



# TATA SIP FUND - SCHEME II



(A 36 - Months close ended hybrid scheme)

New Fund Offer for Scheme II Opens On : 10th May, 2007  
 New Fund Offer for Scheme II Closes On : 14th June, 2007  
 Re-opens for Repurchase : Not later than 30 Days from the Date of closure

Investment Manager:  
 Tata Asset Management Ltd.  
 Fort House, 221, Dr. D. N. Road,  
 Fort, Mumbai - 400 001  
 E-mail: kiran@tataamc.com  
 Website: www.tatamutualfund.com

Offer of units at face value of Rs. 10 each

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offering Circular available free of cost at any of the Investor Service Centres or distributors or from the website www.tatamutualfund.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

## KEY INFORMATION MEMORANDUM

### Investment Objective

The primary Investment Objective of the scheme is to achieve a long term growth. The scheme seeks to achieve its investment objective by investing systematically in the Equity / Equity related instruments. However there can be no assurance that the investment objective of the scheme will be realized, as actual market movements may be at variance with anticipated trends.

#### Asset Allocation Pattern

##### Year 1

Instrument	Proportion** (% of Funds Available / Net Assets)		Risk
	Minimum	Maximum	
Equity / Equity related instruments	0	35	High
Debt, Money Market and Securitized Debt Instruments*	65	100	Low to Medium

##### Year 2

Instrument	Proportion** (% of Funds Available / Net Assets)		Risk
	Minimum	Maximum	
Equity / Equity related instruments	30	70	High
Debt, Money Market and Securitized Debt Instruments*	30	70	Low to Medium

##### Year 3

Instrument	Proportion** (% of Funds Available / Net Assets)		Risk
	Minimum	Maximum	
Equity / Equity related instruments	65	100	High
Debt, Money Market and Securitized Debt Instruments*	0	35	Low to Medium

\* Investment by the scheme in securitised debt, will not normally exceed 20% of net assets of the scheme.

\*\* At the time of investment

The scheme may use derivative instruments like stock/Index Futures, Interest Rate Swaps, Forward Rate Agreements or such other derivative instruments as may be introduced from time to time.

The notional amount of net outstanding exposure to derivative will not exceed 100 % of the net assets of each scheme.

No investments will be made in foreign securitised debt.

If permitted by SEBI under extant regulations / guidelines, not more than 25% of the net assets of the scheme shall be deployed in securities lending. The scheme would limit its exposure, with regards to securities lending, for a single intermediary, to the extent of 5% of the total net assets of the scheme at the time of lending.

The Trustee Company may from time to time for a short term period on defensive consideration invest upto 100% of the funds available in Money Market Instruments, the primary motive being to protect the Net Asset Value of the Scheme and protect unitholders interests so also to earn reasonable returns on liquid funds maintained for redemption/repurchase of units. The Trustee Company may from time to time for a short term period under exceptional circumstances on defensive consideration modify/alter the investment pattern / asset allocation the intent being to protect the Net Asset Value of the Scheme & Unitholders interests without seeking consent of the unitholders.

### Risk Profile of The Scheme

Mutual Fund investments are subject to market risks. Please read the offer document carefully for details on risk factors before investment.

### Plans And Options

This Scheme has 2 Options - Growth Option & Dividend Option.

Default Option: Please note that if no option is mentioned / indicated in the application form, the units will, by default, be allotted under the Growth Option.

### Applicable Net Asset Value (NAV)

The schemes will not accept any subscriptions after the NFO period. The repurchase including switch-out facility will be available on the repurchase day i.e First Wednesday of every month (immediately next business day if such Wednesday is a Holiday).

Applications received on any day of the month after the business hours on repurchase day will be processed on the next repurchase day. This is illustrated below:

Applications received after business hours on 4th July, 2007 will be processed at the NAV of 1st August, 2007.

Minimum Repurchase Requirement: 100 units and in multiple of 1 unit thereafter.

Maximum Repurchase: Single Investor – No Limit

Aggregate - 15% of the units outstanding at the beginning of repurchase day.

Repurchase facility: Once a month. In case of repurchase before the expiry of 36 months from the date of initial allotment the balance proportionate unamortised NFO expenses shall be recovered from the investor in accordance with the SEBI regulations.

In case of receipt of the repurchase requests (including repurchase requests carried forward in accordance with this clause) in excess of 15% of the outstanding units at the beginning of any repurchase day, the AMC reserves the right to carry forward excess units, on a pro-rata basis, to the next repurchase day and such excess units shall be processed at the applicable NAV of next repurchase day.

On maturity, unitholders will have an option to switch redemption proceeds of Tata SIP Fund - Scheme II into Tata Pure Equity Fund without any entry load.

**Nature & Investment Objective: Tata Pure Equity Fund (TPEF):** An Open Ended Equity Fund. To provide income distribution and/or medium to long term capital gains while at all times emphasising the importance of capital appreciation.

### Minimum Application Amount / Number of Units

**Growth option:** Rs.5,000/- and in multiples of Re. 1/- thereafter.

**Dividend option:** Rs.5,000/- and in multiples of Re. 1/- thereafter.

### Despatch of Repurchase (Redemption) proceeds

Within 10 working days of the receipt of the redemption request at the authorized centre of Tata Mutual Fund.

### Benchmark Index

BSE SENSEX

### Dividend Policy

In case of Growth Option the income / profits received / earned would be accumulated by the Fund as capital accretion, aimed at achieving medium to long term and also short term capital growth as reflected in the NAV. In case of Dividend Option the profits received / earned and so retained and reinvested may be distributed as Income at appropriate rates (after providing for all relevant ongoing expenses, etc.) and at appropriate intervals as may be decided by the

AMC and/or Trustee Company will be distributed to the unitholders who hold the units on the record date of declaration of the Income. The Income distribution warrants shall be despatched within 30 days of the declaration of the Income. Guided by the philosophy of value-oriented returns, the Trustee Company may periodically capitalise net earnings of the Scheme (including interest income and realised gains on the Securities) by way of allotment/credit of bonus Units to the Unitholders Accounts in either option.

The Fund reserves a right to modify the periodicity and manner of payout of such dividend as they deem fit without giving any further notice to unitholders.

The Fund does not assure any targeted annual return / income nor any capitalisation ratio. Accumulation of earnings and / or capitalisation of bonus units and the consequent determination of NAV, may be suspended temporarily or indefinitely under any of the circumstances as stated in the clause "Suspension of Ongoing Sale, repurchase or switchout of units."

#### Default Option:

Please note that if no Option is mentioned / indicated in the Application form, the units will, by default, be allotted under the Growth Option.

#### Name of the Fund Managers

M. Venugopal and M. Nagarajan

#### Name of the Trustee Company

Tata Trustee Co. Pvt. Ltd.

#### Performance of similar schemes launched in the past

Tata SIP Fund Scheme I was launched earlier on 15th January 2007, by Tata Mutual Fund.

##### Tata SIP Fund - Scheme I (Returns as on 07-05-2007)

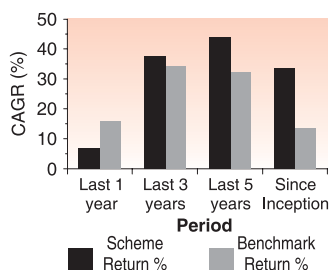
Period	Scheme Return (%)	*Benchmark SIP Return (%)	Absolute Benchmark Return (%)
Since Inception (15.01.07)	1.35	1.14	11.79

Past Performance may or may not be sustained in future. Dividends are assumed to be reinvested. While calculating returns dividend distribution tax is excluded. Benchmark - BSE SENSEX  
\*Assuming that investor has opted for monthly SIP of 36 months into Sensex as on 5th of every month commencing 5th March 2007.

