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NOTICE CUM ADDENDUM

Notice is hereby given that in line with the Association of Mutual Funds in India (AMFI) best practice circular 135/BP/20/10-11 dated February 9, 2011 regarding 'Guidelines in Accounts of Minor & Account Status Change – Minor attaining Majority, Change of Guardian, Registration of Nominee & Transmission of Units'. The following changes are made in the Statement of Additional Information (SAI) of Tata Mutual Fund.

Effective Date: April 1, 2011.

Application 'On behalf of Minor' Accounts:

- 1) The minor shall be the first & the sole holder in the folio
- 2) Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian & should submit requisite documents to Tata Asset Management Ltd (AMC) / (Registrars) evidencing the relationship status of the guardian.
- 3) The Date of Birth of the Minor alongwith the following supporting documents are required while opening the account on behalf of minor:
 - i) Birth certificate of the minor, or ii) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or iii) Passport of the minor, or iv) Any other suitable proof evidencing the date of birth of the minor.

Minor Attaining Majority – Status Change: In case the units are held on behalf of the minor, the ownership of the units rests with the minor. A guardian operates the account until the minor attains the age of majority. On minor attaining majority the minor shall submit an application form along with the following documents to change the status to 'major':

- i) Services Request form, duly filled & containing details like name of major, folio numbers, etc. ii) New Bank mandate where account changed from minor to major, iii) Signature attestation of the major by a manager of a scheduled bank / Bank Certificate / Letter, iv) KYC acknowledgement of the major.

The guardian cannot undertake any financial & non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) & Systematic Withdrawal Plan (SWP) after the date of the minor attaining majority till the time the above application form along with the prescribed documents are received by the fund.

In case of existing standing instructions like SIP, STP, SWP registered prior to the minor attaining majority, the existing standing instructions will continue to be processed beyond the date of the minor attaining majority till the time an instruction from the major to terminate the standing instruction is received along with the above prescribed documents. The standing instruction shall be terminated within 30 days from the date of receiving the instruction.

Change in Guardian: In case of a change in Guardian of a minor the new guardian must be a natural guardian (i.e. Father or Mother or a court appointed guardian & such guardian shall submit the prescribed documents to the AMC / Registrar evidencing the relationship / status of the guardian, proof of KYC compliance, attestation from the bank maintaining the minors account where the new guardian is registered as a guardian, etc. In addition to the above unitholders also need to file the following.

- No objection certificate / consent letter from existing guardian or court order for new guardian, in case the existing guardian is alive.
- Attested death certificate copy to be submitted along with the request, if the existing guardian has expired.

Nomination: i) Investors are advised to use nomination facility for accounts opened by individual especially with sole holding. ii) In case investors do not wish to nominate, are advised to specifically indicate the same at the appropriate place in the application / nomination form. iii) Nomination shall not be allowed in a folio held on behalf of a minor. iv) Where a folio has joint holders, all joint holders should sign the request for nomination / cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of Attorney (PoA) holders. Nomination by a unit holder shall be applicable for investments in all schemes in the folio. Every new nomination for a folio will overwrite the existing information.

Transmission: i) In case of transmission of units, the claimants of units will be required to submit the prescribed documents as may be applicable. Investors may refer the funds website (www.tatamutualfund.com) for a ready reckoner matrix of various documents required. ii) In the event of transmission of units to a claimant who is a minor, the prescribed documents like PAN, KYC, Bank Details, Indemnity, etc of the guardian will be required. iii) AMC / Tata Mutual Fund reserves the right to seek additional documents from the claimants of the units, which may be required for considering the transmission request.

Investors are requested to visit the funds website for the list of prescribed documents under any of the above procedures or call the investors service centers for any clarification on the above.

Note: All other terms & conditions of the Statement of Additional Information read with other addendums if any remain unchanged.

Statutory Details: Constitution: Tata Mutual Fund (TMF) has been set up as a Trust under the Indian Trusts Act, 1882. **Sponsors & Settlers:** Tata Sons Ltd. & Tata Investment Corporation Ltd. **Trustee:** Tata Trustee Co. Ltd. **Investment Manager:** Tata Asset Management Ltd, 9th Floor, Mafatlal Centre, Nariman Point, Mumbai 400 021 • Tel: 66578282 • Email: kiran@tataamc.com • Website: www.tatamutualfund.com

Risk Factors • All investments in Mutual Fund & securities are subject to market risks & the NAV of the units issued under the schemes can go up or down depending on the factors & forces affecting the capital markets • Mutual Fund & securities investments are subject to market risks & there can be no assurance & no guarantee that the objectives of the scheme will be achieved • Past performance of the previous scheme, the Sponsors or its group affiliates is not indicative of & does not guarantee the future performance of the scheme • The above is only the name of the scheme & do not in any manner indicate either the quality of the scheme, it's future prospects or the returns • The Sponsors are not responsible or liable for any loss resulting from the operations of the Mutual Fund beyond the contribution of an amount of ₹1 lac made by them towards setting up of the Mutual Fund. Investments in debt securities are subject to interest rate risk, credit risk & liquidity risk • The present scheme is not a guaranteed or assured return scheme • Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of capital • Kindly refer Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) of the scheme for applicable loads. For scheme specific risk factors & other details please read the SID/SAI/KIM of the scheme carefully before investing.