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NOTICE CUM ADDENDUM

Know Your Customer (KYC) norms for Micro Systematic Investment Plan (SIP)

Kindly refer to our earlier notice cum addendum on the subject 'Review of Know Your Customer' published on 22 December 2010 in The Financial Express & Navshakti. Investors should note that there is no change in the existing KYC process for Micro (SIPs). Micro (SIPs) is defined as Micro schemes with an investment upto ₹ 50,000 per year per investor.

Permanent Account Number (PAN) is not mandatory in such cases, common standard KYC through CDSL Ventures Limited (CVL) will not apply & KYC will be handled by the Tata Asset Management Ltd. / Registrar & Transfer Agents namely Computer Age Management Services Pvt Ltd. / Karvy Computershare Pvt Ltd. directly.

However for Micro SIP cases, the following documents are required:

- i. Standard specified identification instruments like Voter ID card, Government / Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN.
- ii. Proof of address copy: In case where photo identification documents contain the address of the investor, a separate proof of address is not required.
- iii. Supporting documents copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority

Notes:-

- This addendum will form an integral part of the Statement of Additional Information & Key Information Memorandum.
- All other terms & conditions of the Statement of Additional Information read with other addendums if any remain unchanged.

Statutory Details: Constitution: Tata Mutual Fund (TMF) has been set up as a Trust under the Indian Trusts Act, 1882. **Sponsors & Settlers:** Tata Sons Ltd. & Tata Investment Corporation Ltd., **Trustee:** Tata Trustee Co. Ltd. **Investment Manager:** Tata Asset Management Ltd. 9th Floor, Mafatlal Centre, Nariman Point, Mumbai 400 021 • **Tel.:** 66578282 • **Email:** kiran@tataamc.com
Website: www.tatamutualfund.com

Risk Factors: Mutual Fund investments are subject to market risks, read the scheme information documents carefully before investing.