Investors under the scheme will have an option to switch redemption proceeds on maturity of the scheme to Tata Pure Equity Fund without any entry load. Hence for the benefit/information of the investors, performance track record of Tata SIP Fund - Scheme I and Tata Pure Equity Fund are given below

Period	Scheme Return (%)	Benchmark Return (%)
Last 1 year	6.76	15.19
Last 3 years	37.37	34.87
Last 5 years	43.85	32.94
Since Inception	33.83	14.62

Past Performance may or may not be sustained in future. Dividends are assumed to be reinvested. While calculating returns dividend distribution tax is excluded. Benchmark - BSE SENSEX



##### Tata Pure Equity Fund (SIP Returns as on 15-04-2007)

Investment Period	Total Investment	Value of Investment	Compounded Annualised Return on Investment (%)	BSE SENSEX (%)
Last 1 year	12,000	13,328	21.05	24.58
Last 3 years	36,000	62,523	39.31	40.30
Last 5 years	60,000	189,336	47.86	38.55
Since Disclosure of 1st NAV May 7, 1998	107,000	551,477	35.12	23.59

Past Performance may or may not be sustained in future. Dividends are assumed to be reinvested.

SIP into TPEF is assumed to be done on 15th of every month.

#### Expenses of the Scheme

##### NEW FUND OFFER EXPENSES

The new fund offer expenses of the TATA SIP FUND - Scheme II, to the extent of 6% of amount mobilised will be borne by the scheme and the new fund offer expenditure in excess of the above limits shall be borne by TAML / TTCPL.

##### Applicable Load Structure – In respect of applications received during NFO Period

Exit Load - Nil\*

\*An early exit charge equivalent to the unamortized new fund offer expenses will be recovered from the investor in case of redemption before expiry of 3-Years from the date of allotment.

The trustee may at their discretion change the load structure of the scheme.

Limits as per Regulation 52 (6) of the SEBI (Mutual Funds) Regulations, 1996 on Annual Scheme Recurring expenses: (as a % of weekly average net assets)

Year 1 and Year 2

- On the first Rs.100 Crores of the average weekly net assets: 2.25%
- On the next Rs.300 Crores of the average weekly net assets: 2.00%
- On the next Rs.300 Crores of the average weekly net assets: 1.75%
- On the balance of the assets: 1.50%

Year 3

- On the first Rs.100 Crores of the average weekly net assets: 2.50%
- On the next Rs.300 Crores of the average weekly net assets: 2.25%
- On the next Rs.300 Crores of the average weekly net assets: 2.00%
- On the balance of the assets: 1.75%

**Recurring expenses:** The following expenses are for Tata SIP Fund Scheme II.

	Estimates (%) Avg Net Assets		
	Year 1	Year 2	Year 3
Investment Management Fees	1.25	1.25	1.25
Trustee Fees	0.05	0.05	0.05
Custodian Expenses	0.10	0.10	0.10
Registrar Expenses	0.15	0.15	0.15
Brokerage and Transaction Cost	0.10	0.10	0.10
Audit Fees, Bank Charges, Cost of Statutory advertisement			
Cost of investor communication	0.20	0.20	0.20
Marketing Expenses	0.40	0.40	0.65
<b>Total</b>	<b>2.25</b>	<b>2.25</b>	<b>2.50</b>

#### Tax Treatment of Investments in Mutual Funds

Certain tax benefits as described below are available, under present taxation laws to the Unitholders holding the Units as an investment. **The information set out below is included for general information purposes only and does not constitute legal or tax advice. In view of the individual nature of the tax consequences, each investor is advised to consult his or her own tax consultant with respect to specific tax implications arising out of their participation in the Scheme.** Income Tax benefits to the mutual fund and to the unitholder is in accordance with the prevailing tax laws as certified by the mutual funds tax consultant.

##### i. Structure of the scheme

As per the opinion given by Fund's tax consultant, the scheme is likely to be treated as an equity fund after expiry of 30 months from the date of allotment. However the Fund will follow instructions / guidelines etc as may be issued by the competent tax authorities in India, regarding tax treatment on income distributed or accrued or redemption under the scheme.

As per Finance Bill 2007, education cess has increased from 2% to 3%.

#### II. TAX BENEFITS TO THE MUTUAL FUND

Tata Mutual Fund is a Mutual Fund registered with the Securities and Exchange Board of India and hence the entire income of the Fund will be exempt from income-tax in accordance with the provisions of Section 10(23D) of the Income-tax Act, 1961 (the Act).

The Fund is entitled to receive all income without any deduction of tax at source under the provisions of Section 196(iv), of the Act.

However, as per the taxation laws in force, read with Chapter VII of the Finance (No. 2) Act, 2004 pertaining to Dividend Distribution Tax, it is provided that on income distribution, if any, made by the Fund, on or after 1 April, 2004, to its Unitholders, being Individuals and Hindu Undivided Family, income-tax will be payable under Section 115R of the Act, at the rate of 14.025 % (inclusive of surcharge and additional surcharge called Education Cess on income-tax), and to other Unitholders at the rate of 22.44% (inclusive of surcharge and additional surcharge called Education Cess on income-tax), except, inter alia, in the case of equity-oriented funds (including close ended equity funds)(i.e. such fund where the investible funds are invested by way of equity shares in domestic companies to the extent of more than 65% of the total proceeds of such Fund), where no such tax will be payable.

#### III. TAX BENEFITS TO THE UNITHOLDERS

##### INCOME TAX

##### ALL UNITHOLDERS

Income received in respect of units of a mutual fund, where income distribution is made on or after 1<sup>st</sup> April, 2003, would be exempt from income-tax in the hands of the unitholders under Section 10(35) of the Act.

##### TAX DEDUCTION AT SOURCE

##### ALL UNITHOLDERS

In view of the exemption of income in the hands of the unitholders, no income tax is deductible at source, on income distribution by the Mutual Fund, under the provisions of Sections 194K and 196A of the Act.

#### IV. CAPITAL GAINS TAX

##### ALL UNITHOLDERS

Under Section 10(38) of the Act, capital gains arising on transfer of a long-term capital asset held for a period of more than twelve months, inter alia,

being a unit of an equity-oriented fund (as defined therein) would be exempt from income-tax, if sale of such unit is made on or after 1st October, 2004, and such transaction has been chargeable to securities transaction tax under Chapter VII of the Finance (No. 2) Act, 2004 pertaining to Securities Transaction Tax (STT). The Finance Act, 2006 has with effect from 1<sup>st</sup> June, 2006 increased the STT rates from 0.20% to 0.25% on sale of units to the mutual fund and from 0.10% to 0.125% for delivery-based sale through stock exchange.

Under Section 54EC of the Act and subject to the conditions specified therein, taxable capital gains, arising on transfer of a long-term capital asset, shall not be chargeable to tax to the extent such capital gains are invested in certain notified bonds within six months from the date of transfer. No deduction from the amount of income with reference to such investment shall be allowed under section 80C after the 1st day of April 2005.

