807 | 0.8160 |
| 29-Aug-17 | 1,000.6798 | 0.87 | 0.8061 |
| 5-Sep-17 | 1,000.6798 | 0.8629 | 0.7995 |
| 12-Sep-17 | 1,000.6798 | 0.8652 | 0.8016 |
| 19-Sep-17 | 1,000.6798 | 0.8887 | 0.8233 |
| 26-Sep-17 | 1,000.6798 | 0.8903 | 0.8249 |

### TATA LIQUID FUND DIRECT PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 4-Apr-17 | 1,000.6798 | 0.9827 | 0.9105 |
| 11-Apr-17 | 1,000.6798 | 0.906 | 0.8394 |
| 18-Apr-17 | 1,000.6798 | 0.8929 | 0.8273 |
| 25-Apr-17 | 1,000.6798 | 0.8888 | 0.8235 |
| 2-May-17 | 1,000.6798 | 0.8853 | 0.8202 |
| 9-May-17 | 1,000.6798 | 0.8709 | 0.8069 |
| 16-May-17 | 1,000.6798 | 0.927 | 0.8589 |
| 23-May-17 | 1,000.6798 | 0.9087 | 0.8419 |
| 30-May-17 | 1,000.6798 | 0.9024 | 0.8361 |
| 6-Jun-17 | 1,000.6798 | 0.897 | 0.8310 |
| 13-Jun-17 | 1,000.6798 | 0.893 | 0.8274 |
| 20-Jun-17 | 1,000.6798 | 0.9025 | 0.8362 |
| 27-Jun-17 | 1,000.6798 | 0.9129 | 0.8458 |
| 4-Jul-17 | 1,000.6798 | 0.9405 | 0.8713 |
| 11-Jul-17 | 1,000.6798 | 0.9339 | 0.8652 |
| 18-Jul-17 | 1,000.6798 | 0.9174 | 0.8500 |
| 25-Jul-17 | 1,000.6798 | 0.9038 | 0.8374 |
| 1-Aug-17 | 1,000.6798 | 0.8877 | 0.8225 |
| 8-Aug-17 | 1,000.6798 | 0.9142 | 0.8470 |
| 15-Aug-17 | 1,000.6798 | 0.8931 | 0.8274 |
| 22-Aug-17 | 1,000.6798 | 0.8877 | 0.8224 |
| 29-Aug-17 | 1,000.6798 | 0.883 | 0.8181 |
| 5-Sep-17 | 1,000.6798 | 0.87 | 0.8061 |
| 12-Sep-17 | 1,000.6798 | 0.8726 | 0.8084 |
| 19-Sep-17 | 1,000.6798 | 0.8958 | 0.8299 |
| 26-Sep-17 | 1,000.6798 | 0.8974 | 0.8314 |

### TATA MONEY MARKET FUND REGULAR PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1001.5157-1001.5157 | 3.8199 | 3.5395 |
| May-17 | 1001.5157-1001.5157 | 3.985 | 3.6922 |
| Jun-17 | 1001.5157-1001.5157 | 3.8595 | 3.5754 |
| Jul-17 | 1001.5157-1001.5157 | 4.0221 | 3.7264 |
| Aug-17 | 1001.5157-1001.5157 | 3.9333 | 3.6440 |
| Sep-17 | 1001.5157-1001.5157 | 3.8031 | 3.5234 |

### TATA MONEY MARKET FUND DIRECT PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1001.5187-1001.5187 | 3.8499 | 3.5667 |
| May-17 | 1001.5187-1001.5187 | 4.0158 | 3.7208 |
| Jun-17 | 1001.5187-1001.5187 | 3.8892 | 3.6030 |
| Jul-17 | 1001.5187-1001.5187 | 4.0555 | 3.7577 |
| Aug-17 | 1001.5187-1001.5187 | 3.9645 | 3.6730 |
| Sep-17 | 1001.5187-1001.5187 | 3.8336 | 3.5514 |

