

ADDENDUM

This addendum sets out changes to be made in the Statement of Additional Information (SAI) of Tata Mutual Fund.

Effective Date: August 01, 2009.

Following Para has been added in 'PAN number details of the investors' under clause III. "How to Apply":

Exemption From PAN requirement For Micro Systematic Investment Plan (SIP): In case of Micro Systematic Investment Plans (SIPs) i.e. SIP for an aggregate investment of up to Rs 50,000/- in a rolling 12 month period or in a financial year per investor shall be exempted from the requirement of PAN with effect from August 1, 2009. This exemption will be applicable only to investments by individuals, Non Resident Indian (NRI), minors, joint holders and sole proprietary firms (but not including Persons of Indian Origin (PIO), Hindu Undivided Family (HUF) and other categories). This exception will not be applicable to normal purchase transactions up to Rs. 50,000 which will continue to be subject to PAN requirement. Those investors (including joint holders) subscribing to a micro SIP will be required to submit a photocopy of any one of the following documents along with their micro SIP applications. It may be noted that the Supporting document must be current and valid and shall be self attested by the investor / attested by the ARN holder mentioning the ARN number:

- Voter Identity Card
- Driving License
- Government / Defense identification card
- Passport
- Photo Ration Card
- Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
- Employee ID cards issued by companies registered with Registrar of Companies.
- Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
- ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
- Senior Citizen / Freedom Fighter ID card issued by Government.
- Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.
- Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
- Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.

Notes:-

- The above revision will be implemented prospectively and shall remain in force till further notice.
- This addendum will form an integral part of the Statement of Additional Information.
- All other terms and conditions of the Statement of Additional Information read with other addendums if any remain unchanged.

Statutory Details - Constitution: Tata Mutual Fund (TMF) has been set up as a Trust under the Indian Trust Act 1882. Sponsors: Tata Sons Limited and Tata Investment Corporation Limited. Trustee: Tata Trustee Company Pvt. Ltd. Investment Manager: Tata Asset Management Limited.

Risk Factors: As with any investment in securities, the NAV of the Units issued under the Schemes may go up or down depending on the various factors and forces affecting capital markets. Mutual Fund and securities investments are subject to market risks and there can be no assurance and no guarantee that the scheme's objectives will be achieved. Past performance of the Sponsors/ AMC/ schemes of the Tata Mutual Fund do not indicate the future performance of the scheme of the Mutual Fund. Named of the schemes of Tata Mutual Fund does not in any manner indicate either the quality of the schemes, their future prospects or the returns. The schemes / plans / options are not a guaranteed return scheme / plans / options. The Sponsors are not responsible or liable for any loss resulting from the operations of the Mutual Fund beyond the contribution of an amount of Rs.1 lac made by them towards setting up of the Mutual Fund. For scheme specific risk factors and other details investors are urged to read the Scheme Information Document (SID) / Statement of Additional Information (SAI) and terms and conditions, as outlined in the application form, carefully before investing.