Under Section 54ED of the Act and subject to the conditions specified therein, taxable capital gains (subject to the exemption of long-term capital gains provided for in section 10(38) of the Act, discussed elsewhere in this Statement) arising before the 1<sup>st</sup> day of April, 2006 from transfer of long term assets, inter alia, being listed securities or units shall not be chargeable to tax to the extent such gains are invested in acquiring equity shares forming part of an "eligible issue of share capital" within six months from the date of transfer of the long-term assets. Eligible issue of share capital has been defined as an issue of equity shares which satisfies the following conditions:

- the issue is made by a public company formed and registered in India; and
- the shares forming part of the issue are offered for subscription to the public.

No deduction from the amount of income with reference to such investment shall be allowed under section 80C after the 1st day of April 2005.

Under Section 54F of the Act and subject to the conditions specified therein, in the case of an individual or a HUF, capital gains (subject to the exemption of long-term capital gains provided for in section 10(38) of the Act, discussed elsewhere in this Statement) arising on transfer of a long term capital asset (not being a residential house) are not chargeable to tax if the entire net consideration received on such transfer is invested within the prescribed period in a residential house. If part of such net consideration is invested within the prescribed period in a residential house, then such gains would not be chargeable to tax on a proportionate basis. For this purpose, net consideration means full value of the consideration received or accruing as a result of the transfer of the capital asset as reduced by any expenditure incurred wholly and exclusively in connection with such transfer.

The following amounts would be deductible from the full value of consideration, to arrive at the amount of capital gains:

- cost of acquisition of Units (excluding the Securities Transaction Tax, if any paid on acquisition) as adjusted by Cost Inflation Index notified by the Central Government in case of long term capital gain, and
- expenditure incurred wholly and exclusively in connection with such transfer.

Under the provisions of Section 94(7) of the Act, loss arising on acquisition/sale/transfer of Units, which are acquired/sold/transfers within three months prior/after the record date (i.e. the date fixed by the Mutual Fund for the purposes of entitlement of the Unitholders to receive the income or additional units without any consideration, as the case may be) and sold within nine months after the record date, shall be ignored for the purpose of computing income chargeable to tax to the extent of exempt income received or receivable on such Units.

Under the provisions of Section 94(8) of the Act, when units are bought within a period of 3 months prior to the record date for allotment of additional units and additional units are allotted without any payment and where the original units are sold within nine months after the record date, while the additional units (whether all or some of them) are continued to be held by the unitholder, the loss, if any, arising on sale of such units bought shall be ignored for the purpose of computing income chargeable to tax and such loss shall be treated as the cost of acquisition of the additional units.

#### **Foreign Institutional Investors**

Long-term capital gains on sale of Units, other than units of an equity oriented fund referred to above, would be taxed at the rate of 20% under Section 115AD of the Act. Such gains would be calculated without indexation of cost of acquisition.

Short-term capital gains on sale of units of an equity-oriented fund arising after 1 October 2004, would also be taxable under Section 111A of the Act, at the rate of 10% if the sale of such units is chargeable to securities transaction tax. Other short-term capital gains would be taxed at the rate of 30% (subject to the concessional rate of tax provided for in Section 111A of the Act, discussed elsewhere in this Statement).

The above tax rates would be increased by applicable surcharge, in case of, non-corporate Unitholders, at the rate of 10% thereof, where their income exceeds Rs.10,00,000/- and at the rate of 10% thereof in case of all corporate Unitholders. In all cases, additional surcharge at 2%, called Education Cess, will be levied on the aggregate of tax and applicable surcharge, so calculated. In respect of long term capital gains on sale/redemption of units of any equity

oriented mutual fund would be tax free in the hands of the NRI investor and therefore, the question of deducting any tax at source does not arise. In any case, even the table of rates specifically excludes long term capital gains exempt under section 10(38). Therefore, TAML or the Mutual Fund, as the case may be, need not deduct any tax at source while remitting money to any NRI at the time of redemption of units of any equity oriented mutual fund if the same are held by the NRI for more than 12 months i.e if they are long term capital assets in the hands of the NRI. In respect of the capital gains arising to an NRI from the sale of units of non equity oriented funds (such as debt funds), the position has not changed as compared to the position prevailing before 1st October, 2004. Therefore, the short term capital gains arising from such units would continue to be taxed at par with normal income of the NRI. In such cases, therefore, the Mutual Fund or the AMC would have to deduct tax at source @ 30% (plus surcharge and education cess). Similarly, long term capital gains arising from such units would also continue to be taxed @ 20% and therefore, the rate at which tax is to be deducted at source from such capital gains would also be 20% (plus surcharge and education cess).

#### **Other Unitholders**

Long-term capital gains in respect of Units, other than units of an equity oriented fund referred to above, held for a period of more than twelve months, will be chargeable under Section 112 of the Act, at concessional rate of tax, at the rate of 20%, as increased by the applicable surcharge. An additional surcharge at the rate of 2%, called Education Cess, on the aggregate of tax and surcharge is to be levied under the Finance Act. In case of resident Individuals and Hindu Undivided Families, where taxable income, as reduced by long-term capital gains, is below the basic exemption limit, the long-term capital gains will be reduced to the extent of the shortfall and only the balance long term capital gains will be subjected to the flat rate of income tax (plus applicable surcharge and education cess). However, where the tax payable on such long-term capital gains, computed before indexation, exceeds 10%, as increased by the applicable surcharge and additional surcharge, being Education Cess, as provided by the Finance Act, of the amount of capital gains, such excess tax shall not be payable by the unitholder. Short-term capital gains in respect of all Units, held for a period of not more than twelve months, will be aggregated with other income and taxed at rates of tax, including surcharge, applicable to normal income. However Section 111A, provides that such gains, in respect of equity oriented fund, will be taxable only at 10% as increased by the applicable surcharges, if such gains arise after 1<sup>st</sup> October, 2004, and the sale of unit has been chargeable to the securities transaction tax.

#### **Tax Deduction at Source**

##### **Domestic Unitholders**

No income tax is deductible at source from income by way of capital gains under the present provisions of the Act. However, the provisions of section 195 of the Act may apply to non-residents (other than Foreign Institutional Investors & long-term capital gains exempt under section 10(38) of the Act)

##### **Foreign Institutional Investors**

Under Section 196D of the Act, no deduction shall be made from any income by way of capital gains, in respect of transfer of securities referred to in Section 115AD of the Act.

##### **Other Non-resident Unitholders**

Part II of the First Schedule to the Act, provides for deduction of tax at source from taxable capital gains at the rate of 20%, where they relate to long-term capital gains unless a lower withholding tax certificate is obtained from the tax authorities, and at the marginal rates, viz. at 30% in case of non-corporate Unitholders unless a lower withholding tax certificate is obtained from the tax authorities, and at the rate of 40% unless a lower withholding tax certificate is obtained from the tax authorities, in case of foreign corporate Unitholders, in case of short-term capital gains. Surcharge on income-tax will be levied at the rate of 10%, on such tax, in respect of non-corporate Unitholders, where their income exceed Rs.10,00,000/- and at the rate of 10% thereof in case of all corporate Unitholders. An additional surcharge at the rate of 2% is also to be levied under the Finance Act in all cases on the aggregate of tax and surcharge, so calculated.

##### **Rebate under section 88E**

Section 88E provides that where the total income of a person includes income chargeable under the head "Profits and gains of business or profession" arising from sale of units of equity oriented funds, he shall get rebate equal to the securities transaction tax paid by him in the course of his business. Such rebate is to be allowed from the amount of income tax in respect of such transactions calculated by applying average rate of income tax.

