

## NOTICE CUM ADDENDUM

### **Review of Know Your Customer (KYC) norms w.e.f. January 1, 2011**

With reference to AMFI Circular 35/MEM-COR/62/10-11 dated October 7, 2010, investors may kindly note w.e.f. January 1, 2011, it shall be mandatory for all individual investors to be KYC Compliant through the existing CDSL Ventures Ltd (CVL) platform, irrespective of the amount of investment.

Thus investments of any amount, on or after January 1, 2011 by individual investors who are not KYC Compliant, are liable to be rejected.

Hence it is mandatory for all individual investors irrespective of the amount of investment (except for investments through Micro Systematic Investment Plan (SIP) upto Rs 50,000/-per year per investor) to quote the KYC Compliance Status of each applicant and attach a proof of KYC Compliance from the Effective Date.

The aforesaid change is being made under “Know Your Customer Compliance” of clause B “Legal Information” of section VI “Tax & Legal & General Information” in the Statement of Additional Information (SAI).

Notes:-

- The above revision will be implemented w.e.f January 1, 2011 and shall remain in force till further notice.
- This addendum will form an integral part of the Statement of Additional Information & Key Information Memorandum.
- All other terms and conditions of the Statement of Additional Information read with other addendums if any remain unchanged.

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**Statutory Details: Constitution:** Tata Mutual Fund (TMF) has been set up as a Trust under the Indian Trusts Act, 1882. **Sponsors & Settlers:** Tata Sons Ltd. & Tata Investment Corporation Ltd. **Trustee:** Tata Trustee Co. Ltd. **Investment Manager:** Tata Asset Management Ltd, 9th Floor, Mafatlal Centre, Nariman Point, Mumbai 400 021 • Tel: 66578282 • **Email:** kiran@tataamc.com • **Website:** www.tatamutualfund.com

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**Risk Factors • All investments in Mutual Fund & securities are subject to market risks & the NAV of the units issued under the schemes can go up or down depending on the factors & forces affecting the capital markets • Mutual Fund & securities investments are subject to market risks & there can be no assurance & no guarantee that the objectives of the scheme will be achieved •** Past performance of the previous scheme, the Sponsors or its group affiliates is not indicative of & does not guarantee the future performance of the scheme • **The above are only the names of the schemes & do not in any manner indicate either the quality of the schemes, it's future prospects or the returns •** The Sponsors are not responsible or liable for any loss resulting from the operations of the Mutual Fund beyond the contribution of an amount of Rs 1 lac made by them towards setting up of the Mutual Fund. Investments in debt securities are subject to interest rate risk, credit risk & liquidity risk • The present schemes are not guaranteed or assured return schemes • Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of capital • **Kindly refer Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) of the scheme for applicable loads. For scheme specific risk factors & other details please read the SID/SAI/KIM of the scheme carefully before investing.**