### TATA MONEY MARKET FUND - INCOME

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 4-Apr-17 | 1,014.8825 | 0.8919 | 0.8264 |
| 11-Apr-17 | 1,014.8825 | 0.8287 | 0.7677 |
| 18-Apr-17 | 1,014.8825 | 0.8086 | 0.7491 |
| 25-Apr-17 | 1,014.8825 | 0.8063 | 0.7471 |
| 2-May-17 | 1,014.8825 | 0.8157 | 0.7558 |
| 9-May-17 | 1,014.8825 | 0.7977 | 0.7390 |
| 16-May-17 | 1,014.8825 | 0.8522 | 0.7895 |
| 23-May-17 | 1,014.8825 | 0.8328 | 0.7716 |
| 30-May-17 | 1,014.8825 | 0.8148 | 0.7549 |
| 6-Jun-17 | 1,014.8825 | 0.8177 | 0.7576 |
| 13-Jun-17 | 1,014.8825 | 0.8206 | 0.7602 |
| 20-Jun-17 | 1,014.8825 | 0.822 | 0.7616 |
| 27-Jun-17 | 1,014.8825 | 0.8371 | 0.7756 |
| 4-Jul-17 | 1,014.8825 | 0.8607 | 0.7974 |
| 11-Jul-17 | 1,014.8825 | 0.857 | 0.7940 |
| 18-Jul-17 | 1,014.8825 | 0.8258 | 0.7651 |
| 25-Jul-17 | 1,014.8825 | 0.8096 | 0.7501 |
| 1-Aug-17 | 1,014.8825 | 0.7914 | 0.7332 |
| 8-Aug-17 | 1,014.8825 | 0.8387 | 0.7770 |
| 15-Aug-17 | 1,014.8825 | 0.814 | 0.7541 |
| 22-Aug-17 | 1,014.8825 | 0.7972 | 0.7386 |
| 29-Aug-17 | 1,014.8825 | 0.7894 | 0.7313 |
| 5-Sep-17 | 1,014.8825 | 0.7917 | 0.7335 |
| 12-Sep-17 | 1,014.8825 | 0.7953 | 0.7368 |
| 19-Sep-17 | 1,014.8825 | 0.8034 | 0.7444 |
| 26-Sep-17 | 1,014.8825 | 0.8144 | 0.7546 |

### TATA SHORT TERM BOND FUND REGULAR PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 12-Apr-17 | 14.8399 | 0.0152 | 0.0141 |
| 26-Apr-17 | 14.8407 | 0.0152 | 0.0141 |
| 15-May-17 | 14.8779 | 0.0159 | 0.0147 |
| 29-May-17 | 14.9032 | 0.0144 | 0.0134 |
| 14-Jun-17 | 14.9958 | 0.0152 | 0.0141 |
| 28-Jun-17 | 14.9900 | 0.0144 | 0.0134 |
| 14-Jul-17 | 15.0280 | 0.0144 | 0.0134 |
| 27-Jul-17 | 15.0662 | 0.0144 | 0.0134 |
| 29-Aug-17 | 15.1261 | 0.0289 | 0.0268 |
| 27-Sep-17 | 15.1187 | 0.0303 | 0.0281 |

### TATA SHORT TERM BOND FUND DIRECT PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 12-Apr-17 | 15.2969 | 0.0152 | 0.0141 |
| 26-Apr-17 | 15.3032 | 0.0152 | 0.0141 |
| 15-May-17 | 15.3486 | 0.0159 | 0.0147 |
| 29-May-17 | 15.3803 | 0.0144 | 0.0134 |
| 14-Jun-17 | 15.4821 | 0.0152 | 0.0141 |
| 28-Jun-17 | 15.4818 | 0.0144 | 0.0134 |
| 14-Jul-17 | 15.5274 | 0.0144 | 0.0134 |
| 27-Jul-17 | 15.5721 | 0.0144 | 0.0134 |
| 29-Aug-17 | 15.6462 | 0.0289 | 0.0268 |
| 27-Sep-17 | 15.6503 | 0.0303 | 0.0281 |

### TATA CORPORATE BOND FUND REGULAR PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1008.4750-1008.4750 | 3.5939 | 3.3298 |
| May-17 | 1008.4750-1008.4750 | 4.332 | 4.0133 |
| Jun-17 | 1008.4750-1008.4750 | 4.6509 | 4.3094 |
| Jul-17 | 1008.4750-1008.4750 | 5.472 | 5.0730 |
| Aug-17 | 1008.4750-1008.4750 | 4.1947 | 3.8861 |
| Sep-17 | 1008.4750-1008.4750 | 3.0383 | 2.8150 |