#### **Securities Transaction Tax**

##### **All Unit holders**

As per Chapter VII of the Finance (No. 2) Act, 2004 pertaining to STT as amended by the Finance Act, 2005, the STT shall be payable by the seller at the rate of 0.20% on the sale of a unit of an equity-oriented fund to the mutual fund. The Finance Act 2006 has increased the rate from 0.20% to 0.25% with effect from 1<sup>st</sup> June, 2006.

**Other Benefit**

Investments in Units of the Mutual Fund will rank as an eligible form of investment under Section 11(5) of the Act read with Rule 17C of the Income Tax Rules, 1962, for Religious and Charitable Trusts.

**Tax Treaty Benefits**

An investor has an option to be governed by the provisions of the Act or the provisions of a Tax Treaty that India has entered into with another country of which the investor is a tax resident, whichever is more beneficial.

**Wealth Tax**

Units held under the Schemes of the Fund are not treated as assets as defined under Section 2(ea) of the Wealth Tax Act, 1957 and therefore would not be liable to wealth tax.

**Gift Tax**

The Gift-tax Act, 1958, has ceased to apply to gifts made on or after 1 October 1998. Gifts of Units, purchased under the Schemes, would therefore, be exempt from gift-tax.

**Net Asset Value (NAV) Publication**

During the close ended period the Scheme's NAV will be available on all Wednesdays of the month (or the immediate next business day if such Wednesday is a holiday). The Fund will endeavour to publish the Scheme's NAV on all relevant business days in atleast 2 daily newspapers. Further the fund will also endeavour to publish the Redemption price on all relevant business days in atleast 2 daily newspapers. In the event NAV cannot be calculated and / or published, such as because of the suspension of trading on the Bombay Stock Exchange, during the existence of a state of emergency and / or a breakdown in communications, the Board of Trustees may temporarily suspend determination and / or publication of the NAV of the Units. NAV can also be viewed on [www.tatamutualfund.com](http://www.tatamutualfund.com) and [www.amfiindia.com](http://www.amfiindia.com) (You can also call us at (022) 66315191 / 92 / 93).

**For Investor Grievances please contact**

Name & Address of Registrar: Computer Age Management Services Pvt. Ltd., A & B Lakshmi Bhawan, 609, Anna Salai, Chennai - 600 006.

Tata Asset Management Ltd. (Investment Manager for Tata Mutual Fund) Fort House, 221, Dr. D. N. Road, Fort, Mumbai – 400 001.

Tel: (022) 66578282, Fax: (022) 22613782. Email: [kiran@tataamc.com](mailto:kiran@tataamc.com)

**Unitholders' Information**

Accounts statement (on each transaction) and Annual financial results shall be provided to investors by post. The fund will publish its unaudited financial results in the prescribed format as per SEBI Circular MFD/CIR/1/200/2001 dated April 20, 2001 and scheme portfolio in the prescribed format as per SEBI Circular MFD/CIR/9/120/2000 dated November 24, 2000 in one national English daily newspaper and in a newspaper in the language of the region where the HO of the fund is situated.

Date : 08/05/2007.



Key Information Memorandum & Application Form

Sr. No. :

# TATA SIP FUND - SCHEME II

(A 36 - Months close ended hybrid scheme)

## ISSUE OF UNITS OF TATA SIP FUND OF FACE VALUE OF RS. 10 EACH DURING THE NFO PERIOD

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS.  
Tick (✓) whichever is applicable. Strike out what is not required.

BROKER/AGENT CODE	SUB-BROKER CODE	BANK/BRANCH	BANK SERIAL NO.	REGISTRATION NO.

CHOOSE THE OPTION FOR MAKING INVESTMENTS	Switch Option into Tata Pure Equity Fund (TPEF) on maturity (Refer Instruction G)
<input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout Default Option : Growth	Tick here for Load Free Auto Switch to TPEF on completion of 36 months <input type="checkbox"/> <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout Default Option : Growth

### UNITHOLDER INFORMATION Existing Folio No. (if any):

Name of First Applicant		Please mention your name as it appears in your Bank Account		Date of Birth		D D M M Y Y Y Y	
PAN* (Refer Instruction - D)		Encl: <input type="checkbox"/> Copy of PAN Card		Status: <input type="checkbox"/> RI <input type="checkbox"/> NRI			
Name of Guardian (in case first Applicant is Minor)							
PAN* (Refer Instruction - D)		Encl: <input type="checkbox"/> Copy of PAN Card		Status: <input type="checkbox"/> RI <input type="checkbox"/> NRI			
Contact Person (in case of company)							
Mailing Address							
Pin Code		City		State		Country	

Email ID of First Applicant \_\_\_\_\_  
I/ We Wish to receive Account Statement / Annual Report / Other communication through Email in lieu of the physical copy of the same  Yes  No

Contact Particulars	Telephone	Office Residence	Fax	Mobile
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Are you applying as  Resident Individual  NRI/NRO  Pvt. Ltd. Co.  Trust  Partner  BOI  Proprietorship  Public Ltd. Co.  Societies  NRI/NRE  AOP  On behalf of Minor  FII  HUF  Body Corporate  PIO  FOF Others\_\_\_\_\_

Overseas Address (Mandatory for NRI/FII applications in addition to mailing address) (PO Box Address is not sufficient) (Indian Address in case of NRIs/FIIs)	City	Pin Code	Country
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Occupation  Agriculture  Business  Service  Professional  Housewife  Retired  Student  Other\_\_\_\_\_

Mode of Holding  Single  Joint  Anyone or Survivor **Default Option: Joint.**

### Name of Second Applicant

PAN* (Refer Instruction - D)	Encl: <input type="checkbox"/> Copy of PAN Card	Status: <input type="checkbox"/> RI <input type="checkbox"/> NRI
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### Name of Third Applicant

PAN* (Refer Instruction - D)	Encl: <input type="checkbox"/> Copy of PAN Card	Status: <input type="checkbox"/> RI <input type="checkbox"/> NRI
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### BANK ACKNOWLEDGEMENT SLIP TATA SIP FUND - SCHEME II

Received from Mr. / Ms ..... an application for purchase of units of Tata SIP Fund - Scheme II (Gross investment amount) Rs. .... (Rupees in words.....) Cheque / Demand Draft No. .... dated..... drawn on ..... Bank..... Branch for Rs. .... (Cheques/Drafts are subject to realisation).	<b>Acknowledgement Stamp</b>
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### ACKNOWLEDGEMENT SLIP TATA SIP FUND - SCHEME II

Received from Mr. / Ms ..... an application for purchase of units of Tata SIP Fund - Scheme II (Gross investment amount) Rs. .... (Rupees in words.....) Cheque / Demand Draft No. .... dated..... drawn on ..... Bank..... Branch for Rs. .... (Cheques / Drafts are subject to realisation).	<b>Acknowledgement Stamp</b>
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All communication may please be addressed to **Computer Age Management Services Pvt. Ltd., A & B Lakshmi Bhawan, 609, Anna Salai, Chennai - 600 006.** by quoting First Applicant name, Application Sr. No., Name of the scheme.



