

PAYMENT OF BROKERAGE WHERE NOMINEE IS NOT REGISTERED ON DEATH OF DISTRIBUTOR

DOCUMENTS REQUIRED TO PROCESS THE TRANSMISSION ARE AS FOLLOWS:

1. Letter from the Claimant/s requesting payment of brokerage on existing assets of deceased ARN Holder.
2. Original Death Certificate or Original attested copy of the death certificate.
3. Bank Account Details of the Claimant as per **Annexure I** along with attestation by a bank branch manager or cancelled cheque bearing the account details.
4. Copy of the PAN Card of the claimant duly attested by AMC Staff / Registrar Front Office Staff.
5. Indemnity Bond [Rs 200 stamp paper-prescribed format as **Annexure – III** attached] against any other claims duly signed by all Legal Heirs & Claimant.
6. Affidavit [Rs 100 stamp paper-prescribed format as **Annexure – IV** attached] in a non-judicial stamp paper to be made by each of the Legal Heirs individually confirming that there are no other Claimants.
7. AUM THRESHOLD
 - AUM < 30Lacs**

Any appropriate document evidencing relationship of the claimant/s and legal heirs with the deceased holder.
 - AUM >= 30Lacs**

Any one of the documents mentioned below:

 - a. Notarised copy of probate of will, or
 - b. Legal heir certificate or succession certificate or claimant's certificate issued by a competent court, or
 - c. Letter of administration, in case of Intestate Succession.