

ANNEXURE III

INDEMNITY BOND WITH RESPECT TO PAYMENT OF BROKERAGE WHERE NOMINATION IS NOT AVAILABLE

(To be executed on Rs. 200/- Non-judicial stamp paper by all Class – I Legal heirs of the deceased)

I/We do hereby solemnly affirm and say as follows,

I/We inform Tata Asset Management Limited (hereinafter referred to as 'the Company') that Mr. / Ms. **<Name of the deceased ARN Holder>** passed away on _____, and was a distributor of Mutual Funds.

Sr. No.	ARN Code	Broker Name	AUM

The said ARN holder died intestate on the _____ day of _____ for which the death certificate is attached herewith.

I/We further inform the Company that he / she left behind him/her only surviving heirs and next of kin, the following persons according to the Law of Intestate Succession application to him/her by which he/she was governed at the time of his/her death.

Sr.No	Name of the Legal Heirs	Address	Age	Relationship with the deceased
1				
2				
3				

I/We have, therefore, approached the Company with a request for payment of brokerage to me/us on existing assets of the deceased ARN Holder in the name of the undersigned Mr. / Ms. **<Name of the Indemnifier(s)>** on my/our behalf without insisting of production of a succession certificate or an order of the Court of competent jurisdiction for which I/we execute an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration therefore of my/our request for payment of brokerage to me/us on existing assets of deceased ARN Holder to the name of the undersigned **<Name of the Indemnifier(s)>**. I / we hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless the Company and its successors and assigns for all times hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which the Company may suffer and/or incur by reason of the Company, at my/our request, transferring the said payment of brokerage to me/us on existing assets of deceased ARN Holder as herein above mentioned, to the undersigned **<Name of the Indemnifier(s)>** without insisting on production of a succession certificate or an order of the Court of competent jurisdiction.

IN WITNESS WHEREOF, the said **<Name of the Indemnifier(s)>** has here unto set his/her hand this _____ day of _____.

ANNEXURE III

Signed and delivered by the <**Name of the Indemnifier(s)**>

Name & Address of the Indemnifier(s)

1. _____

2. _____

3. _____

Signature of the Indemnifier(s)

Sureties Name and Address [Mandatory]

1. _____

2. _____

Sureties Signature

Signature of the Notary with Name & Seal