## INSTRUCTIONS FOR FILLING THE APPLICATION FORM

**(A) MINIMUM APPLICATION AMOUNT SHOULD BE:**

**Rs. 5,000/- and in multiples of Re. 1/- thereafter.**

**(B) PAYMENT PROCEDURE**

- Payments may be made by MICR cheque/DD drawn on/made payable at all those places where the **Investor Service Centres / Collection Centres** are located. **THE CHEQUE/DD MUST BE DRAWN IN FAVOUR OF "Tata SIP Fund - Scheme II" & CROSSED "ACCOUNT PAYEE & NOT NEGOTIABLE"**. No outstation cheques will be accepted. Demand Drafts will be accepted only if they are payable at corresponding city of the collection bank where the application is being given and the same is accompanied by a Banker's Certificate. DD charges can be deducted to the corresponding SBI charges.

If there is no authorised Investor Service Centres / Collection Centres where the investor resides, he/she may send a Demand Draft from any other bank in favour of **"Tata SIP Fund - Scheme II"** payable at Mumbai, after deducting bank charges / commission (not exceeding rate prescribed by State Bank of India) from the amount of investment. If such bank charges / commission are not deducted by the applicant, then the same may not be reimbursed by the Trustee company. Such bank charges / commission will be treated as scheme expense. However in case of application along with local cheque or bank draft payable at Mumbai, at / from locations where TMF has its designated authorised Investor Service Centres / Collection Centres, Bank Draft charges / commission may have to be borne by the applicant. In such cases the Trustee Company is entitled, in its sole & absolute discretion, to reject or accept any application.

- Application forms duly completed in all respects may be submitted during the NFO: Only at Investor Service Centres & Collection Centres as mentioned in this Memorandum.**
- The applicants are requested to note the following points before submitting the applications to any of the collection centres.
  - Stockinvests, Post Dated Cheques, Money Orders & Postal Orders will not be accepted & such applications will not be considered for allotment.
  - Cheques once returned in clearing will not be re-presented & the accompanying applications may not be considered for allotment.
- Applications which are incomplete are liable to be rejected & the Trustee Company of Tata Mutual Fund shall not be responsible for any consequences thereof.
- Where an application is rejected in full or in part, application money will accordingly be refunded to the applicant. No interest will be paid on the amount so refunded. Letters of regret together with Refund Cheques/ Orders if any, will be despatched by post. Refund will be made by cheques or pay order drawn on the Bankers of Tata Mutual Fund.
- The right to accept or reject any application in whole or in part lies with the Trustee Company.

**(C) APPLICATION DETAILS**

- Please write the Applicant's Name & Application Serial Number on the reverse of the Cheque/Demand Draft.
- Please mention the Pin Code Number in the Address column.
- All communication and/or payments will be made to the Sole/first applicant.
- Signatures should be in English or in any Indian language, thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF. In case of Partnership Firms, the Partner should sign on behalf of the Partnership Firm. Similarly, for the Association of Persons (AoP), Company etc. the application must be signed by the Authorised Signatory/Signatories as applicable.
- Individual(s) as Applicant/unit holder only can make nomination. Nominee must be an individual & not a trust, society, body corporate partnership firm, Karta of Hindu Undivided Family or a power of Attorney Holder. Nomination stands rescinded upon Transfer/Redemption/ Switchover of Units.
- Where the units are held in the names of 2 or 3 persons, such persons shall be deemed to hold the units on first holder basis. In case of HUF/ Partnership Firm/AoP/Company, etc. no joint holding will be considered.
- In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised & the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application & their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum & Articles of Association and/or bye-laws and/or trust deed and/or partnership deed & certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
- In case of application with more than one holder, if the 'Mode of Holding / Operation' is not ticked, the default option would be Joint.

**D) PAN Number Details of the Investors**

As per SEBI Circular MRD/DoP/Cir-05/2007 dated April 27, 2007 the applicant or in case of application is in joint names, each of the applicants, should mention his/her permanent account number (PAN) allotted under the Income Tax Act, 1961, irrespective of the amount invested.

**In case of joint holding, PAN is required for all the joint holders.**

**PAN / PAN proof is mandatory for all transactions.**

**For validation purposes investors are required to submit photocopy of PAN Card or any other communication received from the Income Tax department specifying name and PAN No of the investor.**

### CHECKLIST

Please ensure that your Application Form is complete in all respects & signed by all applicants : ● Name, Address and Contact Details are mentioned in full. ● Bank Account Details are entered completely & correctly ● Permanent Account Number (PAN) of all Applicants is mentioned if the investment amount is Rs. 50,000/- or more along with the copy of the PAN card ● **Copy of proof of identity** ● Appropriate Option is selected. If the Dividend Option is chosen, Dividend Payout or Re-investment is indicated. ● If units are applied for jointly, Mode of Operation of account is indicated ■ Your Investment Cheque / DD is drawn in favour of "Tata SIP Fund - Scheme II", dated and signed. ■ Application Number is mentioned on the reverse of the cheque. ■ Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

Documents	Companies	Trusts	Societies	Partnership Firms	FIs	NRI	Investments through Constituted Attorney
1. Resolution/Authorisation to invest	✓	✓	✓	✓	✓		
2. List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓	✓	✓		✓
3. Memorandum & Articles of Association	✓						
4. Trust Deed		✓					
5. Bye-Laws			✓				
6. Partnership Deed				✓			
7. Overseas Auditor's Certificate					✓		
8. Notarised Power of Attorney							✓
9. Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c or where applicable						✓	

**All documents in 1 to 6 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public**

**Note:** Investors are urged to refer The Income Tax Rules, 1962 or consult their Tax Advisors for further details.

**(E) BANK ACCOUNT DETAILS**

It shall be mandatory for the Unitholders to mention their bank account numbers in their applications/requests for redemptions. Unitholders are requested to give the full particulars of their Bank Account i.e. nature of account, Account Number, Nine digit Bank Code Number (For Electronic Credit Facility), branch address with pincode of the bank at the appropriate space in the application form.

In case nature of Account is given as NRO or NRE, the status of Applicant will be considered as NRI / NRO or NRI / NRE respectively.

**For NRI/NRO, your bank account should be domestic bank / non - repatriable account.**

**For NRI/NRE, your bank account should be NRE.**

Any application for subscription / request for redemption without Bank account details will be rejected by the mutual fund. (Wherever A/c. No. is not mentioned in words, the facility of Direct Credits will not be effected for any payment).

**(F) BROKERS AND MARKETING ASSOCIATES.**

1. Tata Mutual Fund's Marketing Associates, Agents & Brokers (who are holding the AMFI Certificate) are eligible to mobilise subscriptions under the Fund & earn brokerage based on the amounts subscribed. Brokerage will be paid only to those Marketing Associates, Agents & Brokers whose stamp appears on the application form.
2. Code numbers / ARN No. assigned by AMFI to the Marketing Associates / Agents must be used wherever applicable & their stamps should clearly state their names and any other details as required, in English.
3. Agents are not permitted to accept CASH with Application Form. Tata Mutual Fund shall not be held responsible for any kind of wrong tenders.
4. Brokerage will be paid only to Distributors empanelled with Tata Mutual Fund.
5. Distributors / Agents should mention the ARN No. allotted by AMFI, on the application form.

**(G) OPTION TO SWITCH REDEMPTION PROCEEDS ON MATURITY INTO TATA PURE EQUITY FUND (TPEF):** On maturity unitholders will have an option to switch redemption proceeds of Tata SIP Fund - Scheme II into Tata Pure Equity Fund (TPEF) without any entry load.