### TATA CORPORATE BOND FUND DIRECT PLAN - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1008.5144-1008.5144 | 4.0716 | 3.7722 |
| May-17 | 1008.5144-1008.5144 | 4.7018 | 4.3558 |
| Jun-17 | 1008.5144-1008.5144 | 5.0083 | 4.6399 |
| Jul-17 | 1008.5144-1008.5144 | 5.8446 | 5.4152 |
| Aug-17 | 1008.5144-1008.5144 | 4.5639 | 4.2281 |
| Sep-17 | 1008.5144-1008.5144 | 3.3949 | 3.1456 |

### TATA CORPORATE BOND FUND REGULAR PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 26-Apr-17 | 1,001.5000 | 3.4971 | 3.2400 |
| 29-May-17 | 1,001.5000 | 4.5156 | 4.1836 |
| 28-Jun-17 | 1,001.5000 | 4.8361 | 4.4806 |
| 27-Jul-17 | 1,001.5000 | 5.1952 | 4.8132 |
| 29-Aug-17 | 1,001.5000 | 4.1930 | 3.8847 |
| 27-Sep-17 | 1,001.5000 | 2.9970 | 2.7767 |

### TATA CORPORATE BOND FUND DIRECT PLAN - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 26-Apr-17 | 1,001.5000 | 3.9444 | 3.6544 |
| 29-May-17 | 1,001.5000 | 4.9070 | 4.5462 |
| 28-Jun-17 | 1,001.5000 | 5.1939 | 4.8120 |
| 27-Jul-17 | 1,001.5000 | 5.4803 | 5.0774 |
| 29-Aug-17 | 1,001.5000 | 4.5850 | 4.2479 |
| 27-Sep-17 | 1,001.5000 | 3.3366 | 3.0913 |

### TATA CORPORATE BOND FUND REGULAR PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 5-Apr-17 | 1,010.7348 | 1.5116 | 1.4004 |
| 11-Apr-17 | 1,010.7348 | 0.4194 | 0.3886 |
| 18-Apr-17 | 1,010.7348 | 1.1359 | 1.0524 |
| 25-Apr-17 | 1,010.7348 | 0.795 | 0.7365 |
| 2-May-17 | 1,010.7348 | 0.7194 | 0.6666 |
| 9-May-17 | 1,010.7348 | 0.759 | 0.7032 |
| 16-May-17 | 1,010.7348 | 1.0474 | 0.9704 |
| 23-May-17 | 1,010.7348 | 0.9457 | 0.8761 |
| 30-May-17 | 1,010.7348 | 1.1493 | 1.0648 |
| 6-Jun-17 | 1,010.7348 | 1.205 | 1.1164 |
| 13-Jun-17 | 1,010.7348 | 1.8317 | 1.6971 |
| 20-Jun-17 | 1,010.7348 | 0.8499 | 0.7874 |
| 27-Jun-17 | 1,010.7348 | 0.7491 | 0.6940 |
| 4-Jul-17 | 1,010.7348 | 0.638 | 0.5911 |
| 11-Jul-17 | 1,010.7348 | 1.7862 | 1.6549 |
| 18-Jul-17 | 1,010.7348 | 1.2807 | 1.1866 |
| 25-Jul-17 | 1,010.7348 | 1.3138 | 1.2172 |
| 1-Aug-17 | 1,010.7348 | 0.8124 | 0.7527 |
| 8-Aug-17 | 1,010.7348 | 1.1667 | 1.0809 |
| 16-Aug-17 | 1,010.7348 | 0.7858 | 0.7281 |
| 22-Aug-17 | 1,010.7348 | 0.8414 | 0.7796 |
| 29-Aug-17 | 1,010.7348 | 0.8218 | 0.7614 |
| 5-Sep-17 | 1,010.7348 | 1.3854 | 1.2835 |
| 12-Sep-17 | 1,010.7348 | 0.7795 | 0.7222 |
| 19-Sep-17 | 1,010.7348 | 0.466 | 0.4317 |
| 26-Sep-17 | 1,010.7348 | 0.2973 | 0.2754 |

