

TATA FIXED INVESTMENT PLAN - 4

Scheme A (Close Ended Debt Fund)



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HALF YEARLY PORTFOLIO STATEMENT AS ON SEPTEMBER 30, 2009 (As per SEBI Mutual Fund Regulation 59A)

Name of the Instrument	Ratings	Quantity	Mkt Val (Rs. Lacs)	% to NAV
DEBT INSTRUMENTS				
(A) LISTED/AWAITING LISTING ON STOCK EXCHANGES				
(i) GOVERNMENT SECURITIES			NIL	NIL
(ii) NON-CONVERTIBLE DEBENTURES/BONDS				
** RURAL ELECTRIFICATION CORP. LTD.	AAA	20	207.26	12.20
** INDIAN RAILWAY FINANCE CORP	LAAA	20	205.79	12.11
** EXIM	AAA	1	100.39	5.91
NON-CONVERTIBLE DEBENTURES/BONDS TOTAL			513.44	30.22
LISTED/AWAITING LISTING DEBT TOTAL			513.44	30.22
(B) PRIVATELY PLACED/UNLISTED NON-CONVERTIBLE DEBENTURES/BONDS				
** NABARD	AAA	20	203.79	11.99
PRIVATELY PLACED/UNLISTED TOTAL			203.79	11.99
(C) MONEY MARKET INSTRUMENTS				
** ICICI BANK LTD - CD	A1+	400	385.45	22.69
** EXIM - CP	P1+	60	299.78	17.64
** FEDERAL BANK LTD - CD	P1+	200	193.93	11.41
MONEY MARKET TOTAL			879.16	51.74
(D) REPO			69.06	4.06
PORTFOLIO TOTAL			1665.45	98.02
CASH/NET CURRENT ASSET			33.67	1.98
NET ASSETS			1699.12	100

* % OF MARKET VALUE OF SECURITY TO NET ASSET IS <0.01

** THINLY TRADED/NON TRADED SECURITIES/ILLIQUID SECURITIES

TOTAL NPA'S PROVIDED FOR :NIL

TOTAL OUTSTANDING EXPOSURE IN DERIVATIVE INSTRUMENTS :NIL

TOTAL INVESTMENTS IN FOREIGN SECURITIES/ADR'S/GDR'S :NIL

NAV AS ON 30.09.2009 Rs. 11.3207 RIP PERIODIC DIVIDEND

NAV AS ON 30.09.2009 Rs. 11.3211 RIP GROWTH

NAV AS ON 30.09.2009 Rs. 11.3207 HIP GROWTH

NAV AS ON 31.03.2009 Rs. 10.7472 RIP PERIODIC DIVIDEND

NAV AS ON 31.03.2009 Rs. 10.7476 RIP GROWTH

NAV AS ON 31.03.2009 Rs. 10.7472 HIP GROWTH

AVERAGE MATURITY 7.09 months

DIVIDEND DECLARED: NIL

RIP - Regular Investment Plan, **HIP** - High Investment Plan, **SHIP** - Super High Investment Plan

CP - Commercial Paper; **CD** - Certificate of Deposit

Statutory Details: **Constitution:** Tata Mutual Fund (TMF) has been set up as a Trust under the Indian Trust Act, 1882. **Sponsors & Settlers:** Tata Sons Limited and Tata Investment Corporation Limited. **Trustee:** Tata Trustee Company Private Limited

Investment Manager: Tata Asset Management Limited, Fort House, 221, Dr. D. N. Road, Mumbai 400 001 • **Tel:** 022-66578282. • **E-mail:** kiran@tataamc.com • **Website:** - www.tatamutualfund.com

Nature & Investment Objective: - A Close-ended Debt fund. The investment objective of the scheme is to generate regular income and / or capital appreciation by investing in wide range of Debt and Money Market instruments. **Risk Factors:** • As with any investment in securities, the NAV of the units issued under the Scheme can go up or down depending on the factors and forces affecting the capital markets. • Mutual Fund and securities investments are subject to market risks and there can be no assurance and no guarantee that the objectives of the Scheme will be achieved. • Past performance of the previous schemes, the Sponsors or its group affiliates is not indicative of and does not guarantee the future performance of the scheme. • The above is only the name of the Scheme and does not in any manner indicate either the quality of the Scheme, its future prospects or the returns. • The Sponsors are not responsible or liable for any loss resulting from the operations of the Mutual Fund beyond the contribution of an amount of Rs.1 lac made by them towards setting up of the Mutual Fund • Investments in debt securities are subject to interest rate risk, credit risk and liquidity risk. • **For scheme specific risk factors and other details please read the Scheme Information Document/Statement of Additional Information/Key Information Memorandum of the scheme carefully before investing.** The Portfolio Statement is approved by the Board of Directors of Tata Trustee Company Pvt. Ltd. & Tata Asset Management Ltd. on 29th October, 2009.