## LIST OF COLLECTION CENTRES (DURING NFO PERIOD)

### HDFC BANK NFO COLLECTION CENTRES

**Agra:** Shop No F-3-A, 1st Floor, Friend's Plaza, Sanjay Place, Agra - 282002, **Ahmedabad:** HDFC Bank House, Near Mithakali Six Roads, Navrangpura - 380009, **Ajmer:** AMC NO - 13/10 & 14/10, Near Suchma Kendra, Adjacent to Swami Complex, Ajmer - 305001, **Allahabad:** 54/1 S.P. Marg Civil Lines, Allahabad - 211003, **Amravati:** C/o, Rasik Plaza, Jaistambh Chowk, Morshi Road, Amravati - 444601, **Amritsar:** 1st Floor, R.S Towers, Hall Bazar, Amritsar - 143001, **Asansol:** CMS Dept, P C Chatterjee Market, G.T Road, Rambhandu Tala, Asansol - 713303, **Aurangabad:** Shivani Chambers, Manjeet Nagar, Jalna Road, Opp Akashwani, Aurangabad - 431001, **Bangalore:** No. 8 / 24 Salco Centre, Richmond Road, Bangalore - 560025, **Baroda:** 5th Floor, Midway Heights, Next to Panchmukhi Hanuman Temple, Lokmanya Tilak Road, Kirti Mandir, Nr. Kala Ghoda, Raopura, Baroda - 390001, **Belgaum:** 4830 / 28 A, Opp District Hospital, Dr Ambedkar Road, Belgaum - 590002, **Bhavnagar:** Gopi Arcade, Opp Takhteshwar Post Office, Bhavnagar, **Bhilai:** Chauhan Estate, G.E Road, Supela, Bhilai - 490023, **Bhopal:** E - 1/57, Aera Colony, Bhopal - 462016, **Bhubaneswar:** Junction of Janpath and Gandhi Marg, Hotel Jajati Complex, Khavelanagar, Unit - 3, Master Canteen Square, Bhubaneswar - 751001, **Bokaro:** B-9, City Centre, Sector IV, Bokaro Steel City, Bokaro - 827004, **Chandigarh:** SCO 371/372, Sector 35 - B, Chandigarh - 160034, **Chennai:** 2nd Floor, 115 Radhakrishna Salai, Opp CSI Kalyani Hospital, Mylapore, Chennai - 600004, **Coimbatore:** 1635 Classic Tower, Trichy Road, Coimbatore - 641018, **Cuttack:** Bajrakbati Road, Cuttack - 753001, **Dehradun:** 56, Rajpur Road, Uttaranchal, Dehradun - 248001, **Davangere:** No. 621, BHM Enclave, Binny Co Road, Mandipet, Davangere, **Dhanbad:** Sri Ram Plaza, 1st Floor, Bank More, Dhanbad, Jharkhand - 826001, **Durgapur:** A102/103, City Centre, Bengal Shristi Complex, Durgapur Road, Durgapur - 713216, **Erode:** 456, Brough Road, Erode - 638001, **Gorakhpur:** CMS Dept, Prahlad Rai Trade Centre, Ayodhya Crossing, Bank Road, Gorakhpur - 273001, **Guntur:** 87-90, Main Road, Lakshampuram, Guntur - 522007, **Guwahati:** House No 126, Opp Times of India, Bhangagarh, Guwahati - 781005, **Gwalior:** Block G - 1, Plot No. 43, Anand deep Building, City Centre, Gwalior - 474011, **Hubli:** T B Revankar Complex, Vivekanand Hospital Road, Hubli - 580029, **Hyderabad:** 6-1-73, 3rd Floor, Saeed Plaza, Lakadikapaul, Hyderabad - 500004, **Indore:** 3rd Floor, 9/1A, U.V. House, South Tukonj, Indore - 452001, **Jabalpur:** 1702, Naiper Town, Model Road, Jabalpur - 482002, **Jaipur:** 1st Floor, O - 10, Ashok Marg, Ahimsa Circle, C - Scheme, Jaipur - 302001, **Jalandhar:** 911, Near Narinder Cinema, G. T. Road, Jalandhar - 144001, **Jamnagar:** Plot No 6, Park Colony, St Ann's School, Bedi Bunder Road, Jamnagar - 361008, **Jamshedpur:** C/o Mithila Motors Ltd, Near Ram Mandir, Bistupur, Jamshedpur - 831001, **Jodhpur:** Plot No 57/B, 9th Chopasani Road, Jodhpur - 342003, **Kanpur:** Navin Market Branch, 15/46 Civil Lines, Kanpur - 280001, **Kolkata:** Abhilasha - 2, 6, 1st Floor, 6, Royd Street, Kolkata - 700016, **Kota:** Showroom No 13 - 14, Main Jhalawar Road, Kota - 324007, **Ludhiana:** CMS Dept, 5th Floor, Mall Road, Ludhiana - 141001, **Madurai:** 7-A, West Veli Street, Opp Railway Station, Madurai - 625001, **Mangalore:** M.N Towers, Kadri, Mangalore - 575002, **Meerut:** 381, Western Kachery Road, Meerut - 250001, **Moradabad:** Chaddha Shopping Complex, GMD Road, Moradabad - 244001, **Mumbai:** Maneckji Wadia Building, Nanik Motwani Marg, Mumbai - 400023, **Mysore:** Mythiri Arcade, 1st Main, Saraswathipuram, Mysore - 570009, **Nagpur:** 303 & 304 3rd Floor, Wardh Road, 12, Milestone, Near Lokmat Square, Nagpur - 440010, **Nasik:** Archit Centre, 3rd Floor, Chandak Circle Link Road, Opp Sandeep Hotel, Near Mahamarg Bus Stand, Nasik - 422002, **Nellore:** G.T Road, Nellore - 524001, **New Delhi:** FIGOPS, 1st Floor, Kailash Building, 26, K. G. Marg, New Delhi - 110001, **Panipat:** 801 / 4, G.T Road, Panipat - 132103, **Patiala:** SCO 70 - 73, Leela Bhawan Market, Patiala - 147001, **Patna:** Rajendra Ram Plaza, Exhibition Road, Patna - 800001, **Pondicherry:** T.S. No. 6, 100 - Feet Road, Ellaipillaiachavady, Pondicherry - 605005, **Pune:** 5th Floor Millennium Tower, Bhandarkar Road, Shivaji Nagar, Pune - 411004, **Raipur:** Chawla Complex, Near Vanijya Bhawan, Sai Nagar, Devendra Nagar Road, Raipur - 492009, **Rajamundry:** H. No. 46-17-20, Main Road, Danavaipet, Rajamundry - 533103, **Rajkot:** Opp Alfred High School, 2nd Floor, Panchratna Bldg, Jawahar Road, Rajkot - 360001, **Ranchi:** Shop No 3 & 4, Ranchi Club Shopping Complex, Main Road, Ranchi - 834001, **Rourkela:** Bisra Road, Dwivedi Bhawan, Dwivedi Square, Rourkela - 769001, **Salem:** 5 / 241 - F, Rathna Arcade, Omalur Main Road, Salem - 636004, **Siliguri:** No. 3, Ramkrishna Samity Building, Sevoke Road, Pani Tanki More, Siliguri - 734401, **Surat:** 7th Floor, Kashi Plaza, Next to Dr. Bipin Desai Children Hospital, Majura Gate, Surat - 395002, **Thiruvalla:** Illampallil Buildings, 26/149 (1&2), M.C Road, Tiruvalla - 689101, **Tirupati:** H. No. 10-14-575 / A3, Mosque Road, V.V Mahal Road, Tirupati - 517501, **Tirupur:** 160, Chidambaram Complex, Kumanar Road, Tirupur - 641604, **Trichur:** Kalliyath Royal Square, Palace Road, Trichur - 680020, **Trichy:** A - 10, Lakshmi Arcade, 11th Cross Main Road, Thillainagar, Trichy - 620018, **Trivandrum:** Kenton Towers, Vazhuthacaud, Trivandrum - 695014, **Udaipur:** 358, Post Office Road, Chetak Circle, Adjacent to Chetak Cinema, Udaipur - 313001, **Valsad:** 1st Floor, Ekta Apt, Near R.J. J. High School, Thithal Road, Valsad - 396001, **Varanasi:** D - 58 / 2, Kuber Complex, Rathayatra Crossing, Varanasi - 221010, **Vijaywada:** 40-1-48 / 2, M.G Road, Labbipet, Vijaywada - 520010, **Vishakapatnam:** 1st Floor, Poduri Castle, Abv, Raymond Showroom, Dwarka Nagar, Vishakapatnam - 530016, **Warangal:** No. 1-8-605 / 1, Nakkalgutta, Hanamkonda, Warangal - 506002.

