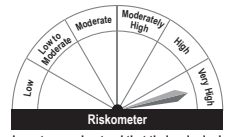


# Tata Small Cap Fund

(An open-ended Equity Scheme predominantly investing in small cap stocks)



Investors understand that their principal will be at Very High Risk

As on 30th June 2021

## INVESTMENT STYLE

An equity scheme with focus towards small cap stocks

## INVESTMENT OBJECTIVE

The investment objective of the scheme is to generate long term capital appreciation by predominantly investing in equity and equity related instruments of small cap companies. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme does not assure or guarantee any returns.

## DATE OF ALLOTMENT

November 12, 2018

## FUND MANAGER

Chandraprakash Padiyar (Managing Since 19-Oct-18 and overall experience of 20 years)

## ASSISTANT FUND MANAGER

Satish Chandra Mishra (Managing Since 1-Nov-19 and overall experience of 14 years)

## BENCHMARK

Nifty Smallcap 100 TRI

## NAV

Direct - IDCW	:	19.9061
Direct - Growth	:	19.9061
Regular - IDCW	:	18.9305
Regular - Growth	:	18.9305

## FUND SIZE

Rs. 1348.94 (Rs. in Cr.)

## MONTHLY AVERAGE AUM

Rs. 1289.04 (Rs. in Cr.)

## TURN OVER

Portfolio Turnover (Total)	29.61%
Portfolio Turnover (Equity component only)	29.61%

## EXPENSE RATIO\*\*

Direct	0.42
Regular	2.19

\*\*Note: The rates specified are actual month end expenses charged as on Jun 30, 2021. The above ratio includes the Service tax on Investment Management Fees. The above ratio excludes, proportionate charge (out of maximum 30 bps on daily average net assets allowed) in respect sales beyond T-30 cities assets, wherever applicable.

## VOLATILITY MEASURES ^ FUND BENCHMARK

Std. Dev (Annualised)	26.41	33.46
Sharpe Ratio	0.28	0.19
Portfolio Beta	0.75	1.00
R Squared	0.94	1.00
Treynor	2.78	1.79
Jenson	0.74	NA

^ Risk-free rate based on the FBIL Overnight MIBOR rate of 3.36% as on Jun 30, 2021

For calculation methodology please refer to Pg 68

## MINIMUM INVESTMENT/ MULTIPLES FOR NEW INVESTMENT

Rs. 5,000/- and in multiples of Re. 1/- thereafter.

## ADDITIONAL INVESTMENT/ MULTIPLES FOR EXISTING INVESTORS

Rs. 1,000/- and in multiples of Re. 1/- thereafter.

## LOAD STRUCTURE

Entry Load : Not Applicable

Exit Load : 1) Redemption/Switch-out/SWP/STP on or before expiry of 12 Months from the date of allotment: If the withdrawal amount or switched out amount is not more than 12% of the original cost of investment - NIL  
2) Redemption/Switch-out/SWP/STP on or before expiry of 12 Months from the date of allotment: If the withdrawal amount or switched out amount is more than 12% of the original cost of investment - 1%  
3) Redemption/Switch-out/SWP/STP after expiry of 12 Months from the date of allotment - NIL (w.e.f. 03 June, 2019)

Please refer to our Tata Mutual Fund website for fundamental changes, wherever applicable



## PORTFOLIO

Company Name	No. of Shares	Market Value Rs. Lakhs	% of Assets
<b>Equity &amp; Equity Related Total</b>		<b>119812.53</b>	<b>88.82</b>
<b>Auto</b>			
Force Motors Ltd.	127206	1542.75	1.14
<b>Auto Ancillaries</b>			
Tube Investments Of India Ltd..	390114	4558.87	3.38
Craftsman Automation Ltd.	104800	1860.62	1.38
<b>Capital Markets</b>			
Bse Ltd.	265491	2390.75	1.77
UTI Asset Management Company Ltd.	250000	2134.13	1.58
<b>Cement</b>			
Ramco Industries Ltd.	1640000	4417.34	3.27
<b>Chemicals</b>			
Basf India Ltd.	241480	6276.79	4.65
Fine Organic Industries Ltd.	55000	1591.98	1.18
<b>Consumer Durables</b>			
Greenply Industries Ltd.	2400000	4791.60	3.55
<b>Consumer Non Durables</b>			
Radico Khaitan Ltd.	665000	5048.02	3.74
Akzo Nobel India Ltd.	173053	4074.71	3.02
Agro Tech Foods Ltd.	371752	3510.45	2.60
S H Kelkar And Company Ltd.	1663248	2864.94	2.12
Eveready Industries India Ltd.	812167	2513.66	1.86
<b>Entertainment</b>			
Saregama India Ltd.	238346	7495.74	5.56
<b>Finance</b>			
IDFC Ltd.	2500000	1347.50	1.00
<b>Gas</b>			
Gujarat State Petronet Ltd.	1200000	4000.80	2.97
<b>Healthcare Services</b>			
Healthcare Global Enterprises Ltd.	1602000	3588.48	2.66
<b>Industrial Capital Goods</b>			
Honda India Power Products Ltd.	325000	3976.70	2.95

