

**NOTICE CUM ADDENDUM**

**Notice cum addendum for Scheme Information Document (SID) / Key Information Memorandum (KIM) of Tata Money Market Fund and Tata Short Term Bond Fund.**

**1. Restriction on investment by single investor in Tata Money Market Fund and Tata Short Term Bond Fund.**

**Effective date: 01st November, 2018**

In order to protect the interest of investors, the Trustees of Tata Mutual Fund have approved the cap on maximum subscription amount by single investor at 5% of Assets Under Management (AUM) in Tata Money Market Fund and Tata Short Term Bond Fund. Latest AUM i.e. AUM of last business day on the date of transaction as per Registrars records will be considered for the calculation of 5% restriction limit. The subscription / switch-in restriction will be applicable / monitored on daily rolling basis.

Single investor will be determined based on the unique Permanent Account Number (PAN).

This restriction on subscription will be applicable prospectively. It will not impact investors who are holding more than 5% of the units of the schemes at beginning of the effective date.

5% limit will be applicable at the time of subscription in the schemes and any increase in single investor's holding above 5% due to fall in Net Assets of Schemes will not require any rebalancing. However, Scheme shall continue comply with SEBI circulars SEBI/IMD/CIR No. 10/22701/03 dated December 12, 2003 and SEBI/IMD/CIR No.1/42529/05 dated June 14, 2005 regarding cap of 25% on maximum holding of units by single investor.

The above mentioned cap of 5% is not applicable to existing Systematic Investment Plan(SIP) registered prior to the effective date. However, such amount invested by way of SIP will be included in the investor's holding for computation of 5% limit for new subscription (i.e. other than by way of SIP registered before the effective date).

New Systematic Investment Plan (SIP) registered after the effective date in the said schemes will be subject to the restrictions of 5% of the scheme AUM.

The limit of 5% is applicable for Subscription/ Switch in received through any mode including transactions routed through Stock Exchange platform.

Subscription/Switch in amount in excess of the 5% of the Schemes AUM shall be refunded to the investor.

**Illustration**

**a) Tata Money Market Fund:**

Date	Opening Scheme AUM	Mr. 'X' Opening AUM (Investor)		Limit available for further investments	
		Rs crores	Rs crores	%	Rs crores
01/11/2018	500	15	3.00	10	2.00
02/11/2018	300	15	5.00	NIL	Nil
06/11/2018	275	15	5.45*	NIL	Nil

\*No rebalancing required for passive breach

As per the above illustration, Mr. X can further invest maximum upto Rs.10 crores on 1st November 2018. Amount received in excess of Rs.10 crores from Mr. X will be refunded.

**b) Tata Short Term Bond Fund**

Date	Opening Scheme AUM	Mr. 'Y' Opening AUM (Investor)		Limit available for further investments	
		Rs crores	Rs crores	%	Rs crores
01/11/2018	2000	75	3.75	25	1.25
02/11/2018	1500	75	5.00	NIL	Nil
06/11/2018	1200	75	6.25*	NIL	Nil

\*No rebalancing required for passive breach

As per the above illustration, Mr. Y can further invest maximum upto Rs.25 crores on 1st November 2018. Amount received in excess of Rs.25 crores from Mr. Y will be refunded.

**2. Change of Exit Load for Tata Money Market Fund and Tata Short Term Bond Fund**

Change in exit load shall be applicable to lump sum subscriptions & subscriptions under Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) for the above mentioned schemes for investments submitted on or after 1st November, 2018.

Schemes (Including all options / plans)	Exit Load	
	Existing	Proposed
Tata Money Market Fund	NIL	2.00% of applicable NAV if redeemed on or before expiry of 6 months from the date of allotment.
Tata Short Term Bond Fund	NIL	2.00% of applicable NAV if redeemed on or before expiry of 12 months from the date of allotment.

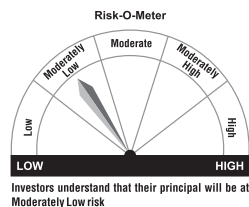
Revised exit load will not be applicable to SIP / STP registered prior to 1st November 2018.

**Tata Money Market Fund** is suitable for investors who are seeking\*:

- Regular Fixed Income for Short Term.
- Investment in Money Market

**Tata Short Term Bond Fund** is suitable for investors who are seeking\*:

- Regular Fixed Income for Short Term.
- Investment in Debt / Money Market instruments / Government Securities.



**\*Investors should consult their financial advisors if in doubt about whether the product is suitable for them**

**Notes:**

- All other terms & conditions of the SID/KIM read with other addendums if any remain unchanged till further notice.
- Tata Asset Management Ltd. (TAML) / Tata Trustee Company Ltd. (TTCL) reserve the right to alter/amend any of the provisions stated above.

**Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.**

**Corporate Identity Number:** TAML - U65990-MH-1994-PLC-077090, TTCL - U65991-MH-1995-PLC-087722