**NRI Centres:** Calicut, Cochin, Goa, Kottayam, Lucknow.

### ICICI BANK NFO COLLECTION CENTRES

**Agra:** 50 A /1, Sadar Bazar, Taj Road, Agra Cantt, U. P., 282 001, **Allahabad:** 13, Sardar Patel Marg, Civil Lines, Allahabad - 211 001, **Amritsar:** Nidhi, 2, Lawrence Road, Amritsar, Punjab - 143 001, **Belgaum:** Shree Krishna Towers, No. 14, Khanapur Road, RPD Cross, Tilakwadi, Belgaum - 590 006, **Bhavnagar:** Ground Floor, Plot No. 2569, Ratnadeep, Opp. Central Salt Research Institute, Waghawadi Road, Bhavnagar - 364 002, **Bhopal:** Plot No. 11, Zone - II, Alankar Palace Near Pragati Petrol Pump, M. P. Nagar, Bhopal - 462 011, **Bhubaneswar:** OCCF Building, Opp Sriya Talkies, Unit - III, Bhubaneswar - 751 001, **Chandigarh:** Sector 9-C, SCO 9-10-11, Sector 9-D, Chandigarh - 160 017, **Guwahati:** Ground Floor, Shanti Complex, G. S. Road, Bhangagarh, Guwahati - 781 005, **Gwalior:** Hotel Shelter Building, Shastri Chowk, Padav, Gwalior, Madhya Pradesh - 474 002, **Hubli:** Eureka Junction, Travellers Bungalow Road, Hubli - 580 029, **Indore:** Laxmi Towers, 576, M. G. Road, Indore - 452 001, **Jabalpur:** Kailash Automobiles, 124, Napier Town, Model Road, Jabalpur (M.P) - 482 001, **Jalandhar:** Opp. Kings Hotel, 22-23, G. T. Road, Jalandhar - 144 001, **Jamnagar:** Near M. P. Shah Medical College, Solarium Road, P. N. Marg, Jamnagar - 361 008, **Jamshedpur:** Natraj Mansion, Main Road, Bistupur, Jamshedpur - 831 001, **Kota:** 18, Jhalawal Road, Kota - 324 007, **Madurai:** 33, North Chitrai Street, Madurai - 625 001, **Nagpur:** Civil Lines, Vishnu Vaibhav, 222, Palm Road, Nagpur - 440 001, **Nashik:** U-1, Crown Commercial Complex, Opp. Rajiv Gandhi Bhavan (NMC), Utility Centre, Sharanpur Road, Nashik - 422 002, **Patna:** Shahi Bhawan, Ground Floor, Exhibition Road, Patna - 800 001, **Raipur:** Opp. Rajkumar College, Choubey Colony, G. E. Road, Raipur - 492 010, **Varanasi:** CK - 28/13, Gyanvapi Chowk, Varanasi - 221 001, **Vijaywada:** 9-61-8, BRP Road, Vijaywada - 520 001, **Vishakapatnam:** Srinivasa Plaza, Plot No. HIG 244, Sector - IV, MVP Colony, Vishakapatnam - 530 017.

**NRI Centres:** Ahmedabad, Bangalore, Coimbatore, Chennai, Hyderabad, Jaipur, Kanpur, Kolkata, Ludhiana, Mangalore, Mumbai, New Delhi, Pune, Rajkot, Surat, Thiruvananthapuram, Vadodara.

### STANDARD CHARTERED BANK LTD. NFO COLLECTION CENTRES

**Ahmedabad:** Standard Chartered Bank, Abhijeet - II, Ground Floor, Meetakali, 6th Road, Ahmedabad - 380006, **Allahabad:** Standard Chartered Bank, 2 - Sardar Patel Marg, Civil Lines, Allahabad - 211001, **Amritsar:** Standard Chartered Bank, Gandhi Bazar, The Mall, Post Box 3, Amritsar - 143001, **Bangalore:** Standard Chartered Bank, 26th Floor, West Wing Raheja Tower, M. G. Road, Bangalore - 560001, **Baroda:** Standard Chartered Bank, Gokulesh, R. C. Dutt Road, Vadodara - 390009, **Bhopal:** Standard Chartered Bank, Ground Floor, Northern Wing, Alankar Complex, Plot No.10, Zone 2, M. P. Nagar, Bhopal - 462011, **Bhubaneswar:** Standard Chartered Bank, Plot No 3, Bapuji Nagar, Janpath, Bhubaneswar - 751009, **Chandigarh:** Standard Chartered Bank, SCO, 137-138, Sector - 9C, Madhya Marg, Chandigarh, **Chennai:** Standard Chartered Bank, 19, Rajaji Salai, Chennai - 600001, **Cochin:** Standard Chartered Bank, XXIV / 1633, KPK Menon Road, Willingdon Island, Cochin - 682003, **Coimbatore:** Standard Chartered Bank, 509, D. B. Road, R. S. Puram, Coimbatore - 641002, **Guwahati:** Standard Chartered Bank, G. N. Bardoli Road, Ambari, Guwahati - 781001, **Hyderabad:** Standard Chartered Bank, 6-3 1090, Raj Bhavan Road, Somajiguda, Hyderabad - 500082, **Indore:** Standard Chartered Bank, 21/1 D. M. Tower, Race Course Road, Indore - 452001, **Jaipur:** Standard Chartered Bank, H-8, Showroom No.1, Bhagwat Bhawan, M. I. Road, Jaipur, **Jalandhar:** Standard Chartered Bank, Plot No. 34, G. T. Road, Jalandhar - 144001, **Kanpur:** Standard Chartered Bank, 16/105, M.G. Marg, Kanpur - 208001, **Kolkata:** Standard Chartered Bank, 19, Netaji Subhash Rd, Kolkata - 700001, **Lucknow:** Standard Chartered Bank, 4 - Shahnajaf Road, Lucknow, U.P. - 226001, **Ludhiana:** SCO 16-17, Feroze Gandhi Market, Ludhiana, Punjab - 141001, **Mumbai:** Standard Chartered Bank, 270, D. N. Road, Fort, Mumbai - 400001, **Nagpur:** Standard Chartered Bank, Narang House, Palm Road, Civil Line, Nagpur - 440001, **New Delhi:** Standard Chartered Bank, H-2, Connaught Circle, New Delhi - 110001, **Patna:** Standard Chartered Bank, Bhagwati Dwaraka Arcade, Plot No - 830 P, Exhibition Road, Patna - 800001, **Pune:** Standard Chartered Bank, Shirrang House, 364-365, Junglee Maharaj Road, Shivaji Nagar, Pune - 411005, **Rajkot:** Standard Chartered Bank, Business Empire, 5 - Jagnath Plot, Gymkhana Road, Rajkot - 360002, **Surat:** Standard Chartered Bank, C.K.Tower, 1st Floor, Nr. Sargam Shopping Center, towards Surat - Dumas Road, Parle Point, Surat - 395007.