Name of the Instrument	No. of Shares	Market Value Rs. Lakhs	% of Assets
<b>Industrial Products</b>			
Eilantas Beck India Ltd.	131429	4888.96	3.62
Finolex Cables Ltd.	582874	3029.78	2.25
Mahindra Cie Automotive Ltd.	1270000	3017.52	2.24
Finolex Industries Ltd.	1500000	2759.25	2.05
Ksb Ltd.	174000	1760.45	1.31
<b>Other Services</b>			
Quess Corp Ltd.	774686	6336.54	4.70
<b>Pesticides</b>			
Rallis India Ltd.	1310000	4256.19	3.16
<b>Software</b>			
Kpit Technologies Ltd.	1357368	3525.08	2.61
<b>Textiles - Synthetic</b>			
Ganesha Ecosphere Ltd.	400000	2163.60	1.60
<b>Trading</b>			
Redington (India) Ltd.	2732913	7307.81	5.42
<b>Transportation</b>			
Gujarat Pipavav Port Ltd.	3320321	3743.66	2.78
Ailcargo Global Logistics Ltd.	1700000	2717.45	2.01
Transport Corporation Of India Ltd.	637100	2651.61	1.97
<b>Other Equities ^</b>		<b>3668.80</b>	<b>2.72</b>
<b>OTHERS</b>			
<b>INVITS</b>			
Powergrid Infrastructure Investment Trust	1699500	1925.36	1.43
India Grid Trust - Inv-It	1302966	1732.81	1.28
<b>OTHERS TOTAL</b>		<b>3658.17</b>	<b>2.71</b>

<b>Treps</b>	<b>8600.00</b>	<b>6.38</b>
<b>Repo</b>	<b>1607.50</b>	<b>1.19</b>
<b>Portfolio Total</b>	<b>133678.20</b>	<b>99.10</b>
<b>Cash / Net Current Asset</b>	<b>1215.79</b>	<b>0.90</b>
<b>Net Assets</b>	<b>134893.99</b>	<b>100.00</b>

^ Exposure less than 1% has been clubbed under Other Equities

## SIP - If you had invested INR 10000 every month

	1 Year	3 Year	5 Year	7 Year	10 Year	Since Inception
Total Amount Invested (Rs.)	120,000	NA	NA	NA	NA	310,000
Total Value as on Jun 30, 2021 (Rs.)	182,890	NA	NA	NA	NA	546,275
Returns	110.43%	NA	NA	NA	NA	48.32%
Total Value of B: Nifty Smallcap 100 TRI	178,852	NA	NA	NA	NA	520,208
B: Nifty Smallcap 100 TRI	102.68%	NA	NA	NA	NA	43.67%
Total Value of AB: Nifty 50 TRI	147,862	NA	NA	NA	NA	426,144
AB: Nifty 50 TRI	46.03%	NA	NA	NA	NA	25.69%

(Inception date :12-Nov-2018) (First Installment date : 01-Dec-2018)

Past performance may or may not be sustained in the future. Returns greater than 1 year period are compounded annualized. Income Distribution cum capital withdrawals are assumed to be reinvested and bonus is adjusted. Load is not taken in to consideration. For SIP returns, monthly investment of equal amounts invested on the 1st day of every month has been considered.

For scheme performance refer pages 52 - 68.

\*B: Benchmark

Source: MFI Explorer

## Top 10 Holdings Equity

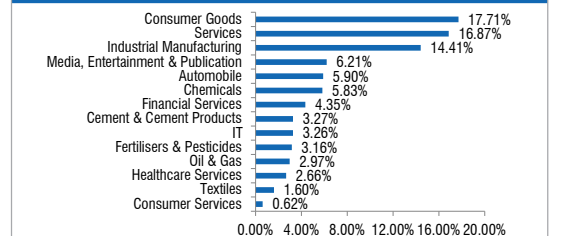
Issuer Name	% to NAV
Saregama India Ltd.	5.56
Redington (India) Ltd.	5.42
Quess Corp Ltd.	4.70
Basf India Ltd.	4.65
Radico Khaitan Ltd.	3.74
Eilantas Beck India Ltd.	3.62
Greenply Industries Ltd.	3.55
Tube Investments Of India Ltd.	3.38
Ramco Industries Ltd.	3.27
Rallis India Ltd.	3.16
<b>Total</b>	<b>41.05</b>

## Market Capitalisation wise Exposure

Large Cap	0.00%
Mid Cap	7.15%
Small Cap	92.85%

Market Capitalisation is as per list provided by AMFI.

## Sector Allocation



## NAV Movement