### TATA CORPORATE BOND FUND DIRECT PLAN - WEEKLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 5-Apr-17 | 1,011.6437 | 1.6377 | 1.5173 |
| 11-Apr-17 | 1,011.6437 | 0.5283 | 0.4894 |
| 18-Apr-17 | 1,011.6437 | 1.262 | 1.1692 |
| 25-Apr-17 | 1,011.6437 | 0.8922 | 0.8266 |
| 2-May-17 | 1,011.6437 | 0.8038 | 0.7447 |
| 9-May-17 | 1,011.6437 | 0.8438 | 0.7817 |
| 16-May-17 | 1,011.6437 | 1.1322 | 1.0489 |
| 23-May-17 | 1,011.6437 | 1.0302 | 0.9544 |
| 30-May-17 | 1,011.6437 | 1.2341 | 1.1434 |
| 6-Jun-17 | 1,011.6437 | 1.29 | 1.1951 |
| 13-Jun-17 | 1,011.6437 | 1.9173 | 1.7764 |
| 20-Jun-17 | 1,011.6437 | 0.9345 | 0.8658 |
| 27-Jun-17 | 1,011.6437 | 0.8333 | 0.7720 |
| 4-Jul-17 | 1,011.6437 | 0.7212 | 0.6682 |
| 11-Jul-17 | 1,011.6437 | 1.8724 | 1.7347 |
| 18-Jul-17 | 1,011.6437 | 1.3641 | 1.2639 |
| 25-Jul-17 | 1,011.6437 | 1.387 | 1.2850 |
| 1-Aug-17 | 1,011.6437 | 0.8964 | 0.8305 |
| 8-Aug-17 | 1,011.6437 | 1.2512 | 1.1592 |
| 16-Aug-17 | 1,011.6437 | 0.8824 | 0.8175 |
| 22-Aug-17 | 1,011.6437 | 0.9137 | 0.8465 |
| 29-Aug-17 | 1,011.6437 | 0.9059 | 0.8393 |
| 5-Sep-17 | 1,011.6437 | 1.4705 | 1.3624 |
| 12-Sep-17 | 1,011.6437 | 0.864 | 0.8005 |
| 19-Sep-17 | 1,011.6437 | 0.5499 | 0.5095 |
| 26-Sep-17 | 1,011.6437 | 0.3809 | 0.3529 |

### TATA CORPORATE BOND RETAIL INVESTMENT FUND - MONTHLY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| 26-Apr-17 | 1,002.0000 | 3.5694 | 3.3070 |
| 29-May-17 | 1,002.0000 | 4.4495 | 4.1224 |
| 28-Jun-17 | 1,002.0000 | 4.7773 | 4.4261 |
| 27-Jul-17 | 1,002.0000 | 5.1533 | 4.7744 |
| 29-Aug-17 | 1,002.0000 | 4.1282 | 3.8247 |
| 27-Sep-17 | 1,002.0000 | 2.9392 | 2.7231 |

### TATA CORPORATE BOND RETAIL INVESTMENT FUND - DAILY DIVIDEND

| Record Date | NAV as on Record Date | Dividend Per Unit (HUF & Individual) | Dividend Per Unit (Others) |
|---|---|---|---|
| Apr-17 | 1003.3596-1003.3596 | 3.6736 | 3.4037 |
| May-17 | 1003.3596-1003.3596 | 4.2848 | 3.9699 |
| Jun-17 | 1003.3596-1003.3596 | 4.6037 | 4.2652 |
| Jul-17 | 1003.3596-1003.3596 | 5.4124 | 5.0147 |
| Aug-17 | 1003.3596-1003.3596 | 4.1599 | 3.8536 |
| Sep-17 | 1003.3596-1003.3596 | 2.9868 | 2.7670 |

Dividend per unit is net of distribution tax applicable for investors other than individuals and HUF categories.

**The Half Yearly Unaudited Financial Results for the Period ended 30 September, 2017 are disclosed on our website www.tatamutualfund.com, Investors are requested to kindly refer the same.**

**Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.**