## Investor Service Centres - AMC OFFICES

CALL FREE : 1-800-22-0101

**West Zone: Mumbai** : Mulla House, Ground Floor, Homi Modi Street, Mumbai 400 001. Tel.: 66315191/92/93, Fax: 022 - 66315194.  
**Ahmedabad** : 702, Abhijeet-1 Mithakhali Circle Navarangpura Ahmedabad 3800 009. Tel.: 079-65418989. Fax: 079/26466080.  
**Bhopal** : MF-12, Block-A, Mansarovar Complex, Near Habibganj Railway Station, Bhopal- 462016. Tel.: 0755- 4229379 / 4273914 / 2574198. **Goa** : U G - 4-1st Floor, Inaam complex, Don Bosco School Crossing, Panaji Goa - 403001. Tel.: 0832 - 6451135 & 6451136, 0832 - 2422135, Fax: 0832-2422135. **Indore** : G-25 City Centre, 507, M.G. Road, Indore - 452001. Tel.: 0731-4201806, Fax: 0731-4201807. **Jabalpur** : AMC For Tata Mutual Fund, Office No. 4, 1178, Napier Town, Home Sceience College Road, Jabalpur - 482001 (M.P.), Tel.: 0761-4074263. **Pune** : Office No. 105, D-Gold House Near Hotel Sheetal, Dynaneshwar Paduka Chowk, F.C. Road, Shivajinagar, Pune - 411004. Tel.: 30288775/64005932, **Fax**: - 30288776. **Rajkot** : Arhant Plaza, 201 2nd Floor, Subhas Road, Near Moti Taki, Rajkot - 360001. Tel.: 0281-6624848/6544949. **Nashik** : 5, Samriddhi Residency, Opp Hotel City Pride, Tilakwadi Nashik - 422002. Tel.: 0253-6605138 / 0253-6510315, Fax: 0253-2579098. **Surat** : 421, Jolly Plaza, Near Collector Office, Next to G.P. College, Athwa Gate, Surat 395001. Tel.: 0261 - 245520 / 6554418 / 19, Fax: 0261-2470326. **Vadodara** : 202- 203, Madhav Complex, RC Dutt Road, GEB Circle Alkapuri Vadodara - 390007. Tel.: 0265-6641888/2356114, Fax: 0265-6641999.

**North Zone: Chandigarh** : Cabin No.3-4-5, 1st Floor, Meeting Point, SCO - 487/488, Sector- 35C, Chandigarh - 160 022. Tel.: 0172 - 5087322 /6450322/2605320/ 2603771-Extn 227, Fax: 0172 - 2603770. **Jaipur** : 233, 2nd Floor, Ganpati Plaza, M I Road, Jaipur - 302001. Tel.: 0141 - 5105177 / 78 / 2389387 / 6539009, Fax: 5105178. **Jodhpur** : Jaya Enclave, 79/4, Opp. IDBI Bank, Ist A Road Sardarpura Jodhpur - 342001. Tel.: 291-6450555/2631257, 0291-2631257. **Kanpur** : Ground Floor, Agarwal Building, Adjoining Oriental Bank of Commerce, Survey No. 419/1 Cantts, The Mall, Kanpur - 208 004. Tel.: 0512 - 2306066, Fax: 0512 - 2306065. **Lucknow** : Office No.2, Saran Chambers-I, 1st Floor, 5, Park Road, Lucknow - 01. Tel.: 0522-6452432/4001731, Fax: 0522-2235386. **Ludhiana** : Cabin No.201, 2nd Floor, SCO 18, Opp. Ludhiana Stock Exchange, Feroze Ghandhi Market, Ludhiana - 141 001. Tel.: 0161 - 5089667/6503366. **Moradabad** : Ground Floor, Shop No. LG - 33, Chadha Shopping Complex, GMD Road, Moradabad - 244 001. Tel.: 0591 - 2313567, 6535002. **New Delhi** : 710-712 Prakesh Deep Building, 7th Floor, 7 Tolstoy Marg, Connaught Place, New Delhi - 110001. Tel.: 66324111/ 102/103/104/105, Fax: 011-66303202. **Udaipur** : Office No - 4, 2nd Floor, Madhav Appartment, Opp GPO,Chetak Circle, Udaipur - 313001. Tel.: 294 - 6450979/2429371, 0294-2429371.

**South Zone: Bangalore** : Batra Chambers, 1st Floor, Cunningham Crescent Road, Bangalore - 560 052. Tel.: 080 - 66561313, 22370512 /65335986 / 87 & 22371195, Fax: 080- 22370513. **Chennai** : Riaz Garden, 3rd Floor, No:29, Kodambakkam High Road, Near Palmgrove Hotel, Nungambakkam, Chennai-34. Tel.: (044) 64541868 / 69, 64541878, Fax: 044-43546313. **Cochin** : 2nd Floor, Ajay Vihar, JOS Junction, M. G. Road, Cochin - 682 016. Tel.: 0484 - 237 7580/581/6533107, Fax: 0484 - 237 7581. **Coimbatore** : 551 A, I st Floor, West Lokamaniya Street Near CAMS R. S. Puram, Coimbatore - 641002. Tel.: 0422 - 6502133 & 6502144. Fax: 2546585. **Hyderabad** : 3rd Floor, Block B, R R Estate, G.S MALL, Somajiguda, Hyderabad - 82. Tel.: 040-65961237/38 & 65548290, Fax: 040-66363187. **Mangalore** : 1st Floor, Essel Tower, Above UTI Bank, Bunts Hostel Circle, Mangalore - 575003 Tel.: 0824 - 6450308, Fax: 0824 - 4260308.

**East Zone: Bhubhaneshwar** : Janpath Tower Room No – 208, 2nd Floor Ashok Nagar, Bhubaneswar – 751 009 Tel.: 0674 – 2533818 / 6450817. **Jamshedpur** : C/o. Mithila Motors Pvt Limited, 1st Floor, Main Road, Bistupur, Jamshedpur - 831 001. Tel.: 0657 - 2756021/ 22 2756023/30 / 6576911, Fax: 0657 - 2756030. **Kolkatta** : Tata Centre, 1st Floor, 43, Jawaharlal Nehru Road, Kolkatta - 700 071. Tel.: 033 - 22881534 / 22883413 / 22883415, Fax: 033 - 22881535. **Patna** : 605, 6th Floor, Ashiana Harniwas, New Dak Bunglow Road, Patna - 800001. Tel.: 0612-2206497, 6450120. **Ranchi** : Shop No - 15, Ground Floor, A.C. Market, G.E.L. Church Complex, Main Road, Ranchi - 834 001. Tel.: 0651 - 2330704 / 2330226.